Warning: This lab will likely take substantially longer to complete than Lab 0. Do not put it off until the last minute. The simulations alone will take a few hours to run if you are sweeping multiple parameters.

Summary

The memory bottleneck is one of the paramount design concerns in modern processors. As we saw in lectures, while processor speeds have increased exponentially over the last 40 years, main memory speeds have only increased linearly since memories have been optimized for capacity rather than latency. Since memories are slow relative to the processor and memory accesses are frequent (one per loaded instruction!), a major area of architectural research is improving the perceived latency of the memory.

In order to improve the perceived latency of memory accesses, we, as architects, must first understand the nature of memory accesses. It has been the experience of architects that software memory accesses exhibit high temporal and spatial locality. Temporal locality means that the accessed memory location is likely to be accessed again in the near future. Spatial locality means that memory locations near the address of the accessed memory location are likely to be accessed in the near future.

In this lab, we will explore the concept of memory caching which is a common and highly effective mechanism for improving memory latency. A cache is a fast, but necessarily small memory that contains recently accessed areas of memory. The cache has a much lower access and update latency than the main memory; thus, if the memory locations that the process requires are present in the cache, the processor can obtain the data in a small number of cycles (as opposed to the many hundreds of cycles it would take to access the main memory), thereby improving processor performance. Since we ultimately measure the performance of the cache by its usefulness, which is the percentage of time that it is able to satisfy processor requests, statistics like write hit percentage and read hit percentage are often used to evaluate caches.

In this lab, we will evaluate the behavior of various cache organizations using Pin and the SPEC benchmarks.

As always, this lab is to be completed individually. You are encouraged to discuss lab concepts with fellow classmates.
Setting up

First, as for Lab 0, set up your environment for Pin. You'll need to do this each time you log in to work on the labs.

```bash
% add 6.823
% source /mit/6.823/Spring21/setup.sh
```

To obtain the materials for lab 1, use the following commands, assuming that you start in your individual repository (cd $USER) from the previous lab:

```bash
% cp -r $LAB1FILES ./
% git add lab1handout
% git commit -m "Lab 1 Initial Check-in"
```

In the lab1handout directory that just got created, you should find a make file, some sample source code, and a test script. Type the following at the command prompt:

```bash
% cd lab1handout
% make
```

Make will build the caches Pin tool. The caches Pin tool can be invoked from the command line in the following manner:

```bash
% pin.sh -ifeellucky -t caches -- [target_executable]
```

We have provided a test perl script lab1test.pl. The perl script will invoke Pin using the caches Pintool on multiple SPEC binaries. To invoke the perl script, type:

```bash
% ./lab1test.pl
```

Although we provide a script that will test your Pin tool, the script will not verify your Pin tool, and we will not release the expected results of the test cases (which is what would happen in industry if you were designing the next-generation microarchitecture). Further, we reserve the right to run test cases not included in the released test script. You are encouraged to compare your results with your classmates.

Lab Task

The purpose of this lab is to generate a set of parametric cache models that will help us analyze the behavior of various cache configurations. A cache can be described in terms of a relatively small number of parameters. We can view a cache as a data array containing \( R \) sets (or rows), each of which contains \( A \) (the set associativity or ways) data blocks of size \( B \) and some metadata (tag, valid bit) about \( B \). When the data array is presented an
address, it outputs the associated block data. The address can be broken down as <tag><index><block offset>.

The index bits are used to access a particular set of the cache. Each cache also defines a replacement policy that determines which existing block in a set to evict on a cache fill. Commonly used replacement policies include random replacement, least-recently-used, and not-most-recently-used. The following diagram depicts cache organization in terms of these parameters.

The behavior and implementation of the cache will also depend on some system-wide parameters. The caches that use virtual addresses must know the size of a memory page in the system; depending on the hardware the size of virtually indexed cache may be constrained by this parameter (Why?). Although the size of the physical memory does not affect cache organization, it will affect cache performance as pages are swapped in and out of the physical memory.

In the lab, you will design a set of parameterized L1 data cache models based on the diagram. Note that, in the diagram, the input memory addresses accessed could be either virtual or physical. We have covered the distinction between virtual and physical addresses in class (Lectures 4-5). We will experiment with both styles of cache in this lab.

![Cache Organization Diagram](image)

With all of the above background, we can discuss the starter code, which is located in caches.cpp. All the caches that you will implement will inherit the CacheModel base class. Notice that the base class implements some of the cache metadata for you, although you will have to add some metadata of your own. The following is a description of the CacheModel functions. You are expected to implement the bold-faced function for each cache.

**access**: This function is a read or a write request from the processor to the cache. The processor will present a virtual address, and whether the request is a read or a write. You will then update the appropriate cache metadata and statistics according to the cache type, following a least recently used (LRU) replacement policy.
dumpResults: This function will print out the cache statistics that access has been tracking. We will call this function at the end of the Pin run from the code that we have provided you. **Don't modify this code** or you'll break our testbenches, which will be tragic for the correctness portion of your lab grade.

The lab assumes 32-bit Virtual Addresses, and logPhysicalMemSize-bit Physical Addresses. The following global function will be used to translate between virtual and physical addresses:

getPhysicalPageNumber: This function translates virtual page numbers from Pin into 'physical' page numbers, akin to the translation look-aside buffer and page table. It produces physical page numbers of size \((\log\text{PhysicalMemSize} - \log\text{PageSize})\). You should note that different virtual addresses may map to the same physical address (aliasing). **You do not need to worry about solving this in your implementation.**

In this lab you will implement the above boldface function which will track the cache metadata, thereby simulating the behavior of a real cache. The metadata that you will track includes the cache valid bits, the cache tags, and information for the least recently used (LRU) replacement policy. Recall that least recently used replacement means that when a cache block needs to be evicted, the block that was read or written farthest in the past will be chosen for eviction.

Notice that the CacheModel base class has a number of constructor parameters, such as associativityParam, logNumRowsParam, and logBlockSizeParam. You will use these parameters to implement your functions in such a way that multiple cache sizes and associativities can be tested rapidly. We use the logVariable naming convention to denote that the parameter is given as a base 2 logarithm of the actual size, thus if logBlockSizeParam is 4 then the block size is \(2^4 = 16\) bytes. Notice that our instantiations of the cache classes that you will implement use Pin command line parameters to obtain parameters. Thus, you can test your caches with many configurations, even though our public testbench will only examine a trivial configuration. The baseline caches that you will be implementing will use the appropriate number of low order bits of either the virtual or physical index as the hash function for accessing the rows of the ways. You should use the scheme in the above diagram to organize your cache.

You will implement **three cache models**, one that is virtually indexed and virtually tagged, one that is virtually indexed and physically tagged, and one that is physically indexed and physically tagged. Each cache will be an extension of the base CacheModel class. Each cache model will track a variety of statistics. You will track the total number of read accesses, the total number of write accesses, the number of read hits, and the number of write hits. Your labs will be evaluated for accuracy based on these statistics.

As we have observed in class and in Lab 0, x86 is a rather quirky architecture. Recall that MIPS and other more modern architectures allow only aligned memory accesses. Aligned memory accesses means that the bottom bits of the address of the requested data must be zero. Thus, the address of a four-byte access must always end in \(2'b00\). X86, however, allows unaligned memory accesses, which will introduce some
potential inaccuracy into our cache models. We will ignore handling unaligned memory accesses by assuming that the appropriate bottom bits of the access address are zeroed out.

Although your solution will not be graded on its performance in terms of wall clock time, you should note that your Teaching Assistant is impatient. The TA solution runs the sample testbench in less than 30 minutes on the class machines (with nominal load). For grading purposes, we will allow your pin tool to run for an order of magnitude more time than ours requires (around 5 hours). After that time, we will kill it and assign a grade based on progress to that point. Do not write horrendously inefficient code: it makes kittens sad.

We have provided you an additional script to enable you to run all the SPEC binaries for different cache configurations from command line.

```
% ./lab1test_param.pl -b <logBlockSize> -r <logNumRows> -a <associativity>
```

When you have completed the lab to your satisfaction, submit your changes to your git repository. The deadline for submission is 23:59:59 EDT 12 March 2021. We'll grade whatever code you have committed and pushed by the deadline. No Late Submissions will be accepted! Seriously.

**Lab Questions**

Your response to the lab questions should be typed in `lab1questions.pdf` (or `lab1questions.docx`) in the `lab1handout` directory. Some questions may require coding, and as such should not be put off until the last minute. All figures and data necessary to understand your report must be included in it.

1. **Hit and miss.** Using your physically indexed, physically tagged cache model, starting from a base configuration (Rows = 512, Block Size = 4 bytes, Associativity = 1), vary the number of rows, block size, associativity, and capacity of your cache models. Explain any general trends in hit and miss rate that you observe (show graphs). Are there significant differences between the three cache models? Why?

2. **SPEC interrogation.** Using your physically indexed, physically tagged cache model, determine the size of the working set (lookup what it means) for the SPEC2000 benchmarks, justifying your answer with a graph. Are the working sets of the SPEC benchmarks intended to fit in a processor cache (lookup the typical sizes of L1 these days)? Why or why not? Do you notice a difference between the SPECINT and SPECFP programs in terms of hit and miss rates? Explain why this difference occurs. Would you choose different cache configurations (block size, associativity and number of rows) given the same cache size, for floating point programs and integer programs? Which configuration(s) would you choose and why? (Remember that an associative cache takes more chip area and power than a direct mapped cache of the same capacity).
3. **Make the common case fast.** We asked you to implement three cache models. A potential fourth cache model is a physically indexed, virtually tagged cache. Does this cache configuration make sense? Explain why or why not. Take timing issues in to account.

4. **Make the uncommon case correct.** For this problem, ignore the case when multiple virtual addresses map to a single physical address. Although our baseline test bench only behaves as if the simulated machine has a single process running, real machines almost always have more than one process at a time. Uh-oh, it seems like one of the cache models may not work in the presence of multiple processes. Which one, and why? Explain what modifications are necessary to make this cache model work in the presence of multiple processes.

5. **You assume too much.** We assumed that all memory accesses were aligned. Design a simple experiment to determine how many unaligned memory accesses occur in the SPEC benchmarks. What do you observe? Does our assumption make sense?

When you have answered these questions to your satisfaction, put them in a file called `lab1questions.pdf` (or `lab1questions.docx`) in your `lab1handout` directory, then run the following to add, commit, and push them.

```bash
% git add lab1questions.pdf
% git commit -m "Lab 1 Questions Check-In"
% git push origin master
```

As with the lab code, we'll grade whatever you have checked in by the deadline.

### Lab Grading

- 10%: Submission compiles
- 20%: Submission passes public test bench
- 20%: Submission passes private test bench
- 50%: Quality of lab question responses

### Advice on Mine Sweeping

There may be bugs in either our code or infrastructure. If you notice any `interesting` or `unexpected` behavior it could be a problem in the code or infrastructure that we provided. Report these bugs immediately on Piazza, using a private Piazza post if sharing any information about your code. This will help to ensure prompt fixing of any issues that may arise.