



Speculative Execution

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Speculative Execution Recipe

1. Proceed ahead despite unresolved dependencies using a prediction for an architectural or micro-architectural value

2. Maintain both old and new values on updates to architectural (and often micro-architectural) state.

3. After sure that there was no mis-speculation and there will be no more uses of the old values, discard old values and just use new values.

OR

3. In event of mis-speculation dispose of all new values, restore old values and re-execute from point before mis-speculation

Why might one use old values?

O-O-O WAR hazards

Value Management Strategies

Greedy (or Eager) Update:

- Update value in place, and
- Provide means to reconstruct old values for recovery
 - often this is a log of old values

Lazy Update:

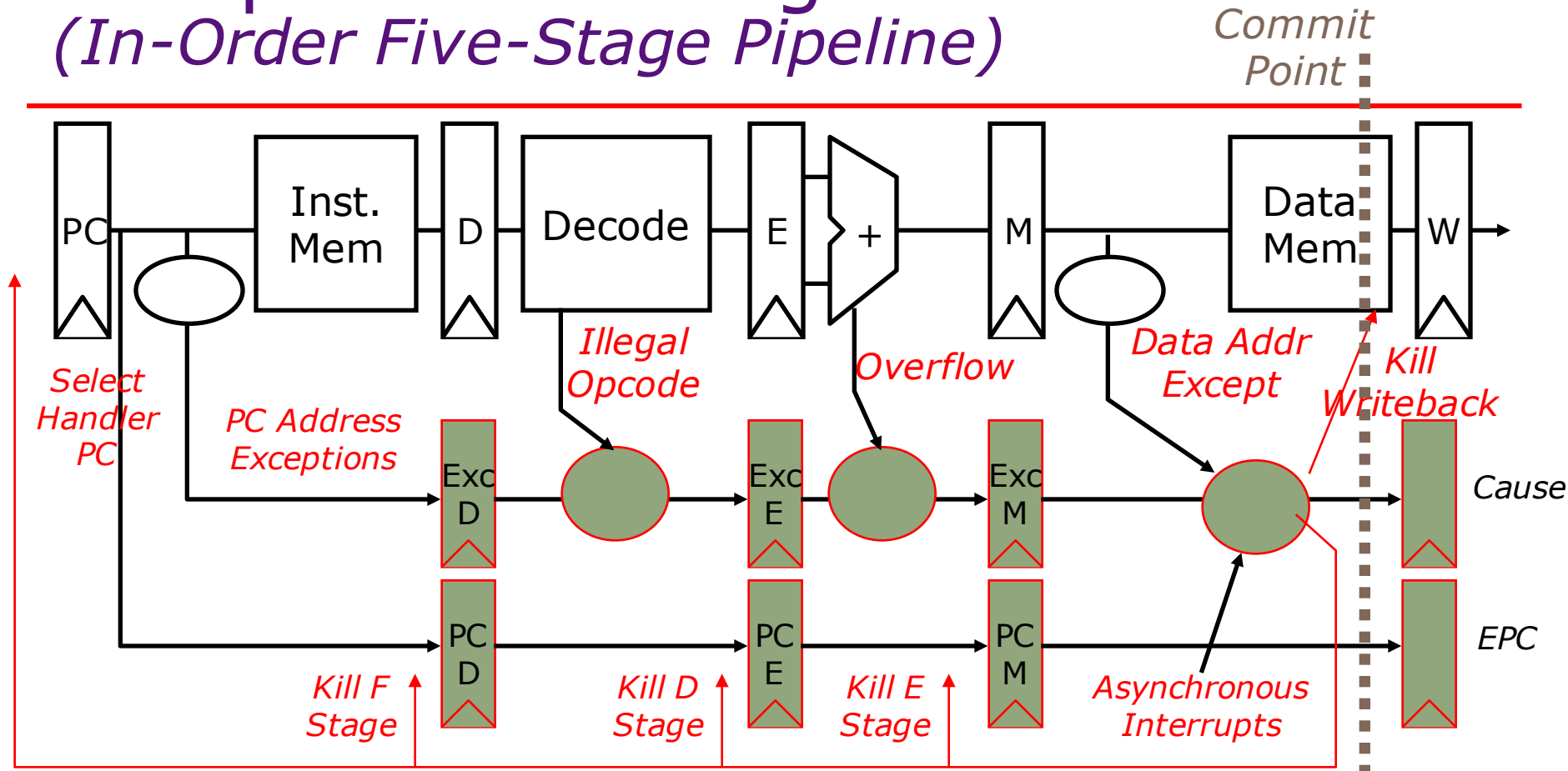
- Buffer new value leaving old value in place.
- Replace old value only at 'commit' time.

Why leave an old value in place?

- When there will be limited use of new value
- To make it easy to use old value after new value is generated
- To simplify recovery

Exception Handling

(In-Order Five-Stage Pipeline)



Strategy for PC?

Where is 'log'?

Strategy for Registers?

Where are 'new' values?

Greedy – update immediately

In pipeline of PC latches

Lazy – update at commit

In execution pipeline

Misprediction Recovery

In-order execution machines:

- Guarantee no instruction issued after branch can write-back before branch resolves by keeping values in the pipeline
- Kill all values from all instructions in pipeline behind mispredicted branch

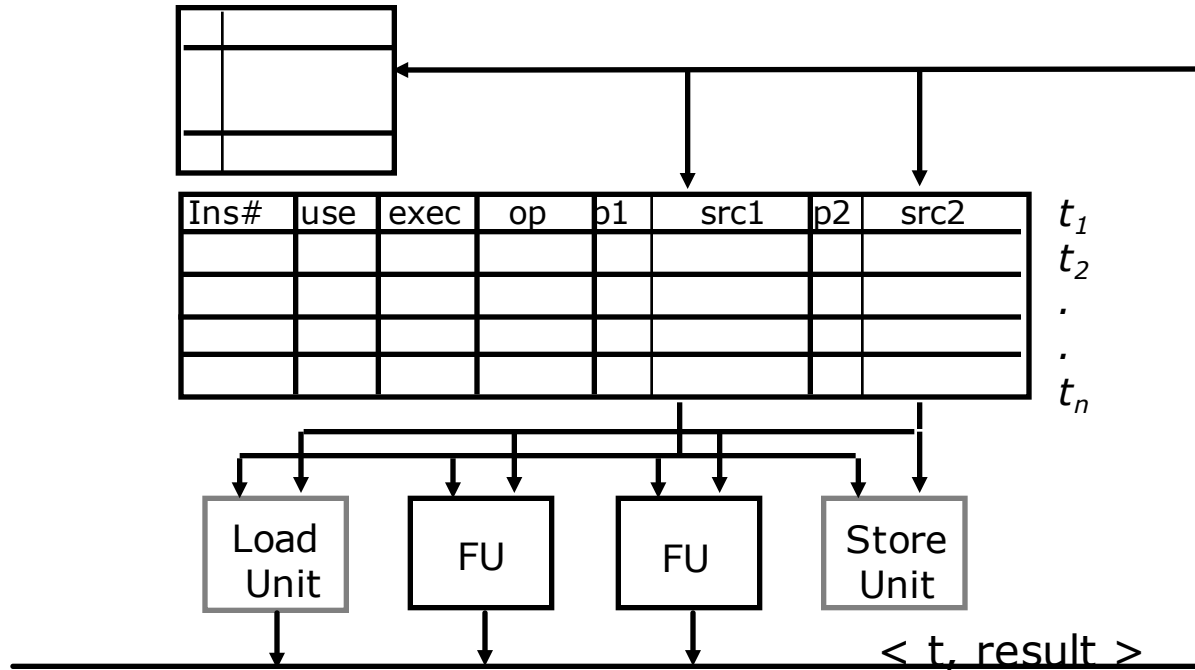
Out-of-order execution?

- Multiple instructions following branch in program order can generate new values before branch resolves

Data-Driven Execution

*Renaming
table &
reg file*

*Reorder
buffer*



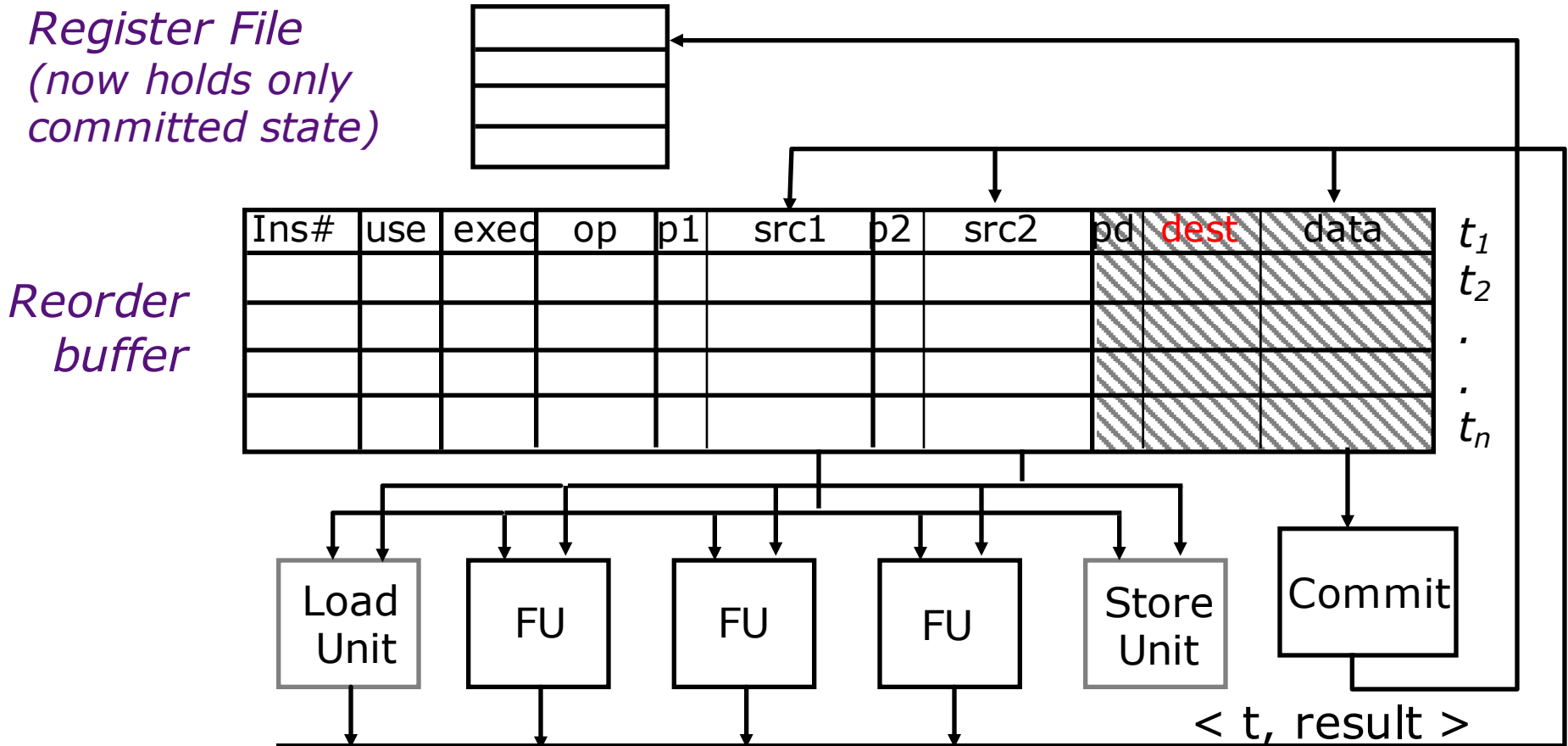
Basic Operation:

- Enter op and tag or data (if known) for each source
- Replace tag with data as it becomes available
- Issue instruction when all sources are available
- Save dest data when operation finishes

Update strategy?

Greedy – update at execute

Rollback and Renaming



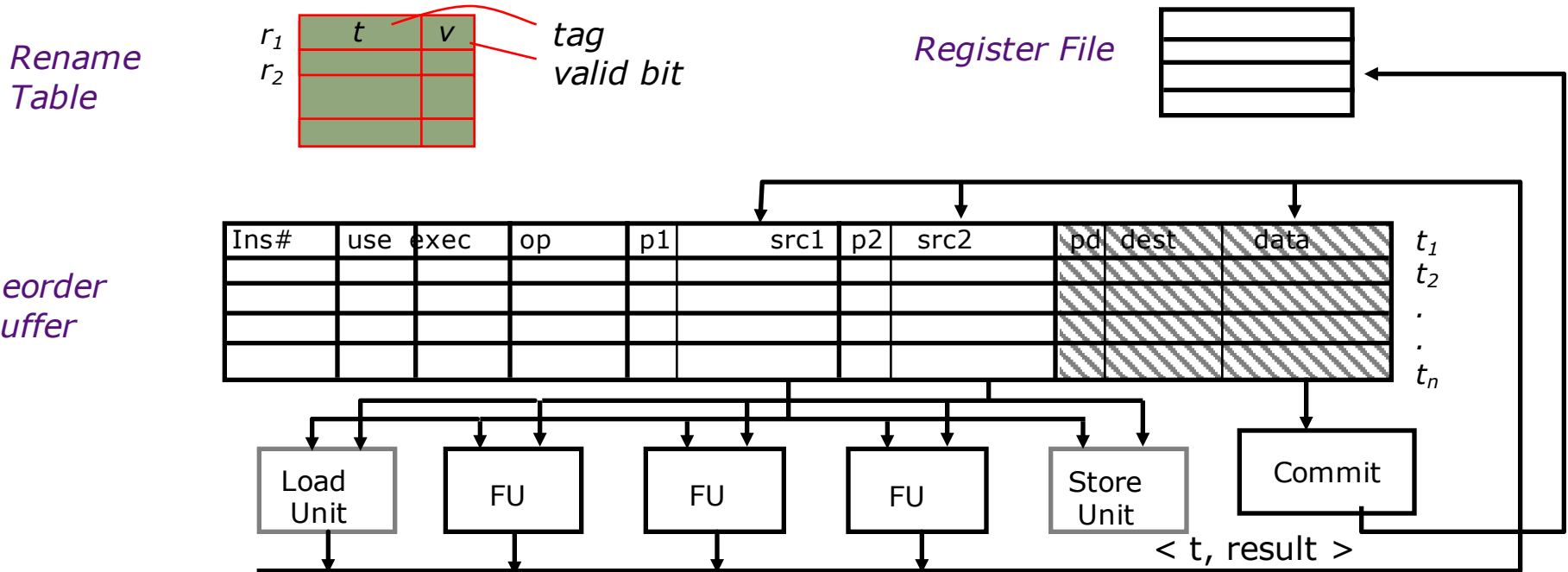
Convert to lazy by holding data in ROB.

But how do we find values before they are committed?

Search the "dest" field in the reorder buffer

Renaming Table

Micro-architectural speculative cache to speed up tag look up.



What is the update policy of rename table?

What events cause mis-speculation?

How can we respond to mis-speculation?

After being cleared, when can instructions be added to ROB?

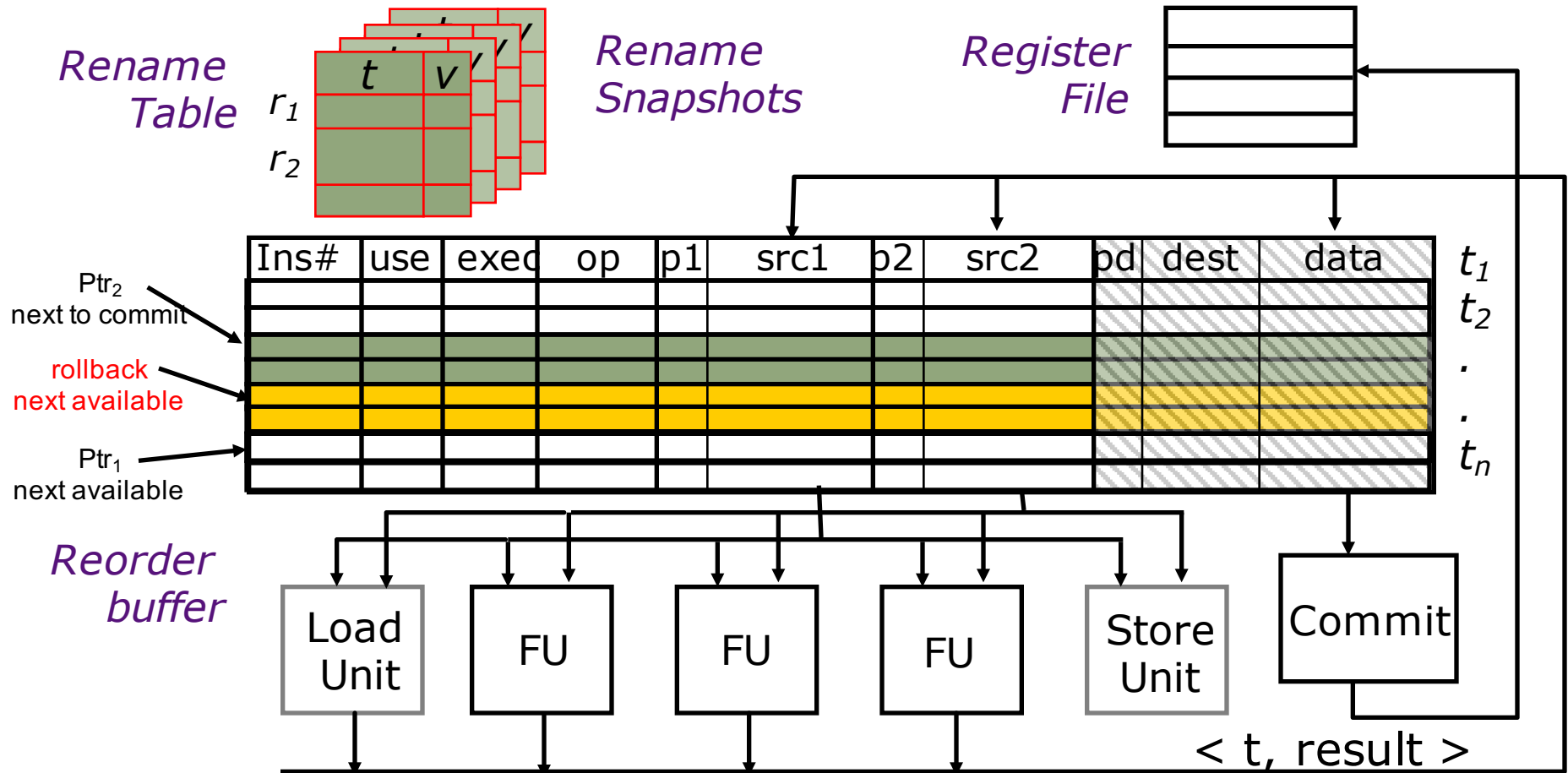
Greedy

Exceptions & branch mispredicts

Clear valid bits

After drain

Recovering ROB/Renaming Table



Take snapshot of register rename table at each predicted branch, recover earlier snapshot if branch mispredicted

Map Table Recovery - Snapshots

Speculative value management of microarchitectural state

	Reg Map	V
R0	T20	X
R1	T08	
R2	T45	X
R3	T128	X
	⋮	
R30	T54	
R31	T88	X

Map Table Recovery - Snapshots

Speculative value management of microarchitectural state

	Reg Map	V
R0	T20	X
R1	T08	
R2	T45	X
R3	T128	X
	⋮	
R30	T54	
R31	T88	X

	Snap Map	V
	T20	X
	T08	
	T45	X
	T128	X
	⋮	
	T54	
	T88	X

Map Table Recovery - Snapshots

Speculative value management of microarchitectural state

	Reg Map	V		Snap Map	V
R0	T20	X		T20	X
R1	T73	X		T08	
R2	T45	X		T45	X
R3	T128	X		T128	X
	⋮			⋮	
R30	T54			T54	
R31	T88	X		T88	X

Map Table Recovery - Snapshots

Speculative value management of microarchitectural state

	Reg Map	V		Snap Map	V
R0	T20	X		T20	X
R1	T73	X		T08	
R2	T45	X		T45	X
R3	T128			T128	X
	⋮			⋮	
R30	T54			T54	
R31	T88	X		T88	X

Map Table Recovery - Snapshots

Speculative value management of microarchitectural state

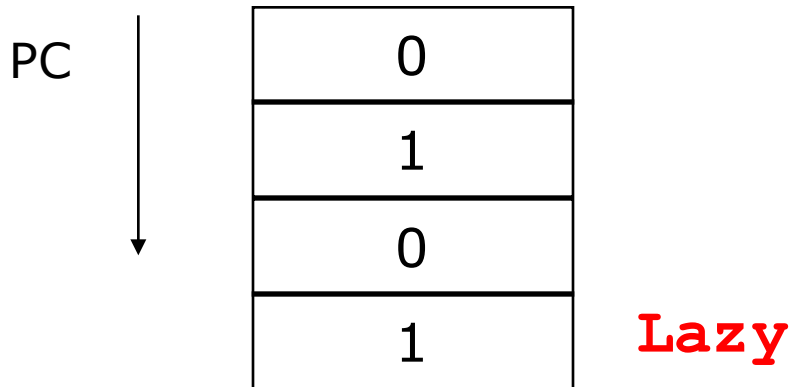
	Reg Map	V	Snap Map	V	Snap Map	V
R0	T20	X	T20	X	T20	X
R1	T73	X	T73	X	T08	
R2	T45	X	T45	X	T45	X
R3	T128		T128		T128	X
	⋮		⋮		⋮	
R30	T54		T54		T54	
R31	T88	X	T88	X	T88	X

What kind of value management is this?

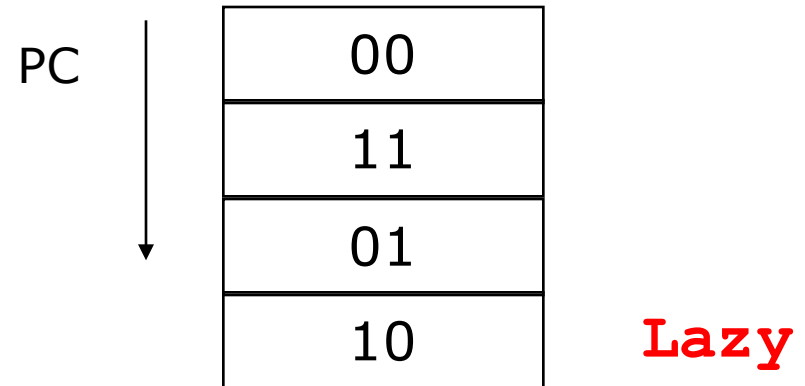
Greedy!!

Branch Predictor Recovery

- 1-Bit Counter Recovery



- 2-Bit Counter Recovery



- Global History Recovery

10101010

Greedy

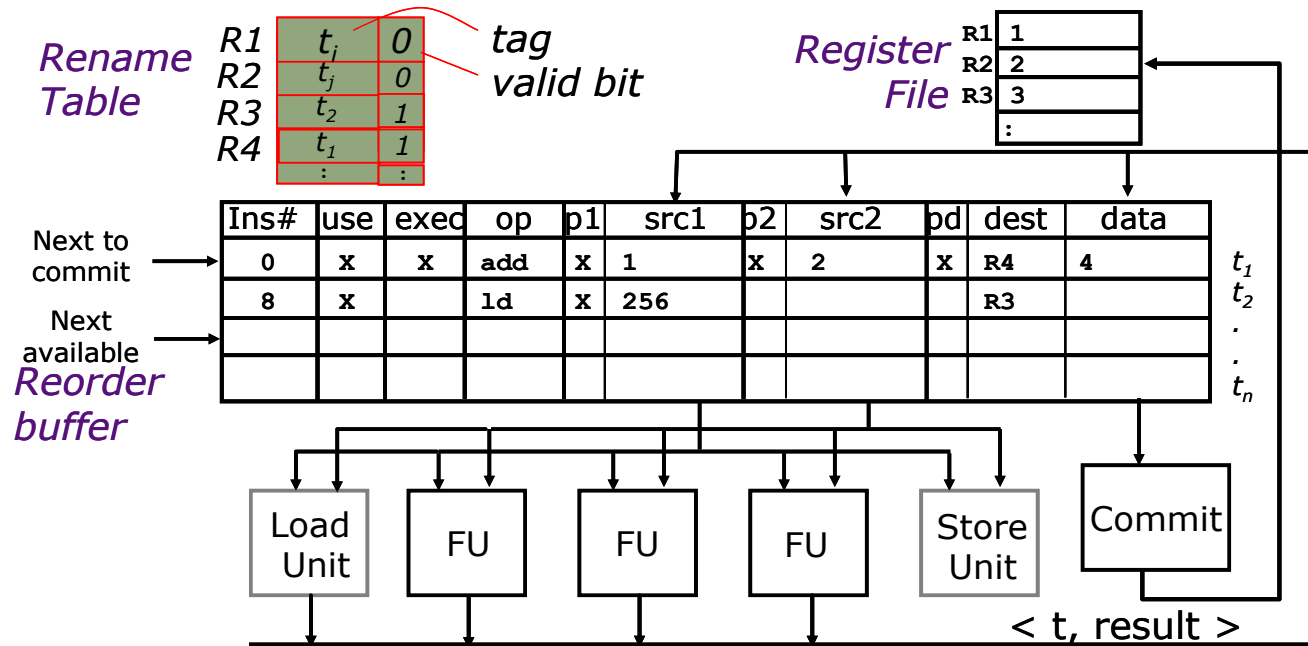
- Local History Recovery

PC ↓

10101010
01010101

Greedy !!

O-o-O Execution with ROB

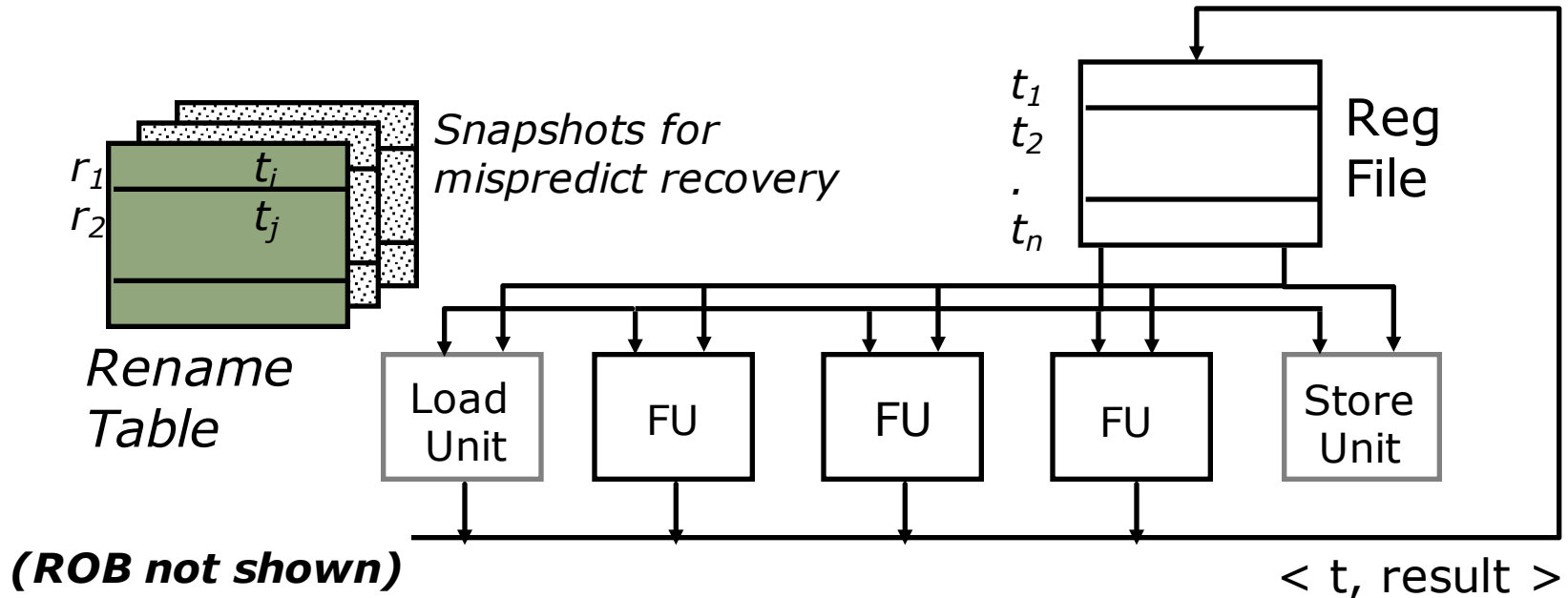


Basic Operation:

- Enter op and tag or data (if known) for each source
- Replace tag with data as it becomes available
- Issue instruction when all sources are available
- Save dest data when operation finishes
- Commit saved dest data when instruction commits

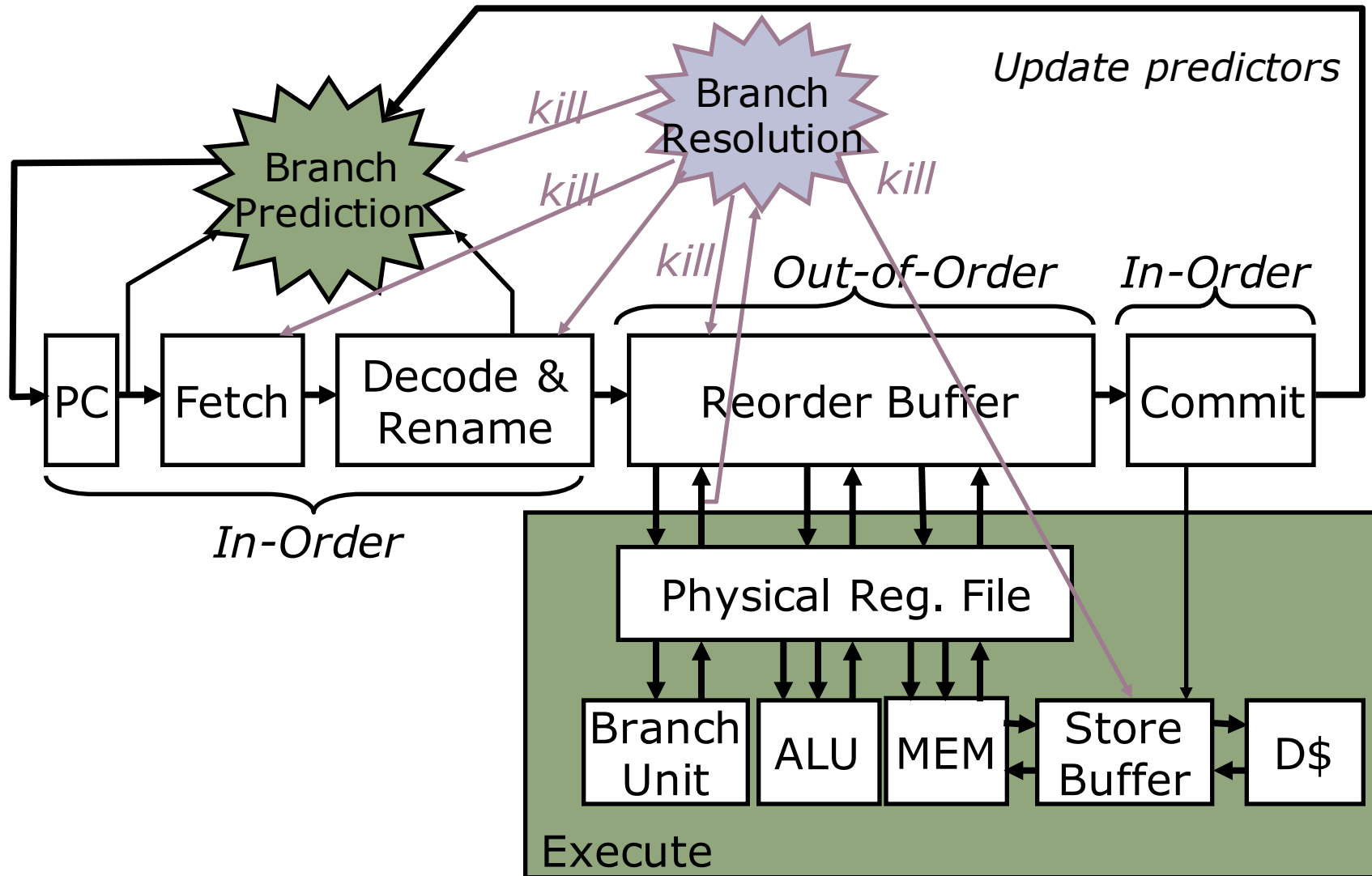
Unified Physical Register File

(MIPS R10K, Alpha 21264, Pentium 4)



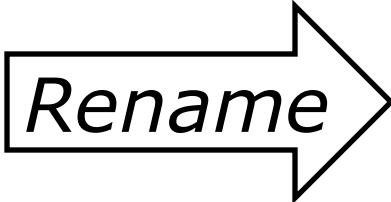
- One regfile for both *committed* and *speculative* values (no data in ROB)
- During decode, instruction result allocated new physical register, source regs translated to physical regs through rename table
- Instruction reads data from regfile at start of execute (not in decode)
- Write-back updates reg. busy bits on instructions in ROB (assoc. search)
- Snapshots of rename table taken at every branch to recover mispredicts
- On exception, renaming undone in reverse order of issue (*MIPS R10000*)

Speculative & Out-of-Order Execution



Lifetime of Physical Registers

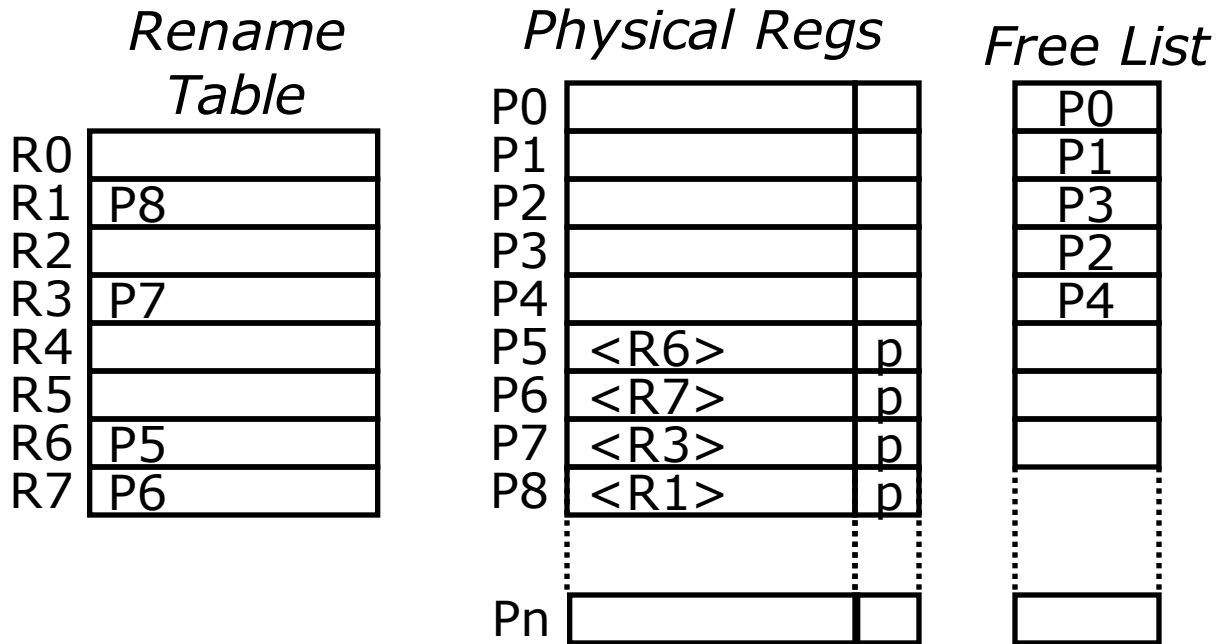
- Physical regfile holds committed and speculative values
- Physical registers decoupled from ROB entries (*no data in ROB*)

a)	ld r1 , (r3)		ld P1, (Px)
b)	add r3, r1, #4		add P2, P1, #4
c)	sub r1 , r3, r9		sub P3, P2, Py
d)	add r3 , r1, r7		add P4, P3, Pz
e)	ld r6, (r1)		ld P5, (P3)
f)	add r8, r6, r3		add P6, P5, P4
g)	st r8, (r1)		st P6, (P3)
h)	ld r3 , (r11)		ld P7, (Pw)

When can we reuse a physical register?

When next write to same architectural register commits

Physical Register Management



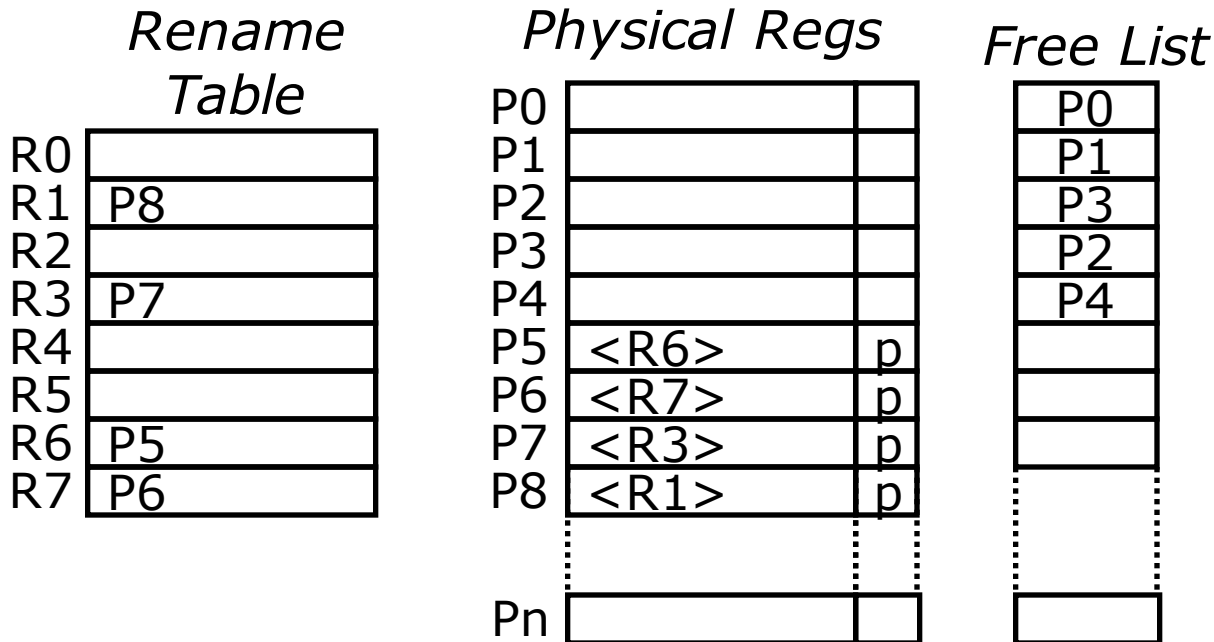
```
ld r1, 0(r3)
add r3, r1, #4
sub r6, r7, r6
add r3, r3, r6
ld r6, 0(r1)
```

ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd

(LPRd requires third read port on Rename Table for each instruction)

Physical Register Management

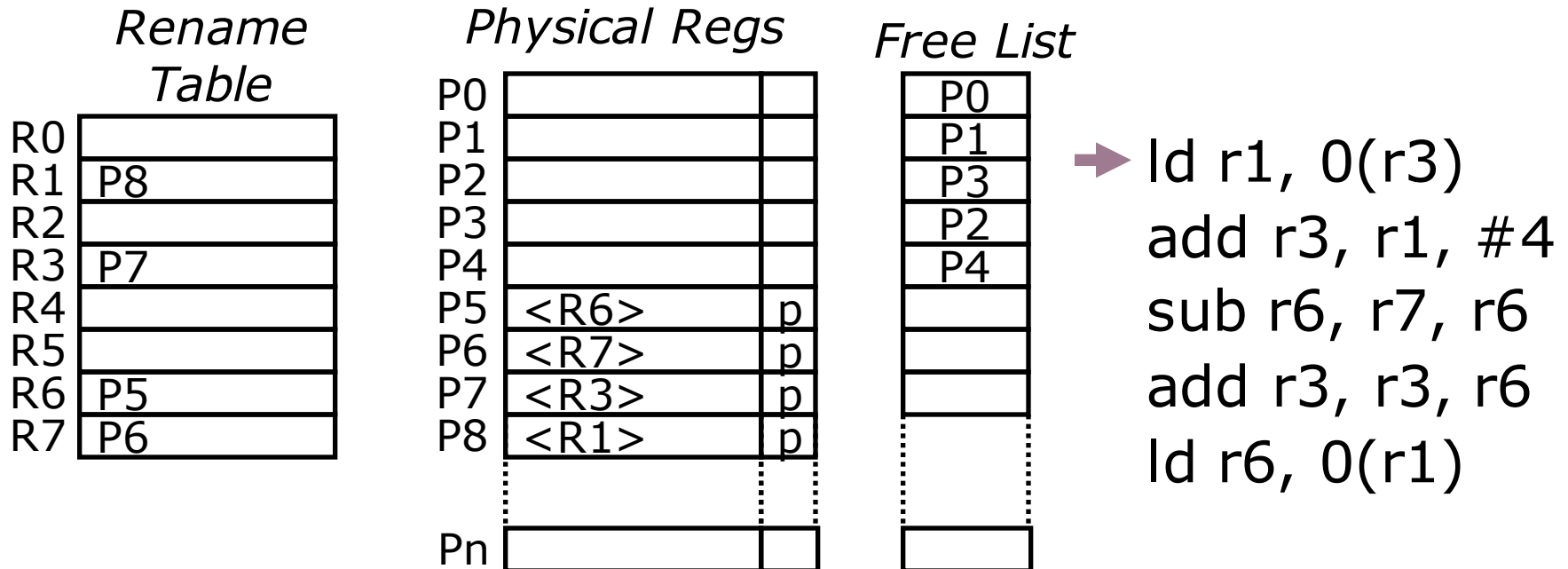


```
ld r1, 0(r3)
add r3, r1, #4
sub r6, r7, r6
add r3, r3, r6
ld r6, 0(r1)
```

ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd

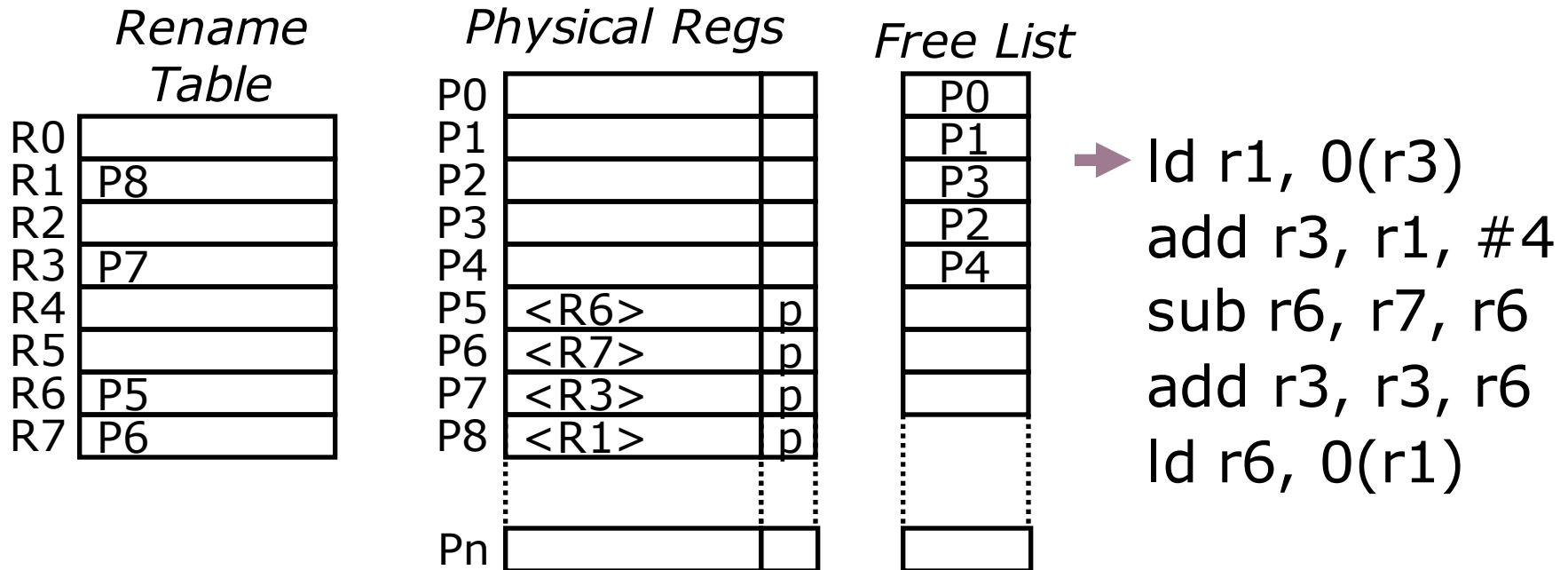
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd

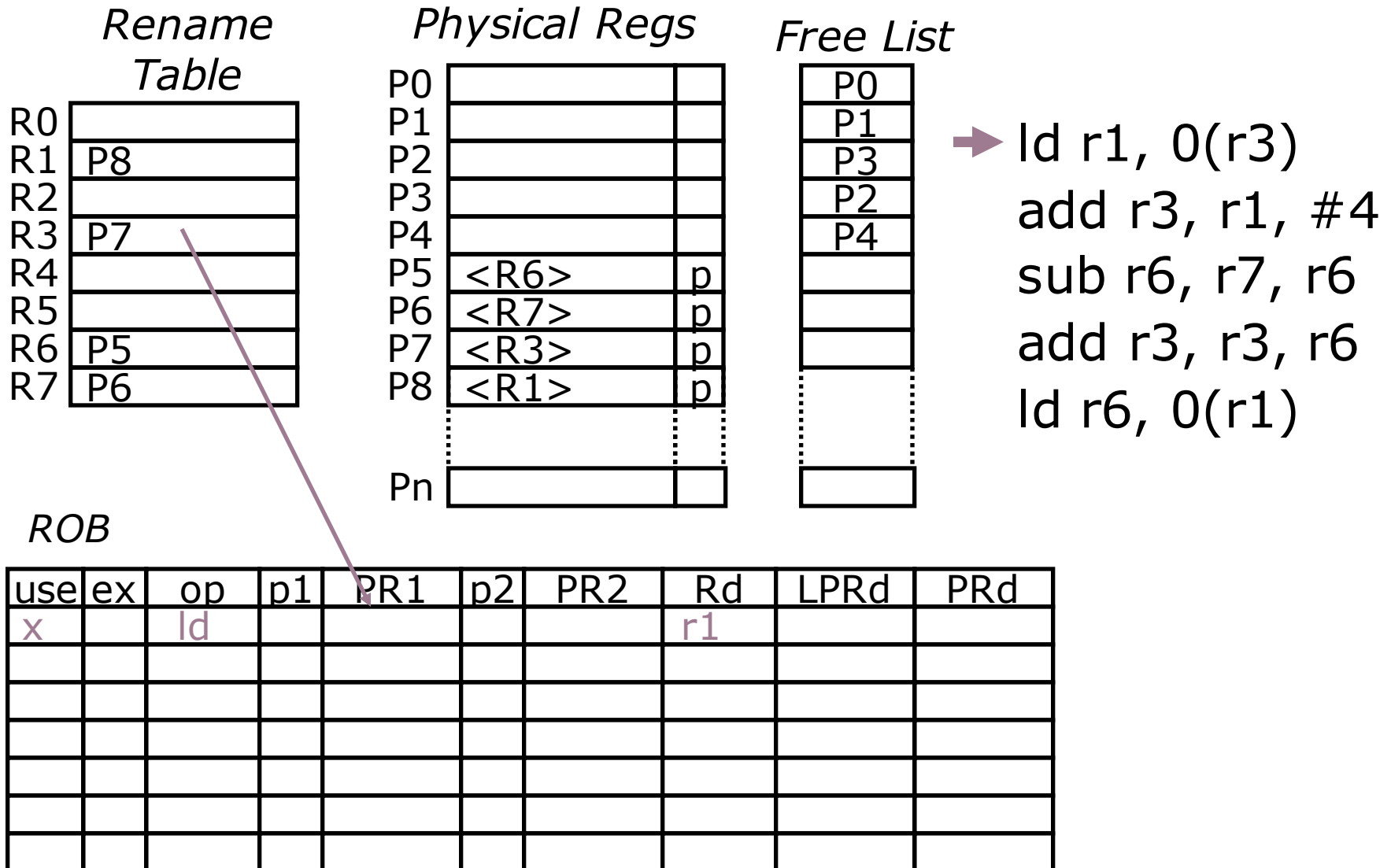
Physical Register Management



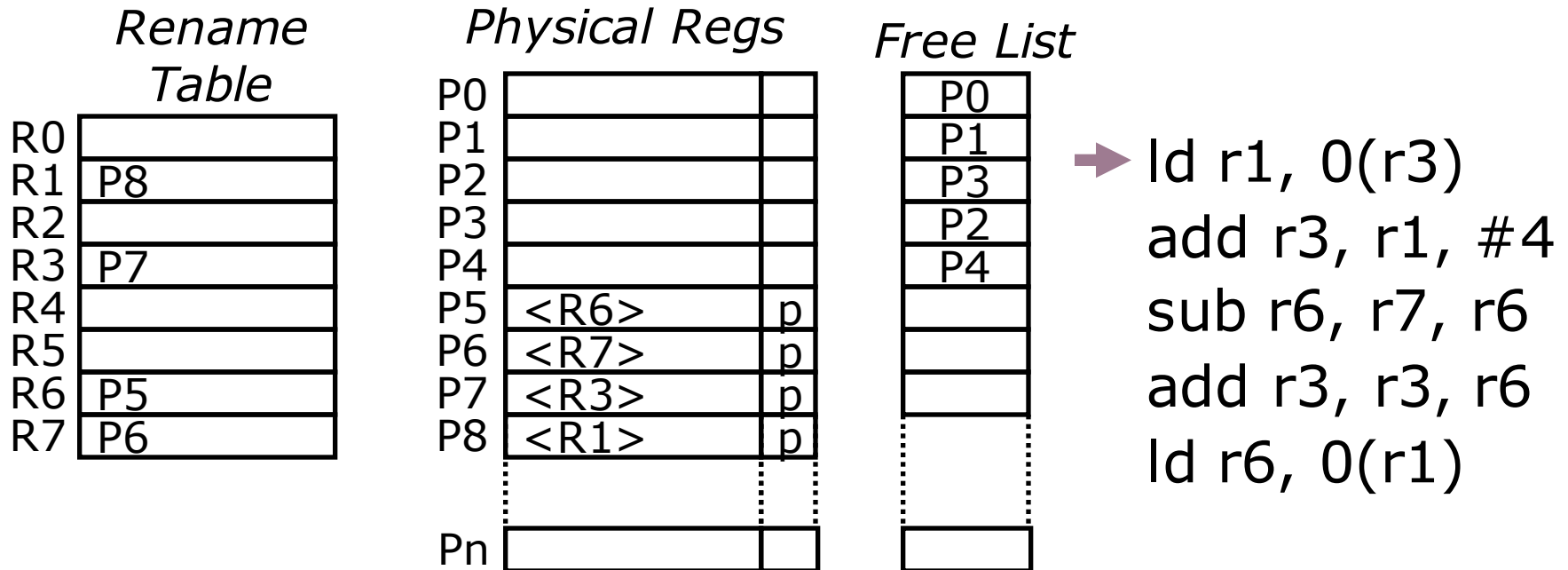
ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld					r1		

Physical Register Management



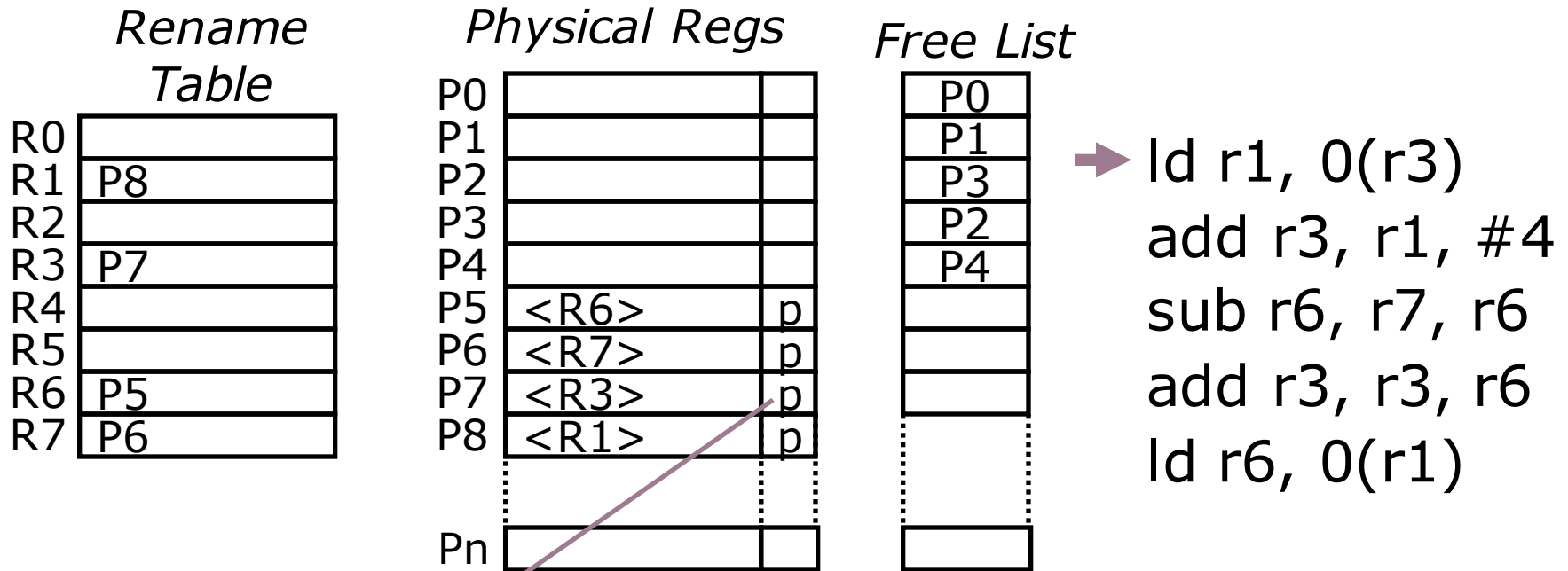
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld		P7			r1		

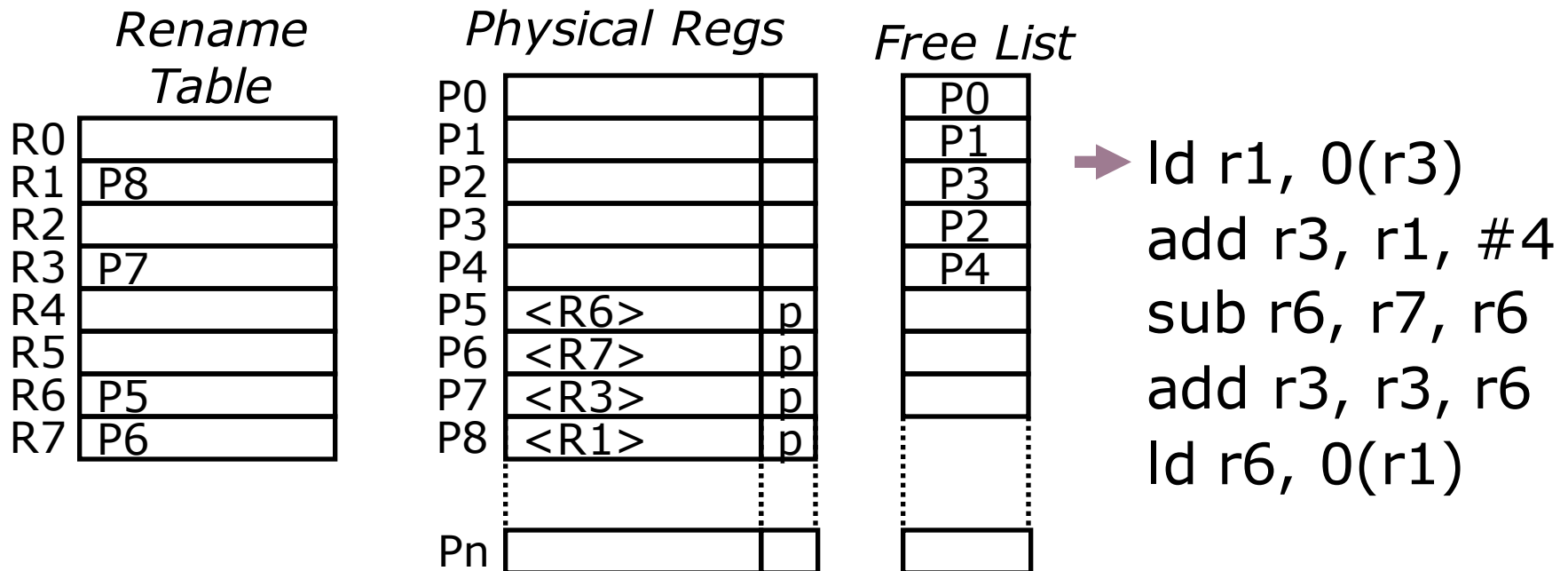
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld		P7			r1		

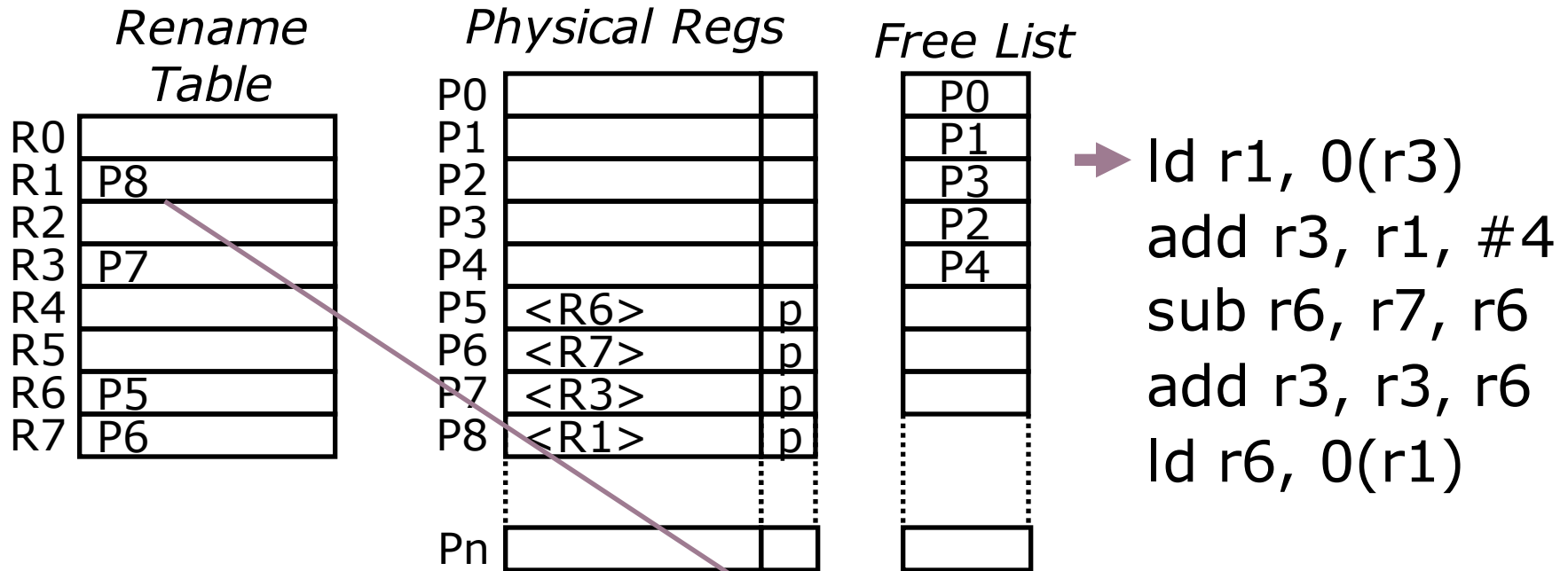
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1		

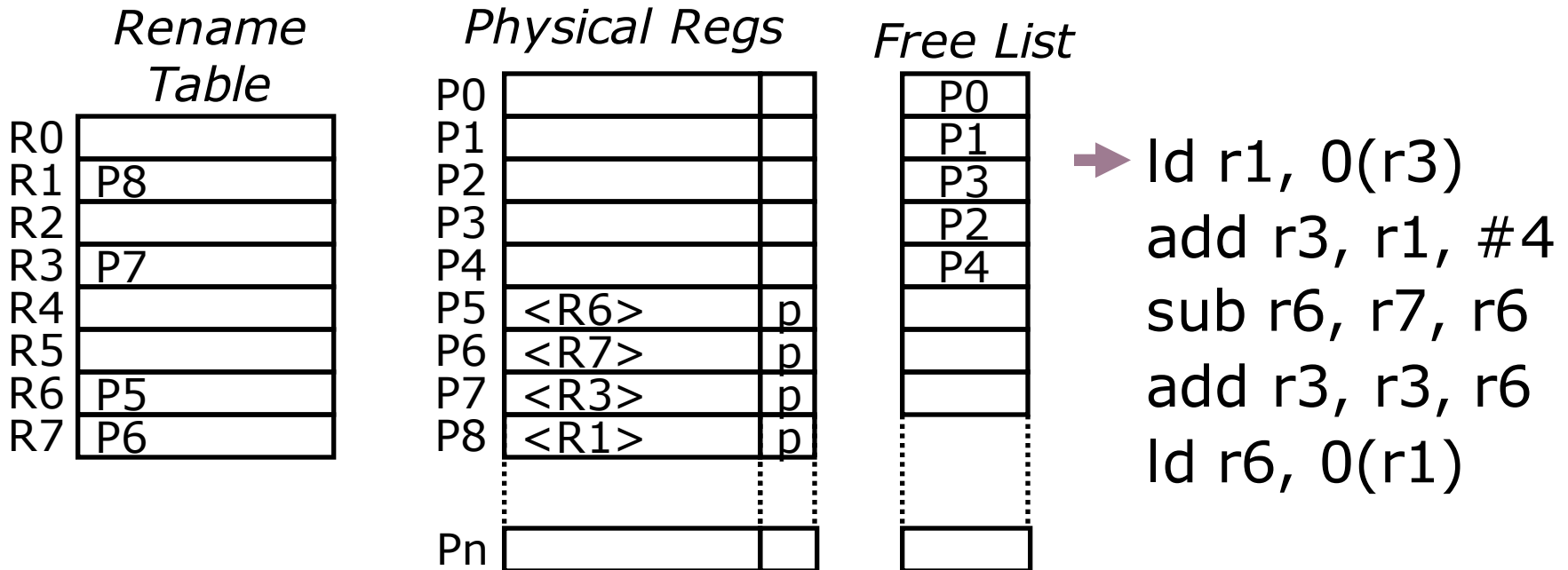
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1		

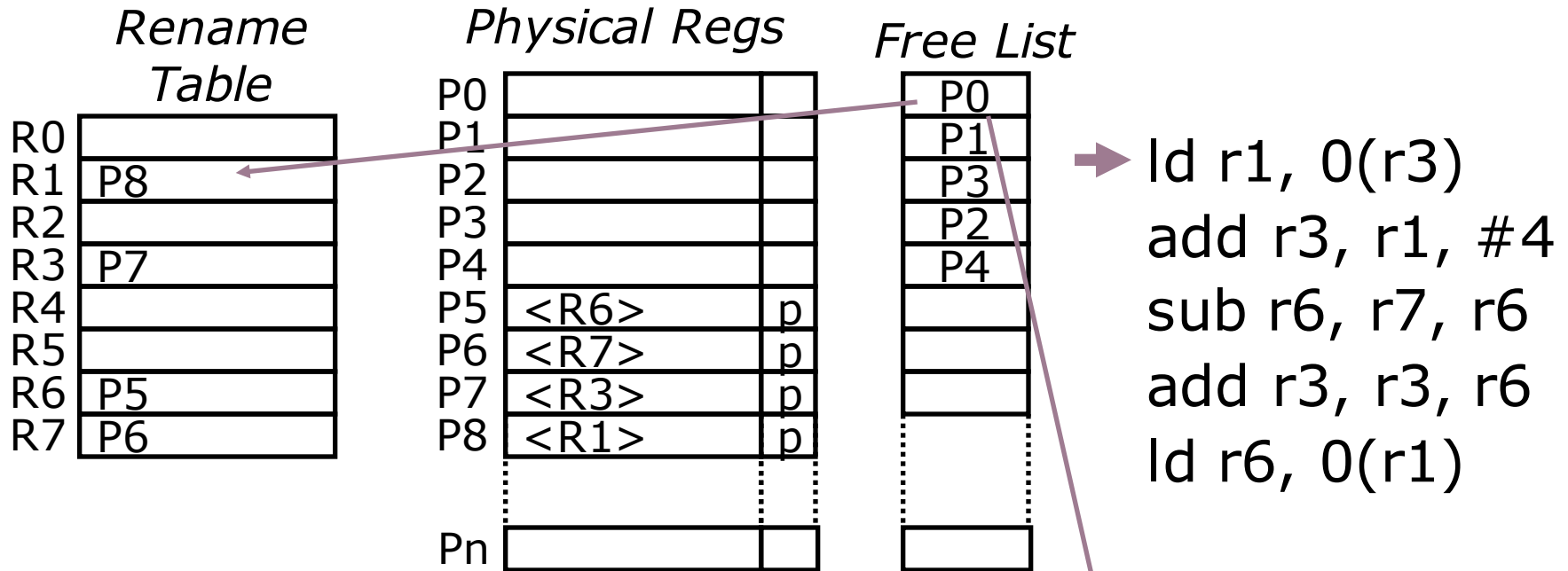
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	

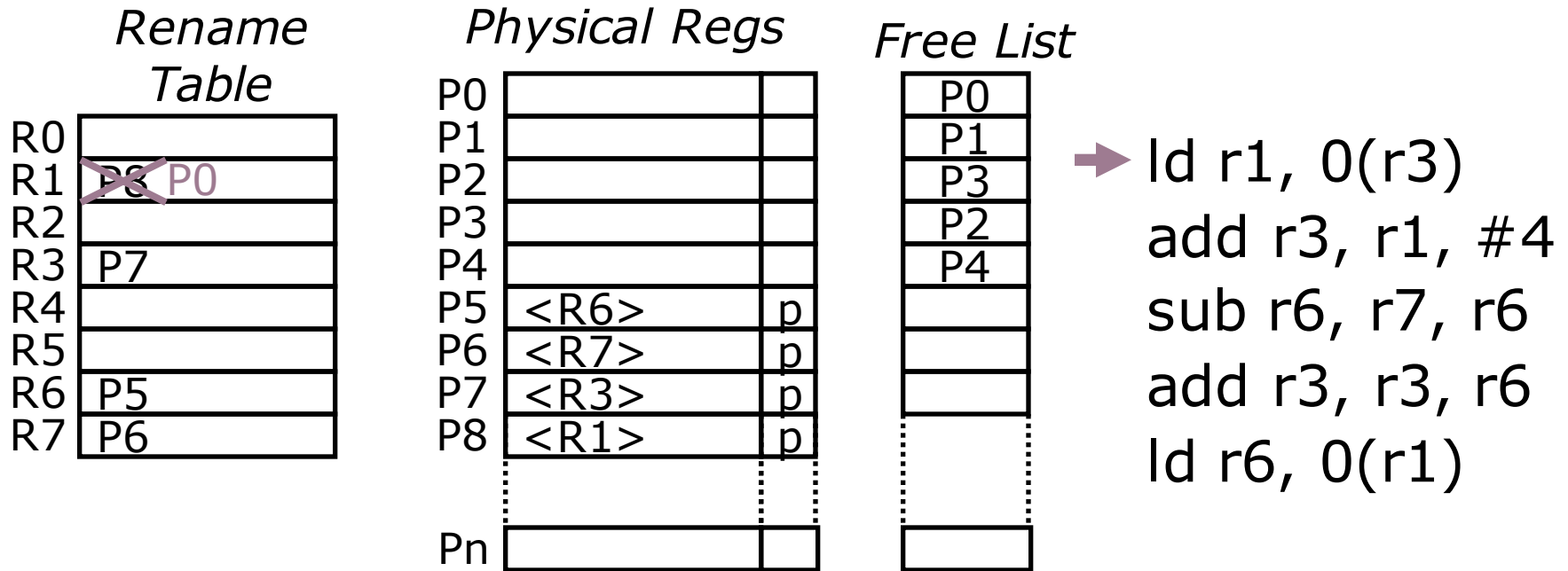
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	

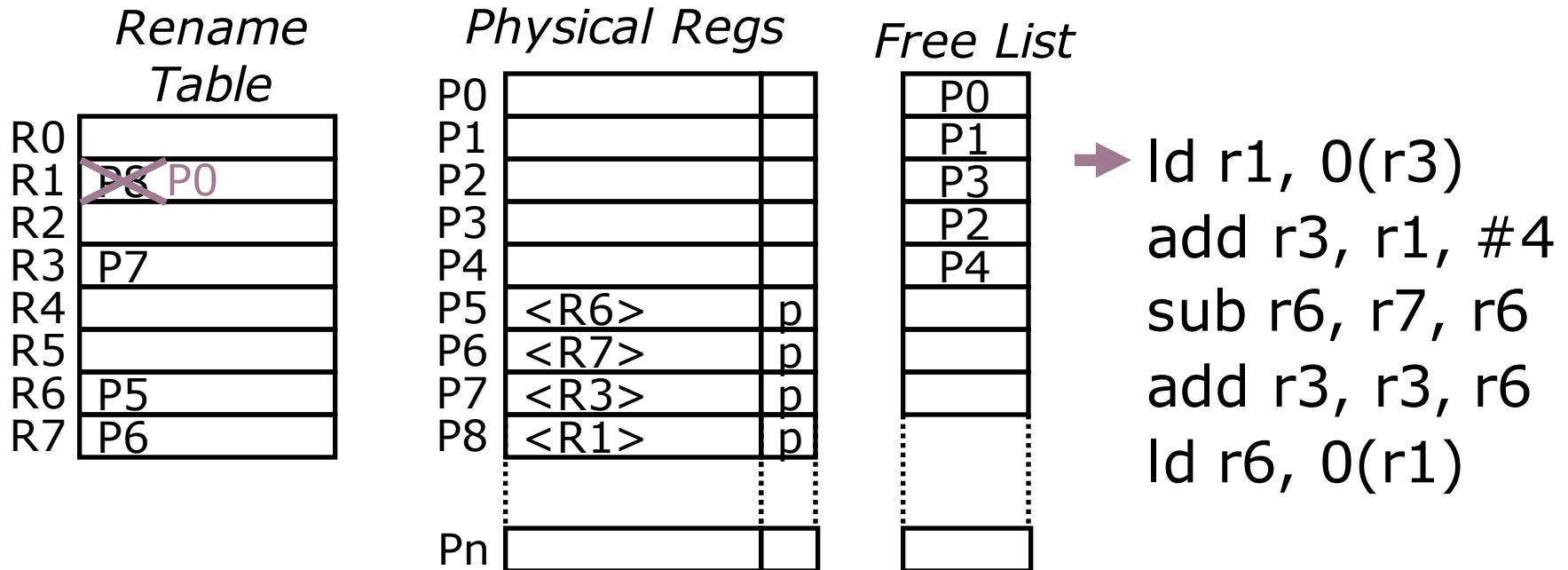
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	

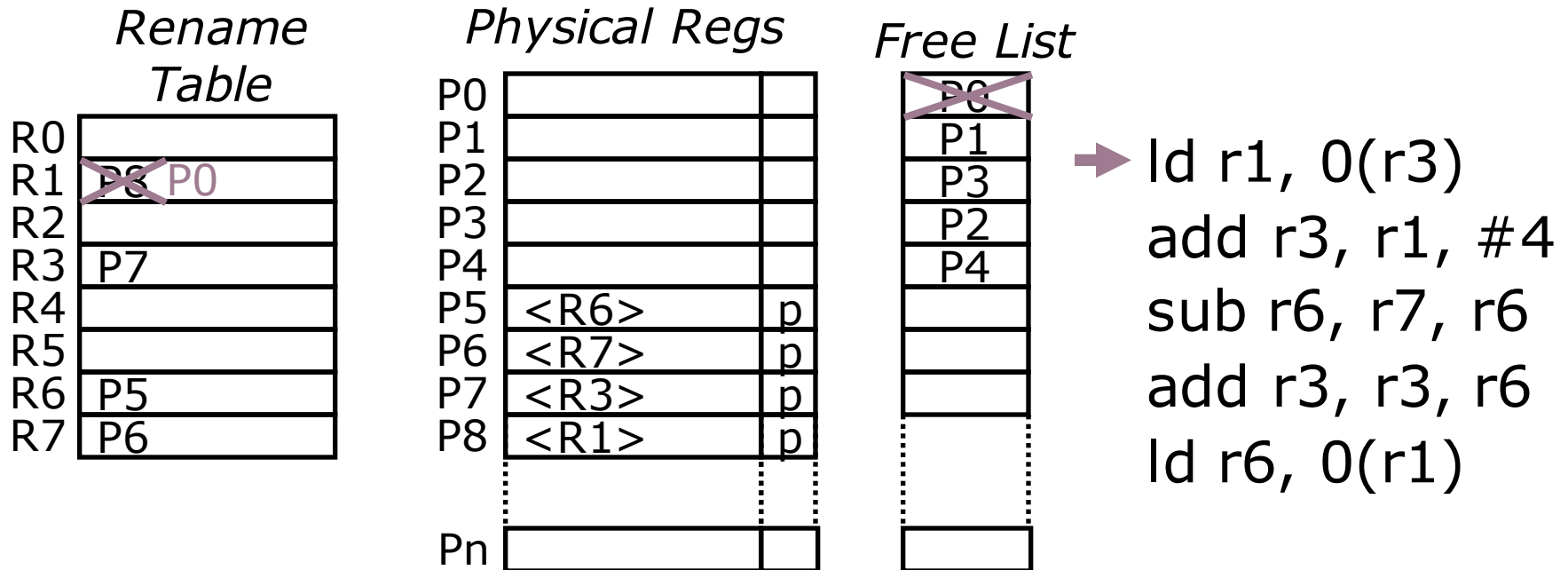
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0

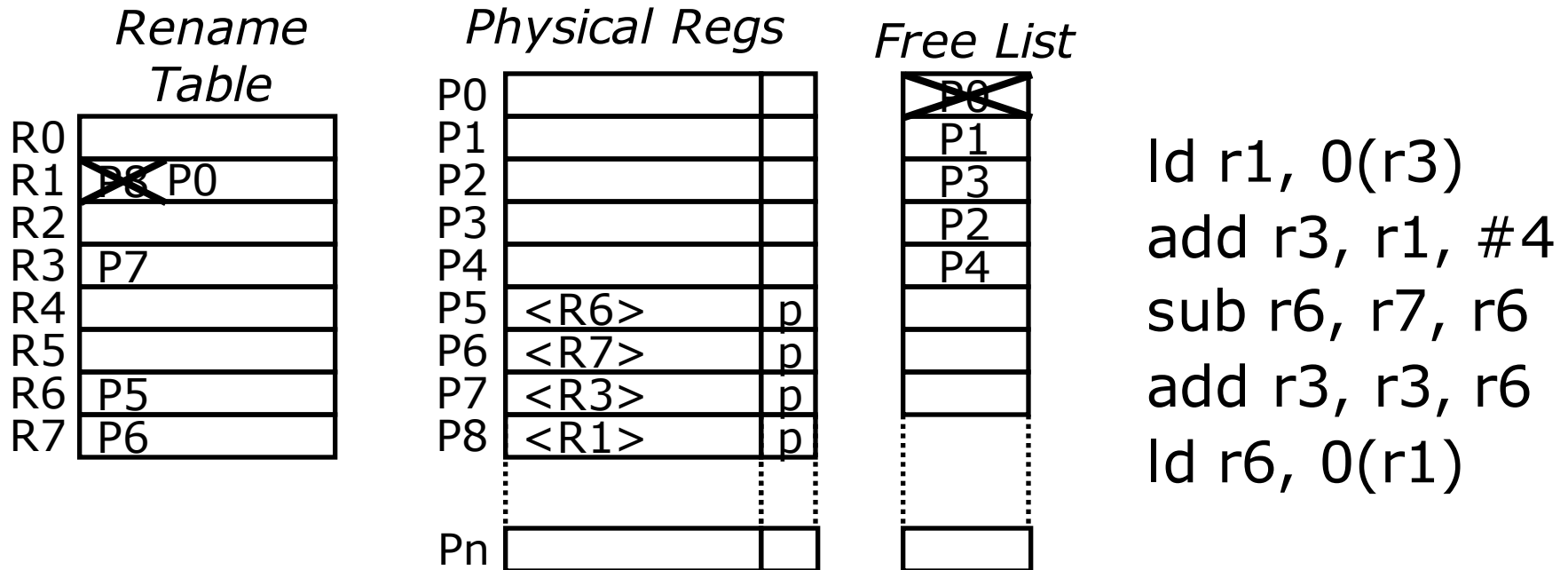
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0

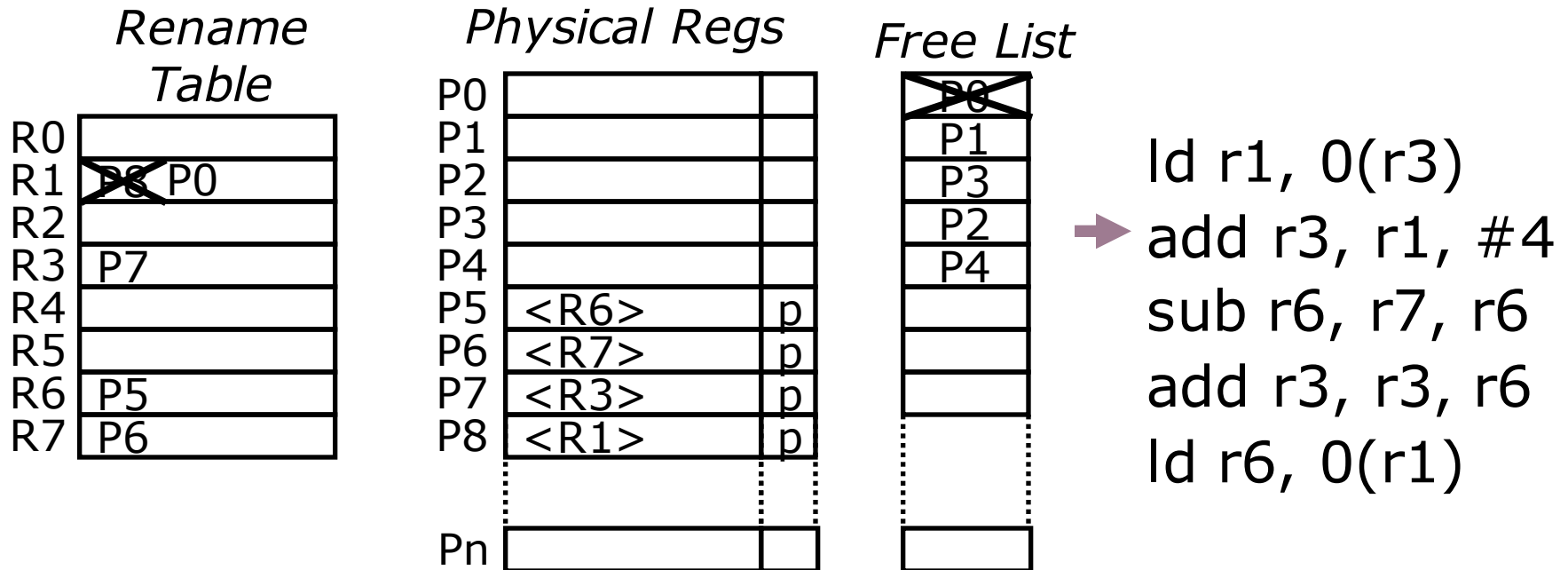
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0

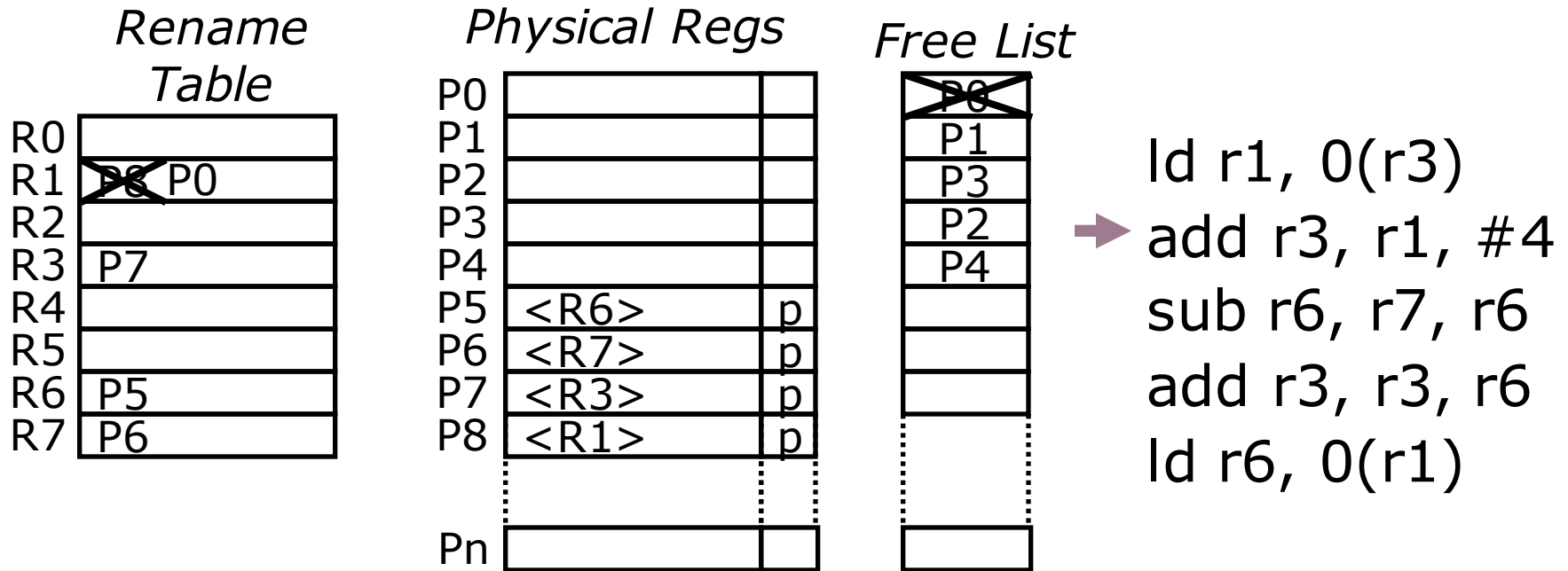
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0

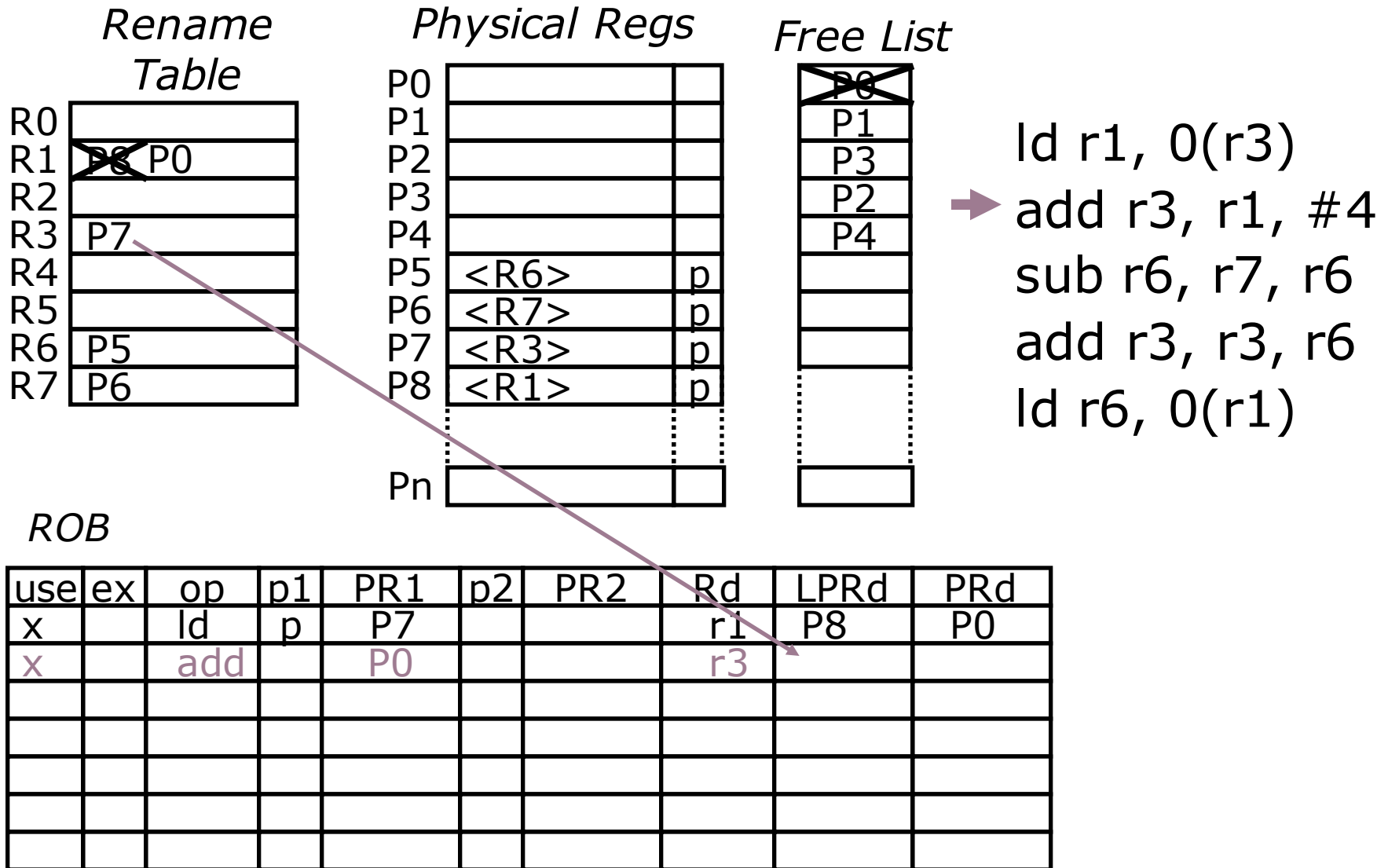
Physical Register Management



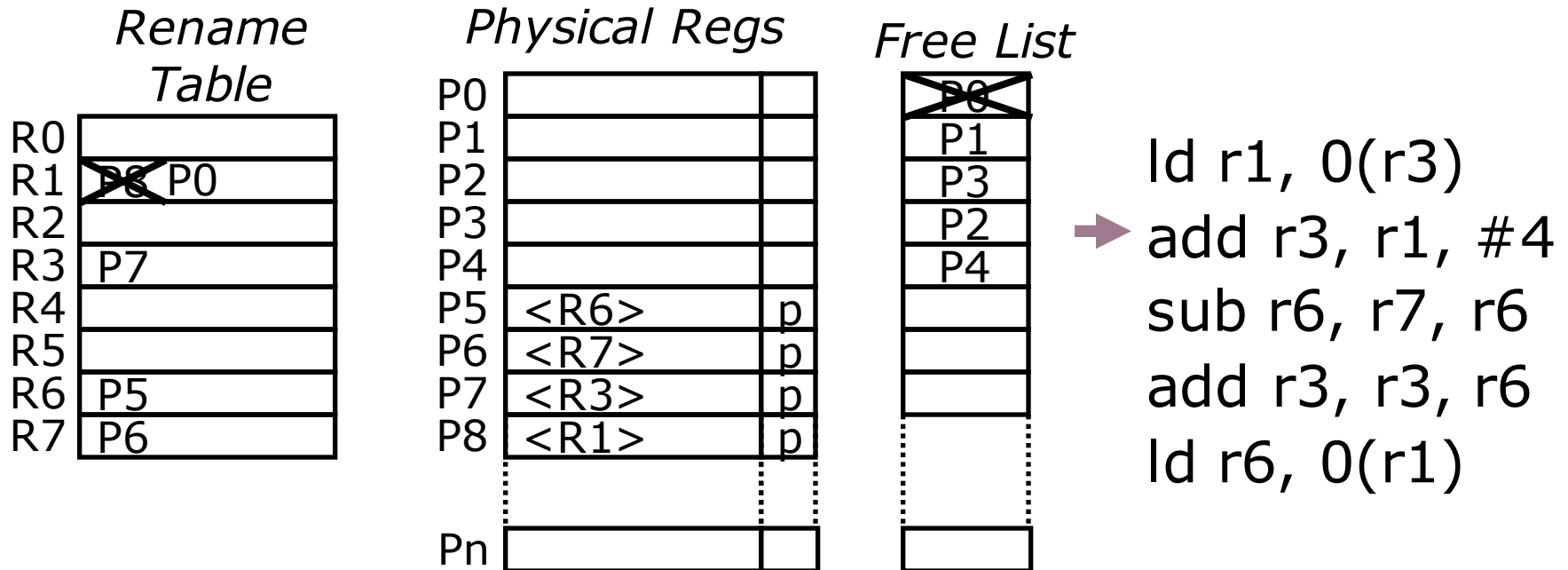
ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3		

Physical Register Management



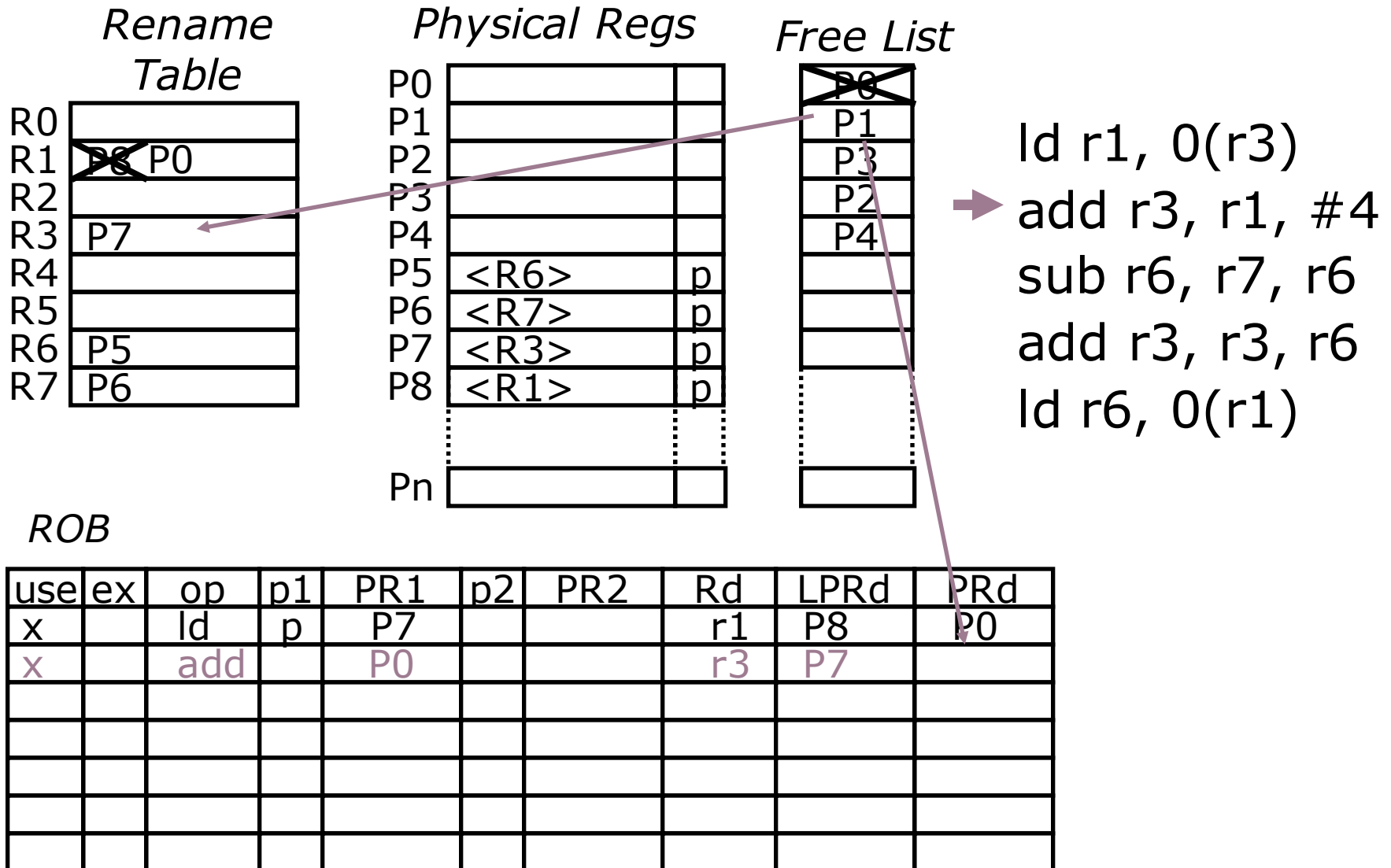
Physical Register Management



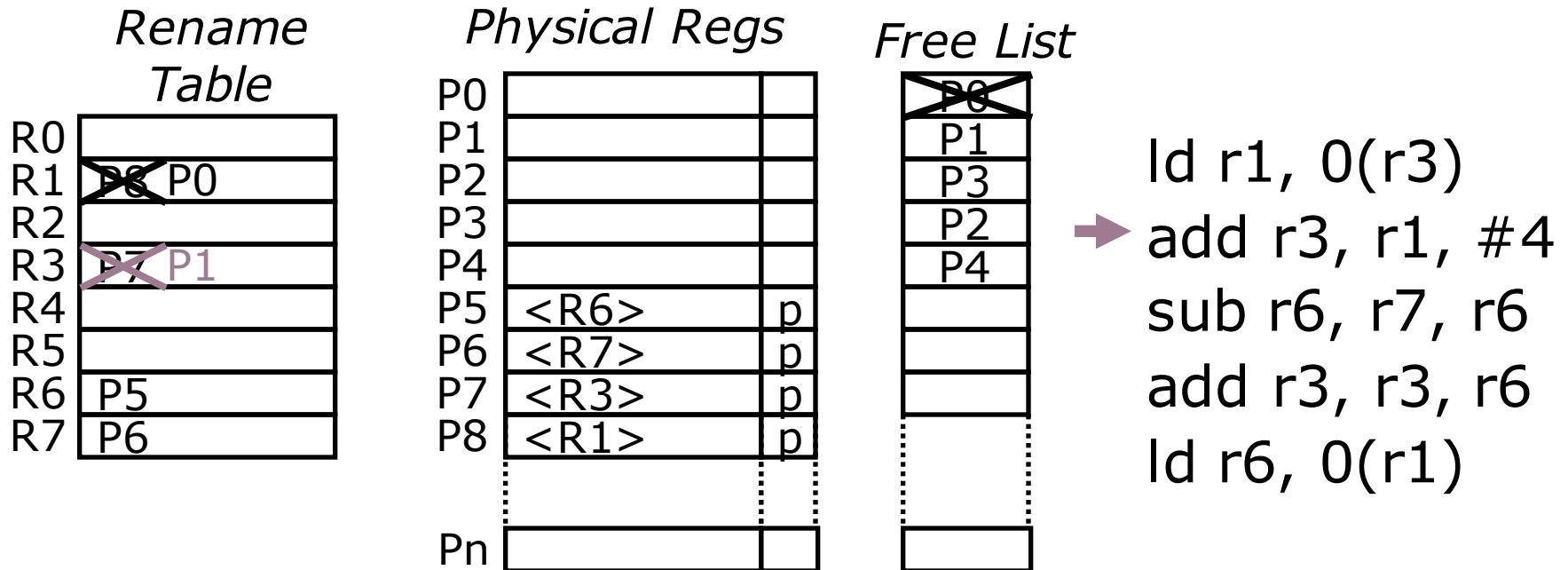
ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	

Physical Register Management



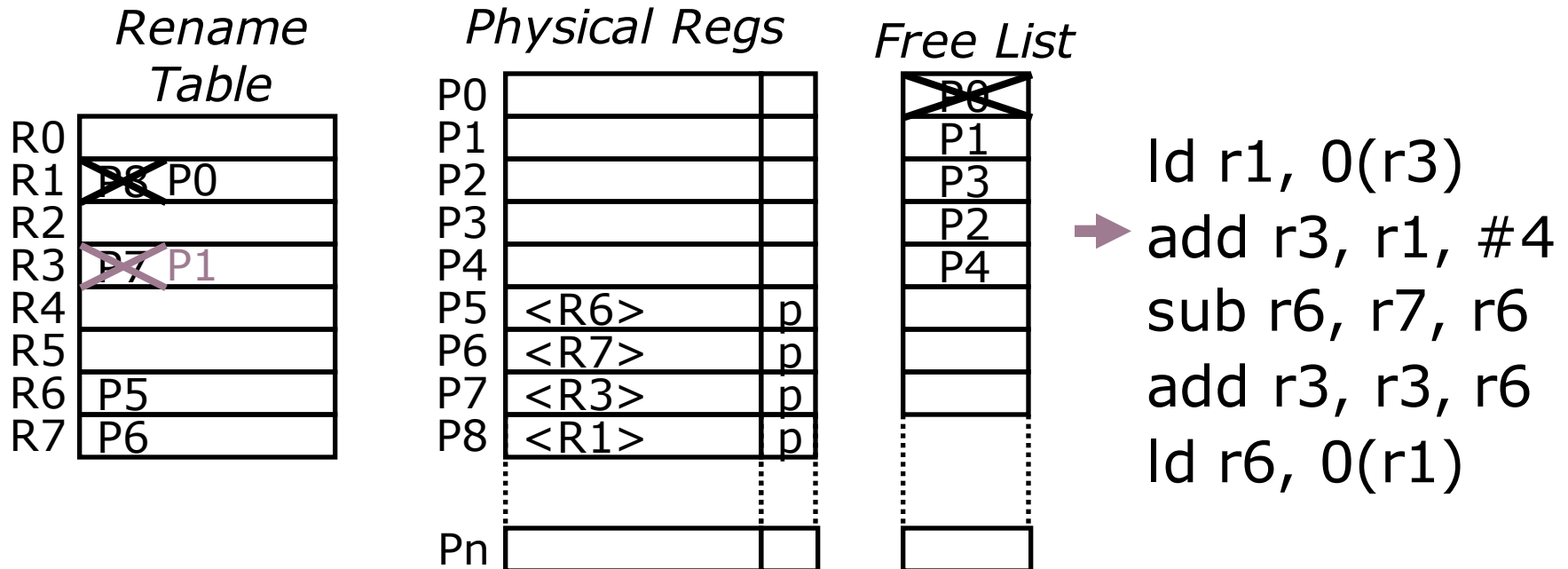
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	

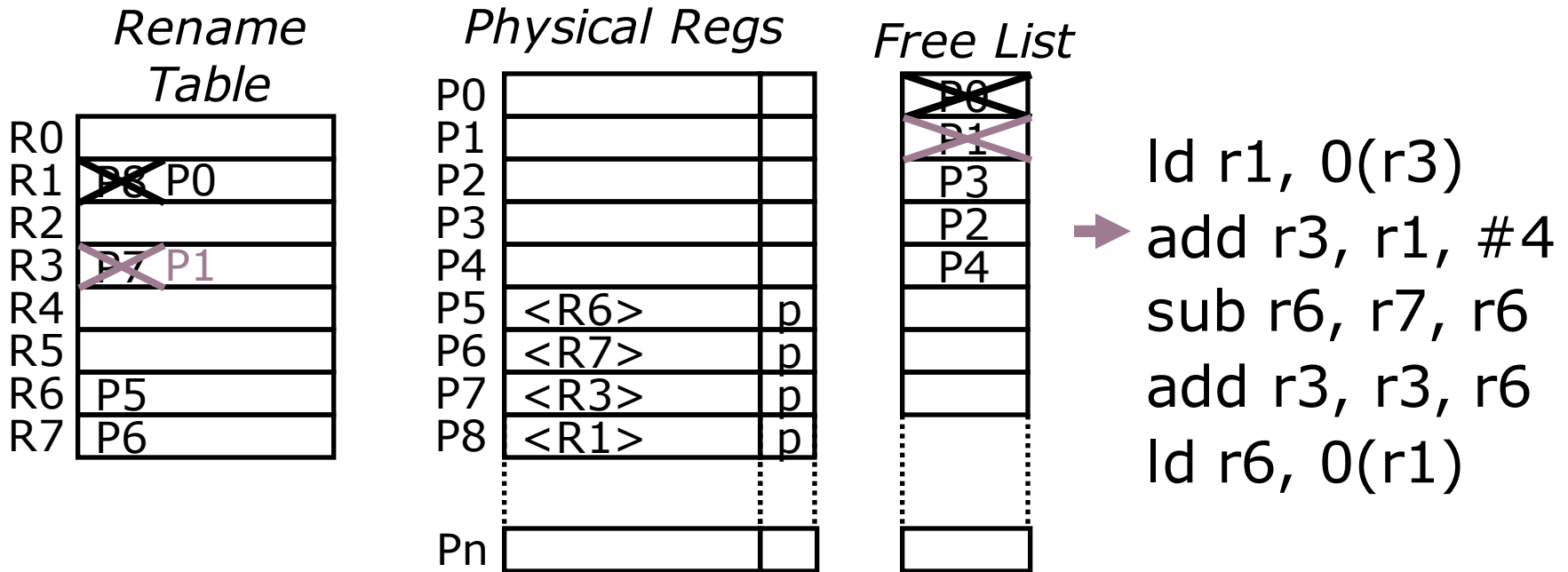
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1

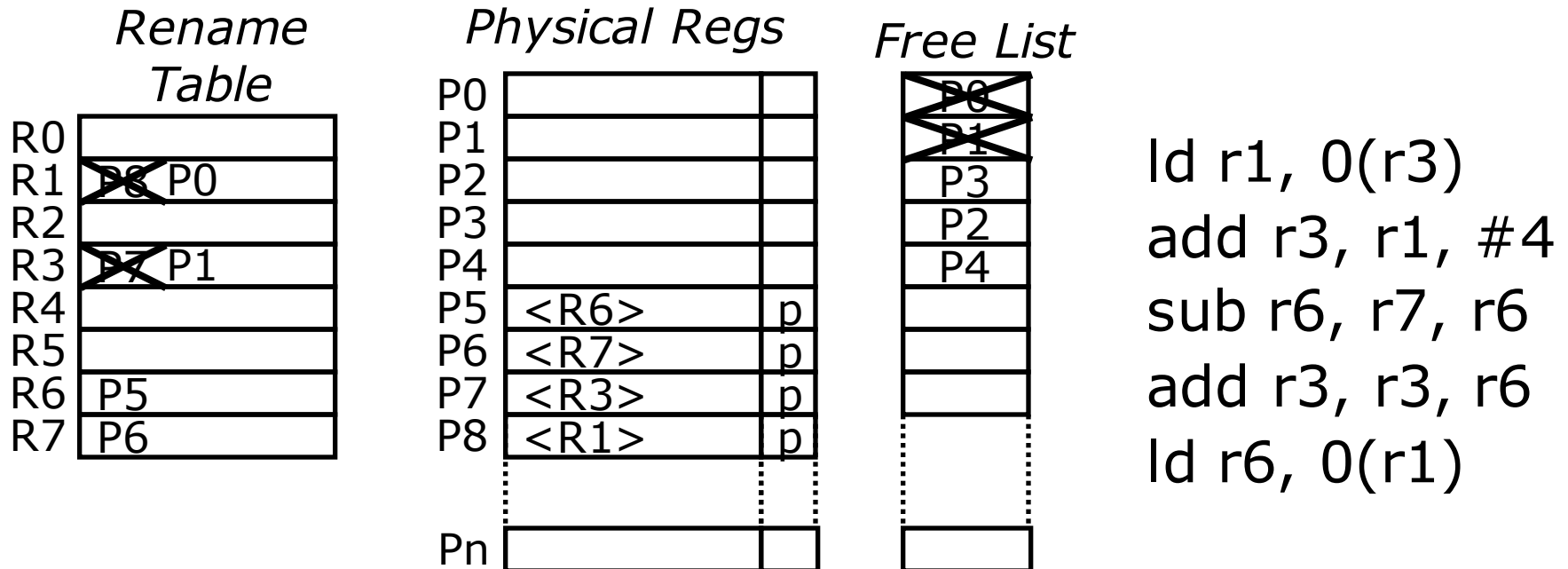
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1

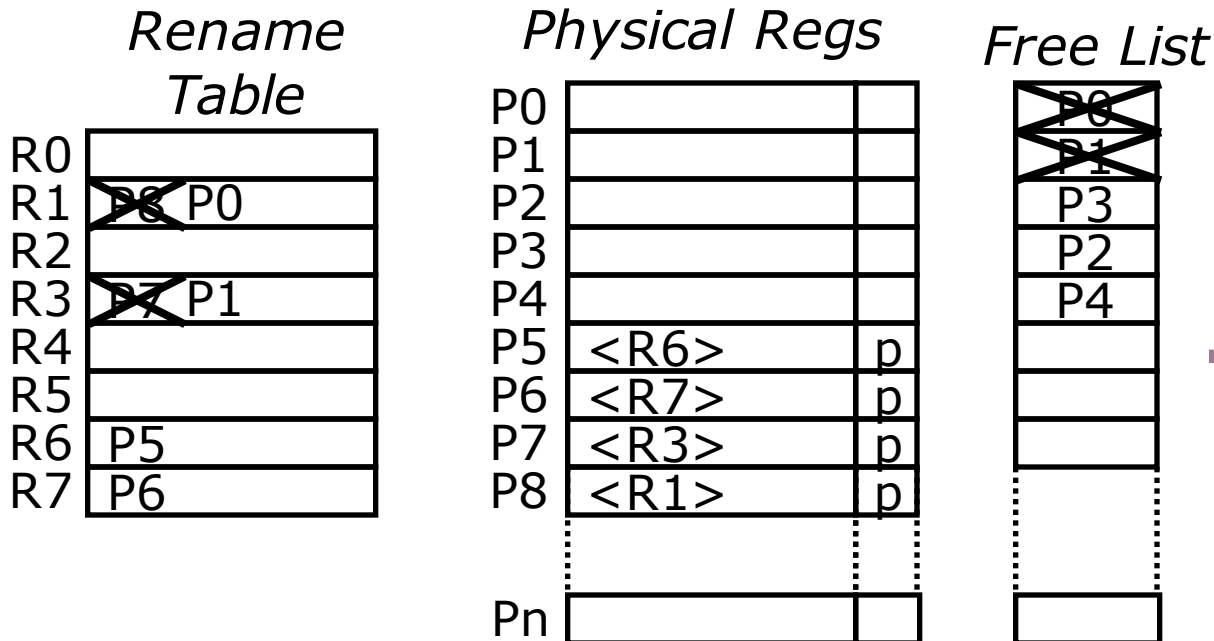
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1

Physical Register Management

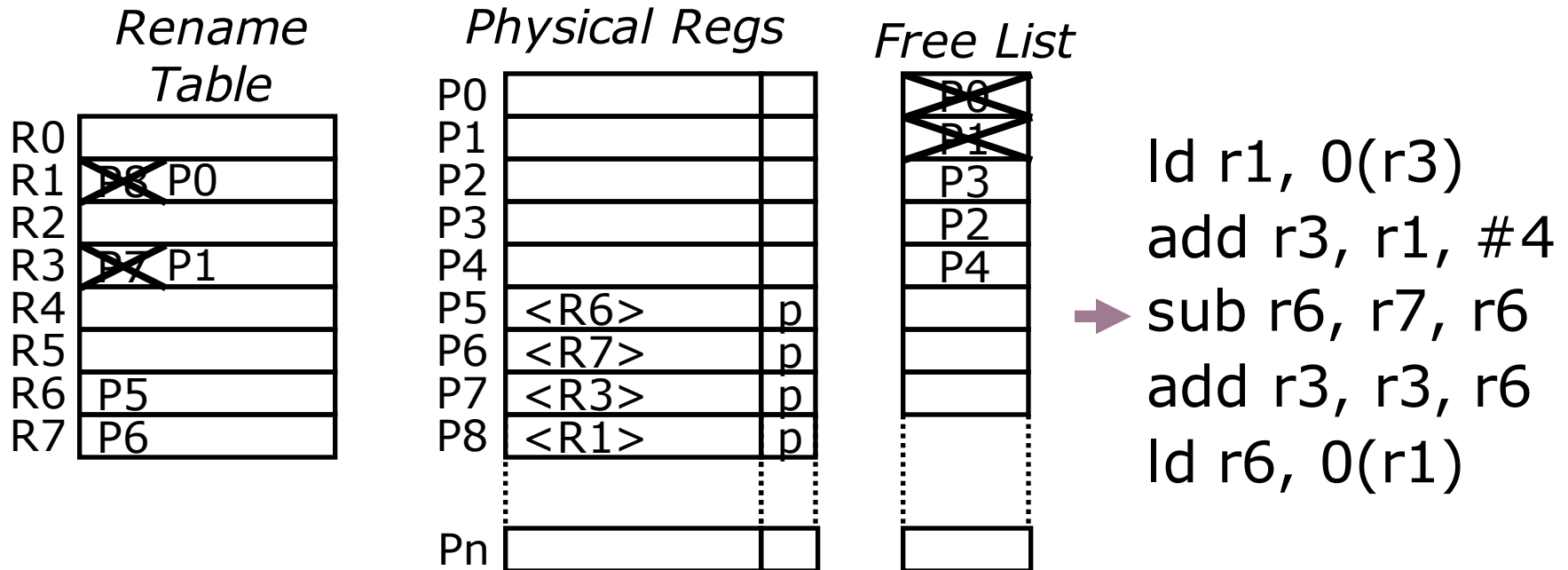


ld r1, 0(r3)
 add r3, r1, #4
 sub r6, r7, r6
 add r3, r3, r6
 ld r6, 0(r1)

ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1

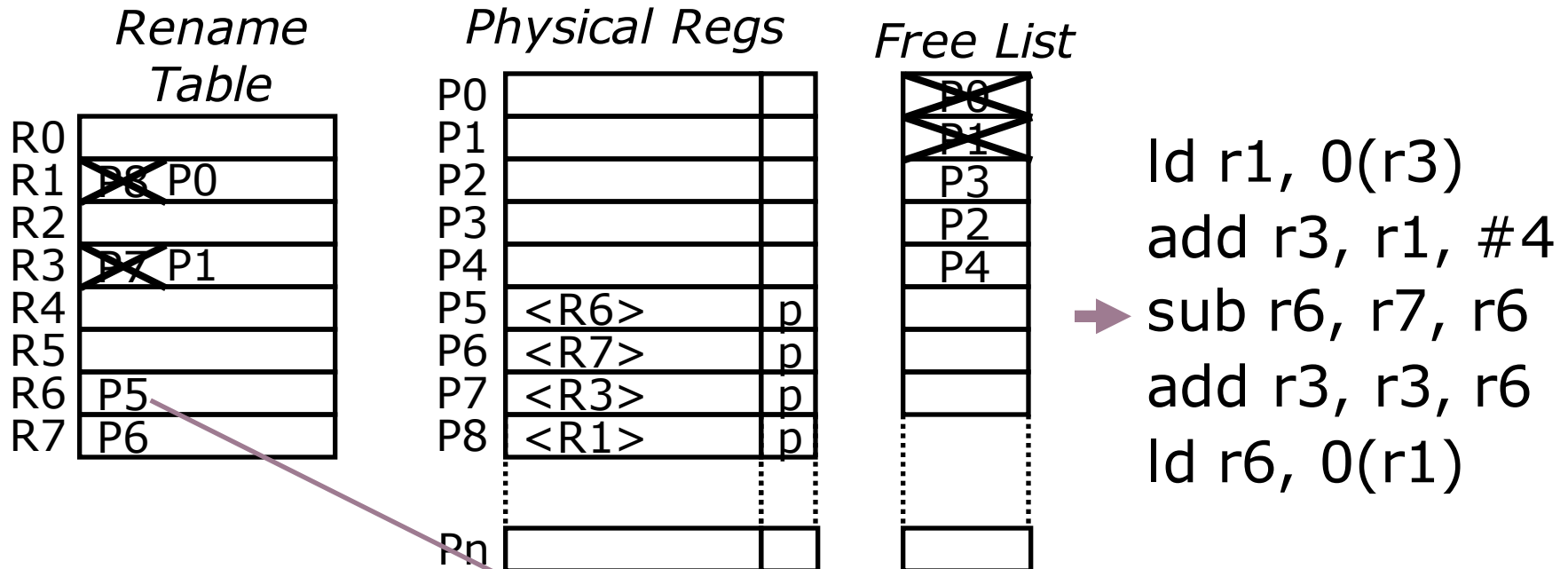
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6		

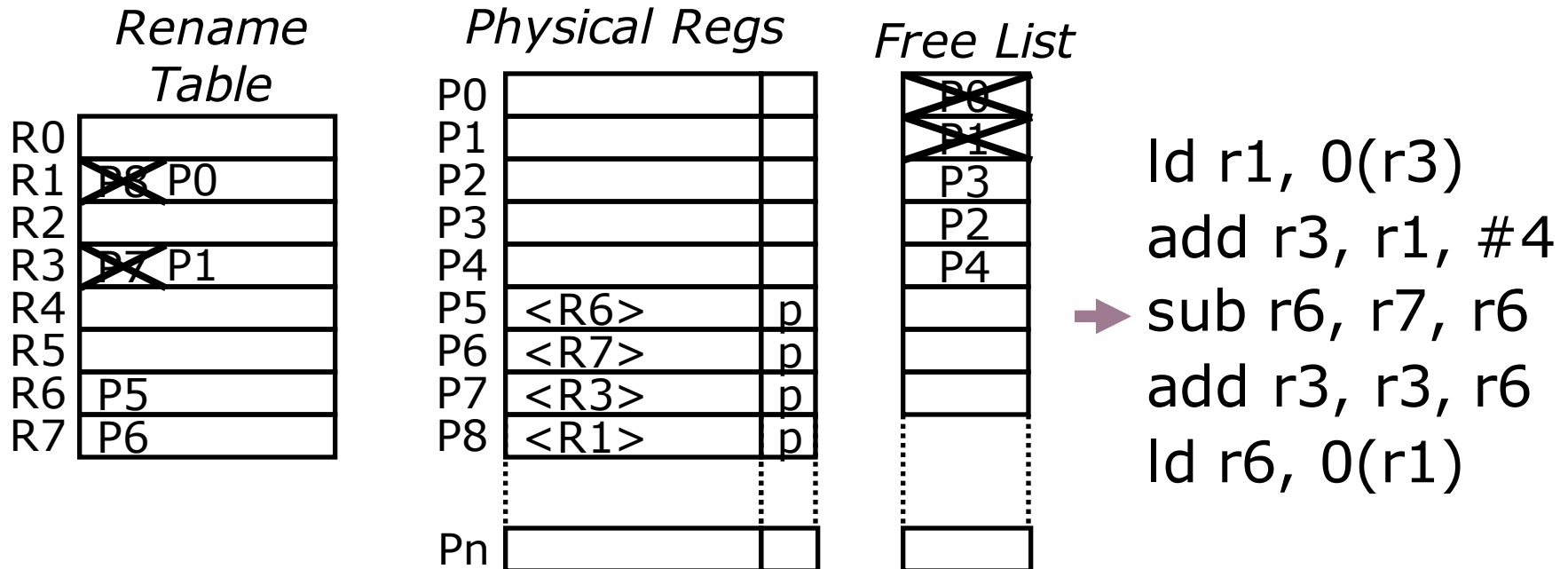
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6		

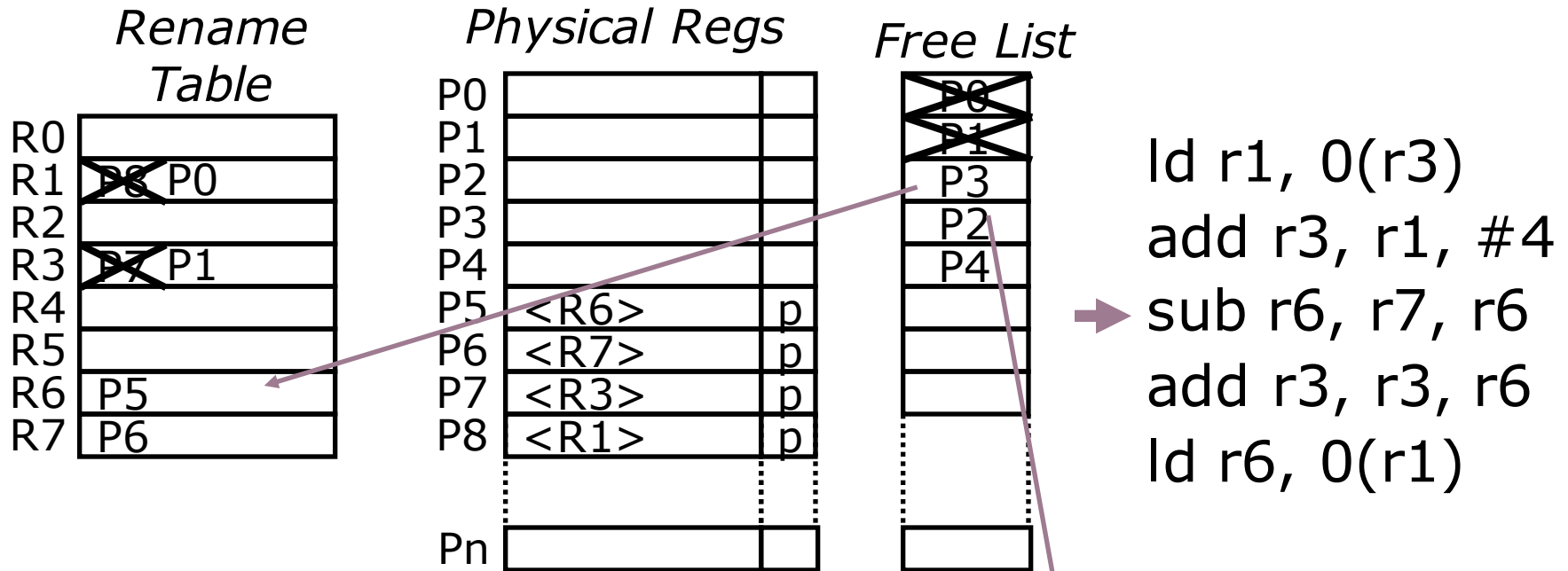
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	

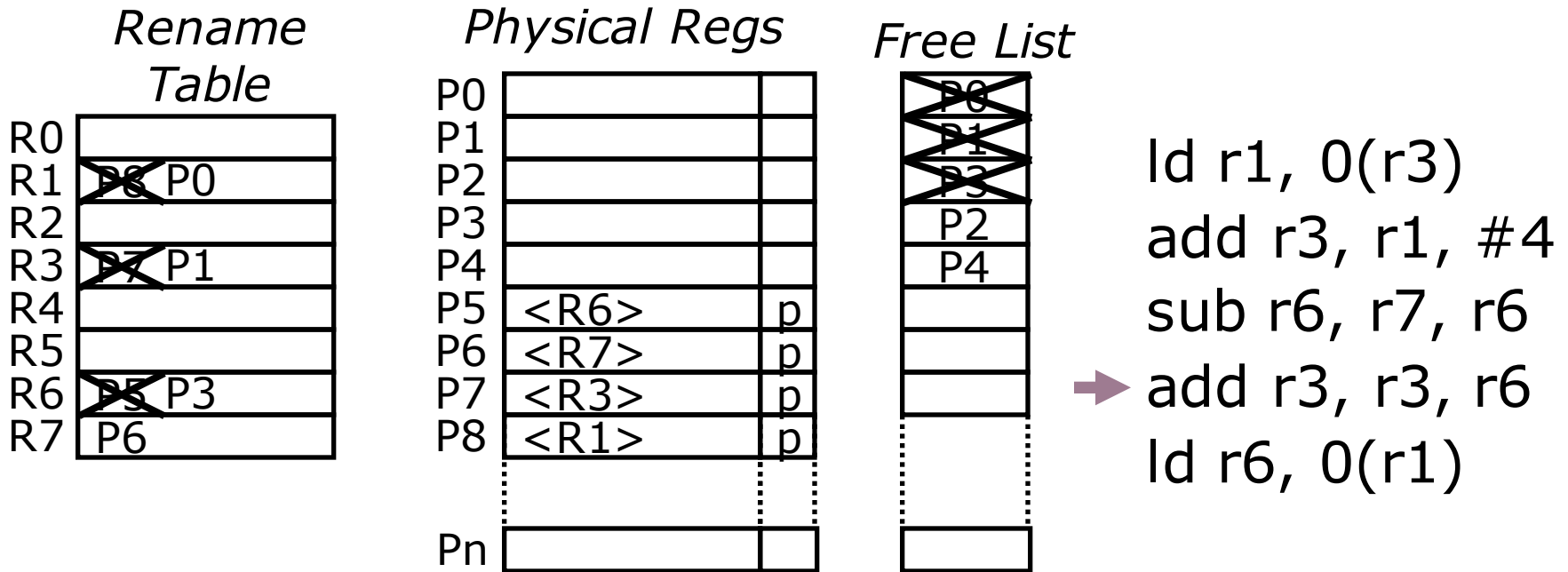
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	

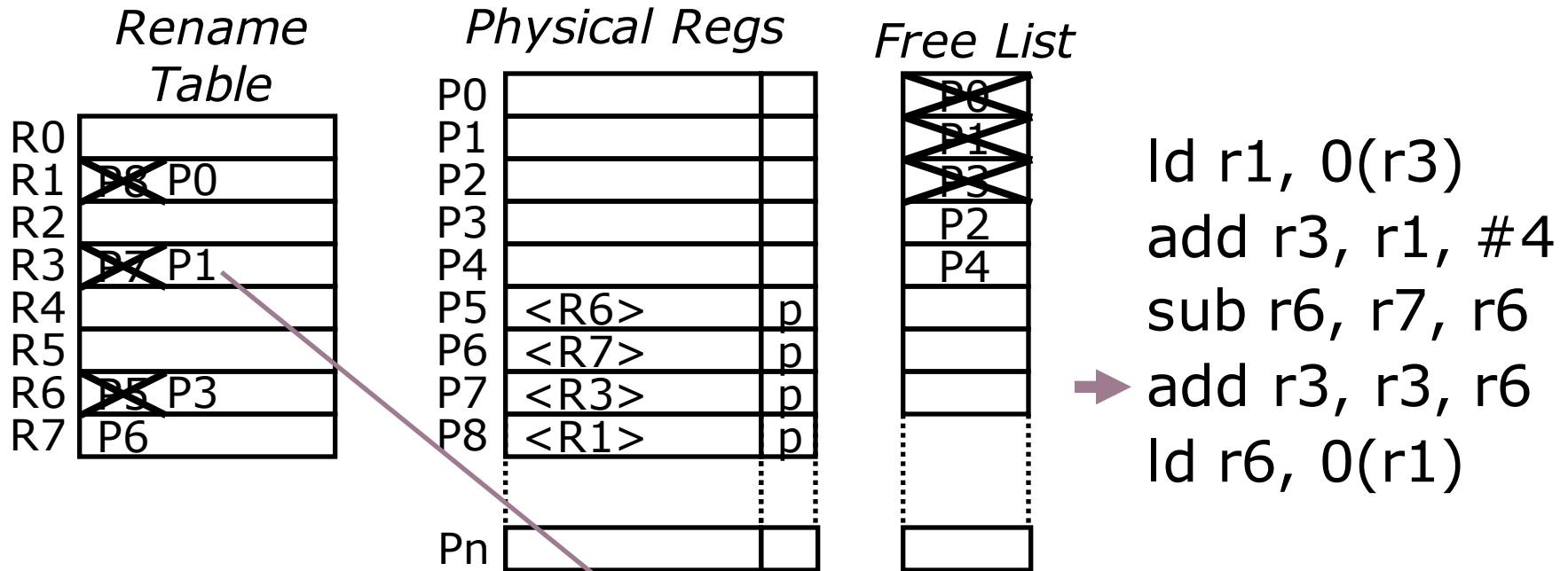
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add		P1		P3	r3		

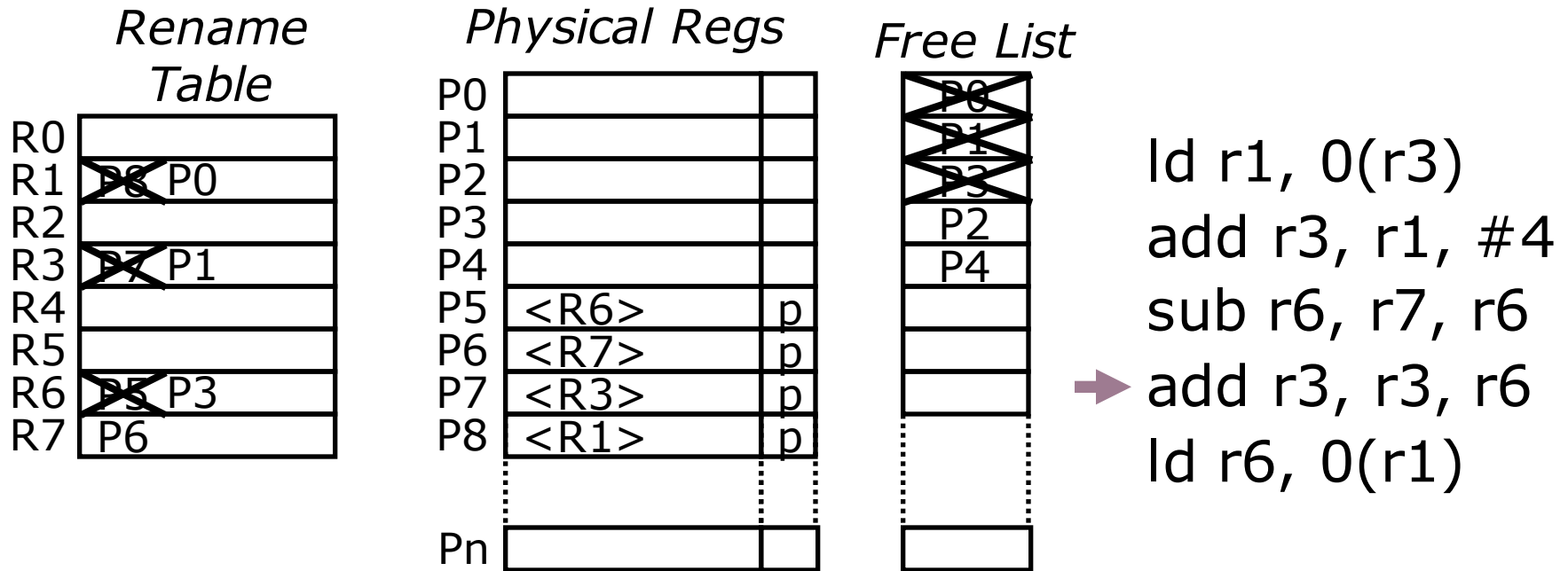
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add		P1		P3	r3		

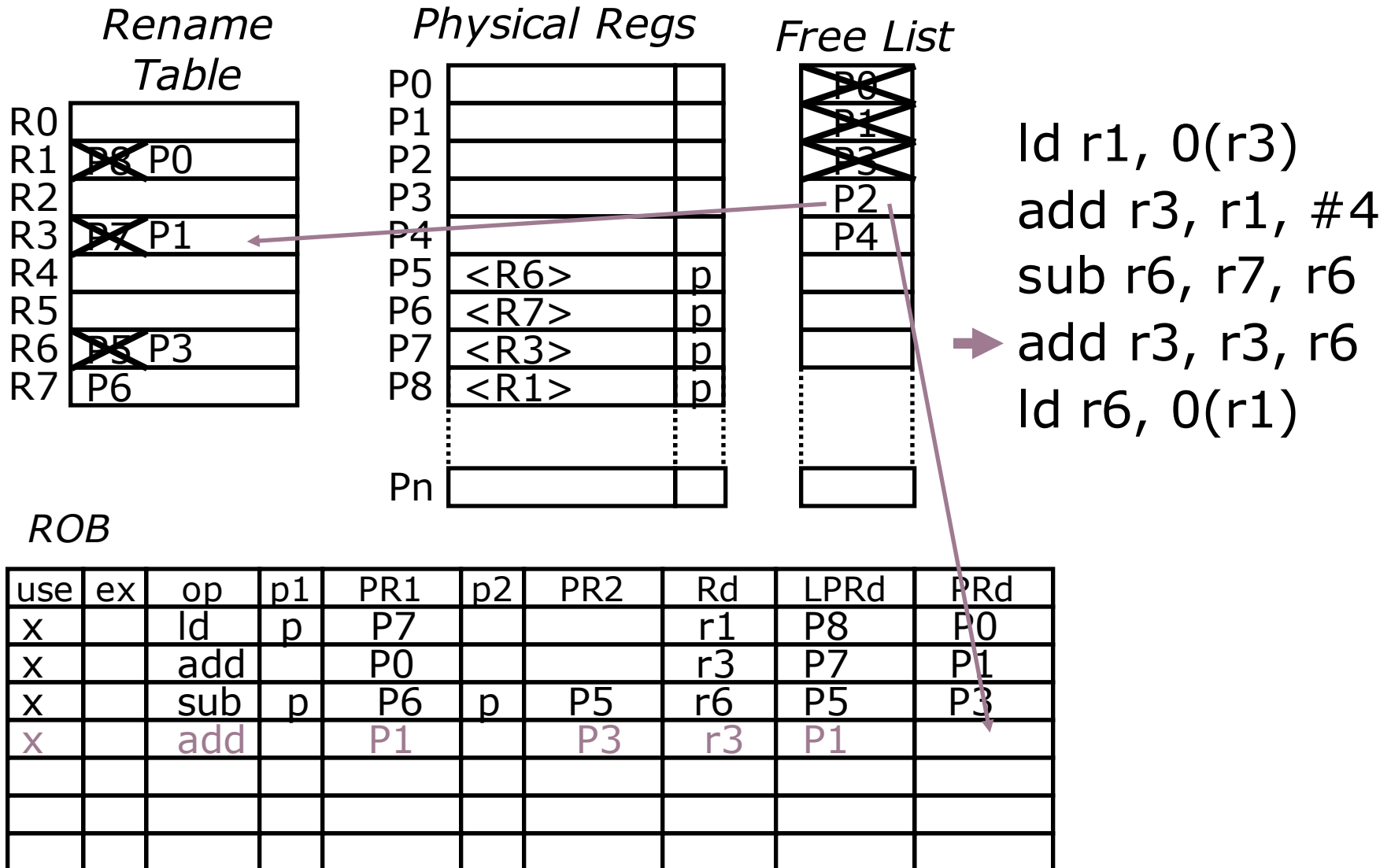
Physical Register Management



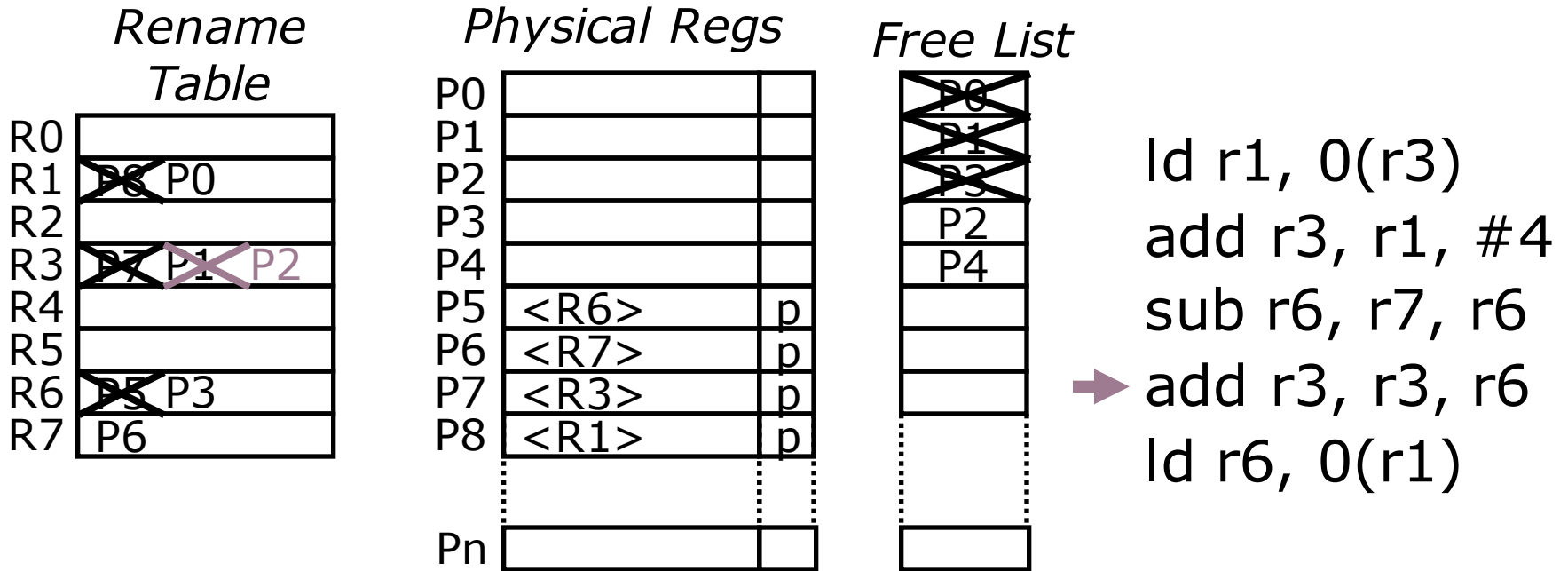
ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add		P1		P3	r3	P1	

Physical Register Management



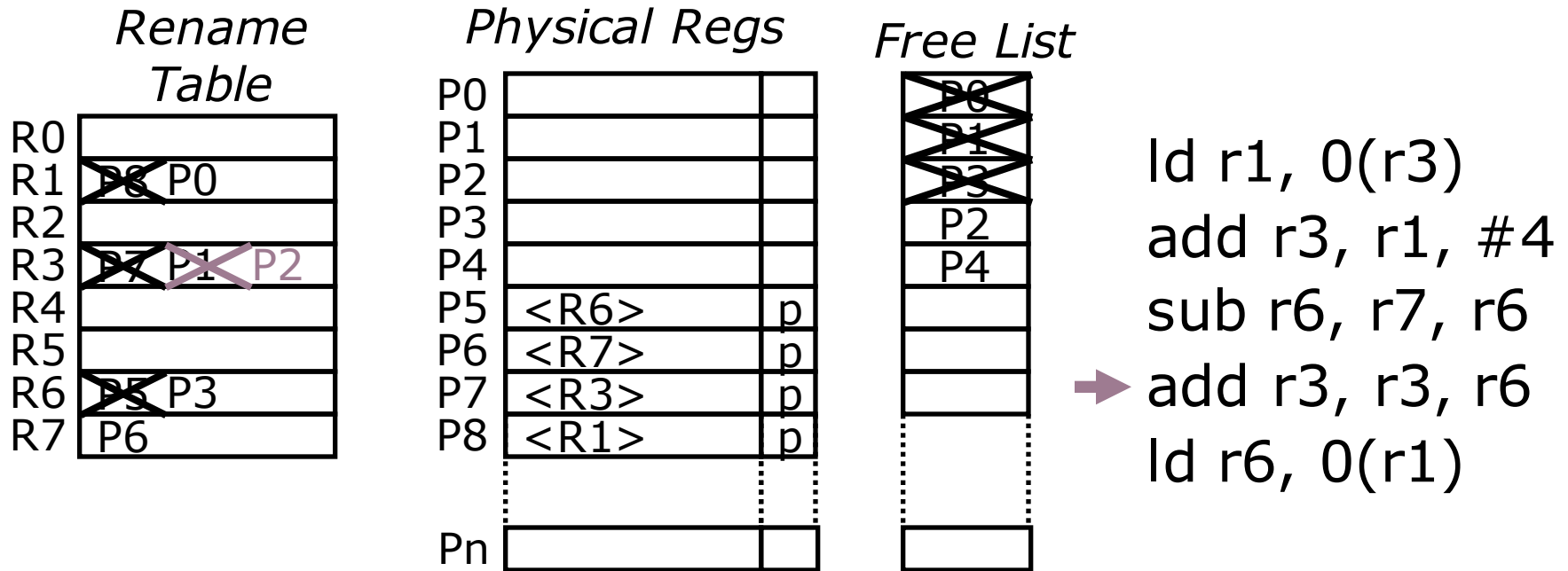
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
X		ld	p	P7			r1	P8	P0
X		add		P0			r3	P7	P1
X		sub	p	P6	p	P5	r6	P5	P3
X		add		P1		P3	r3	P1	

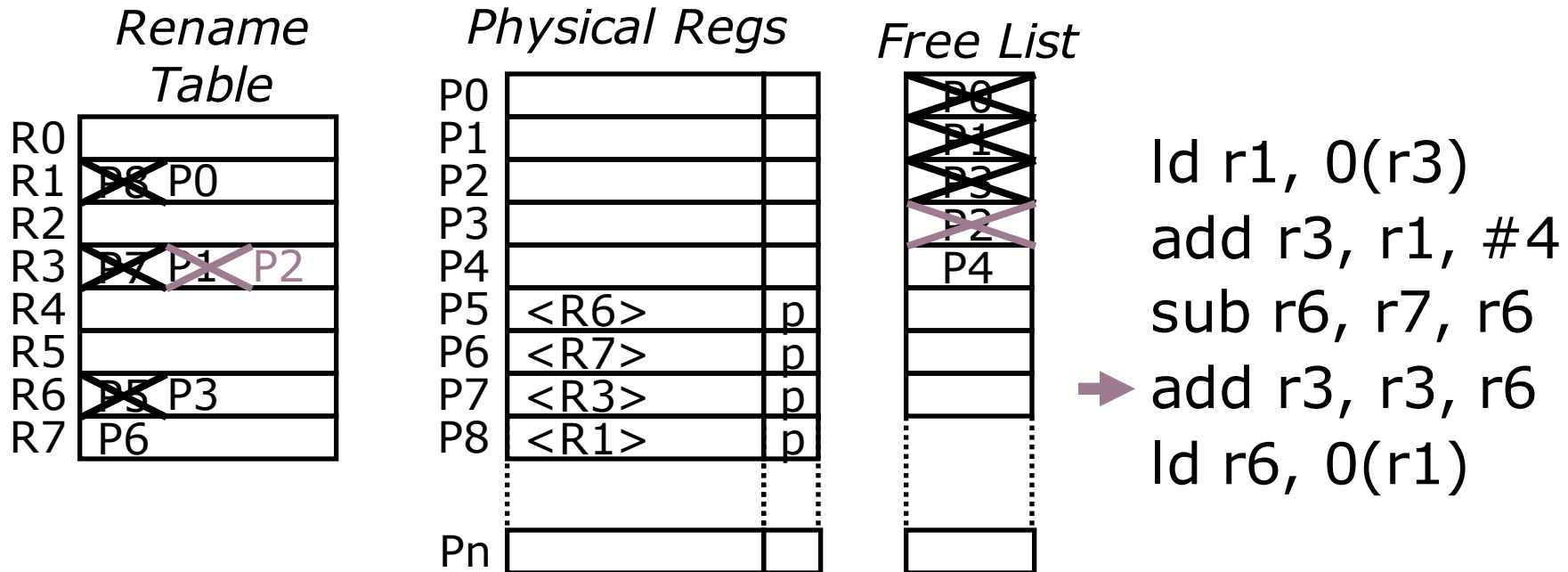
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add		P1		P3	r3	P1	P2

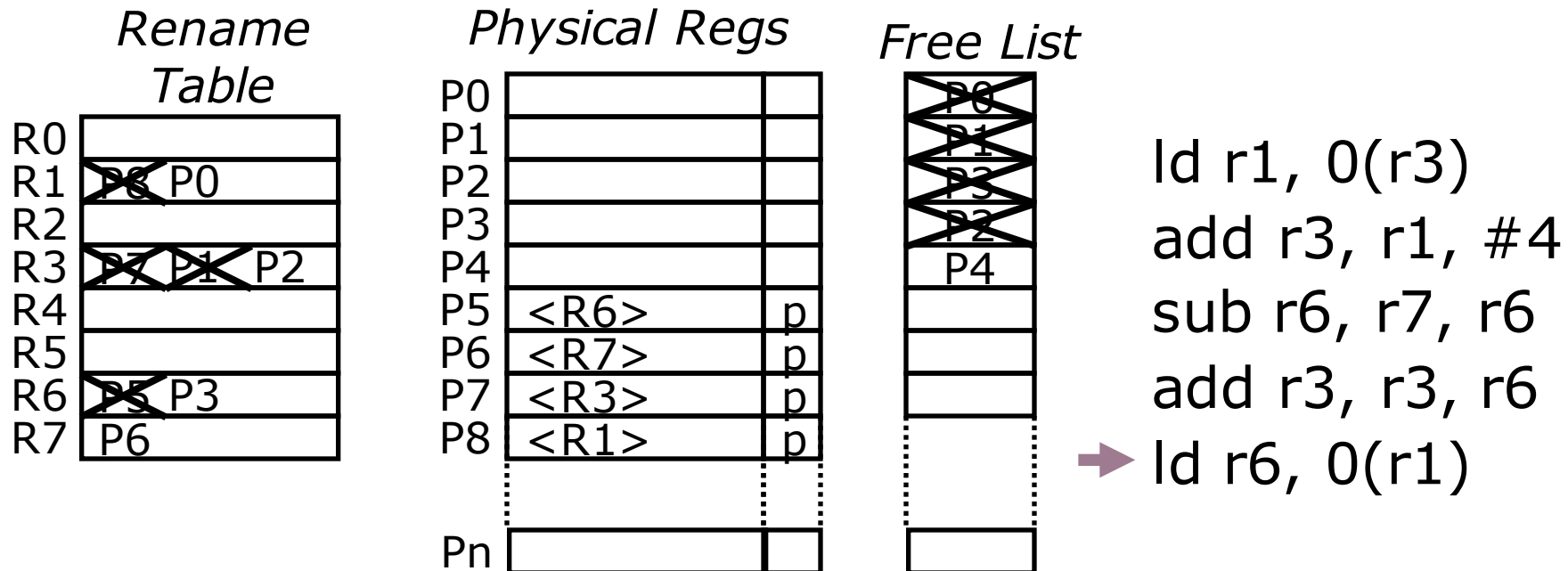
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add		P1		P3	r3	P1	P2

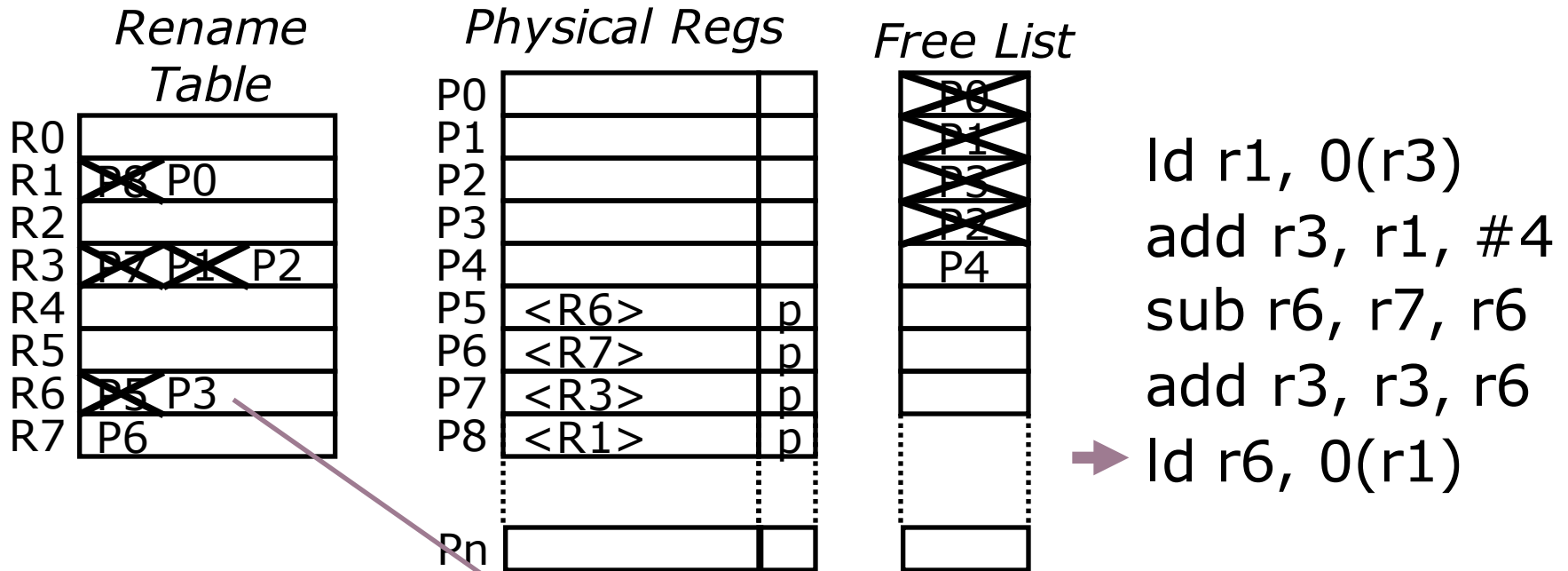
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add		P1		P3	r3	P1	P2
x		ld		P0			r6		

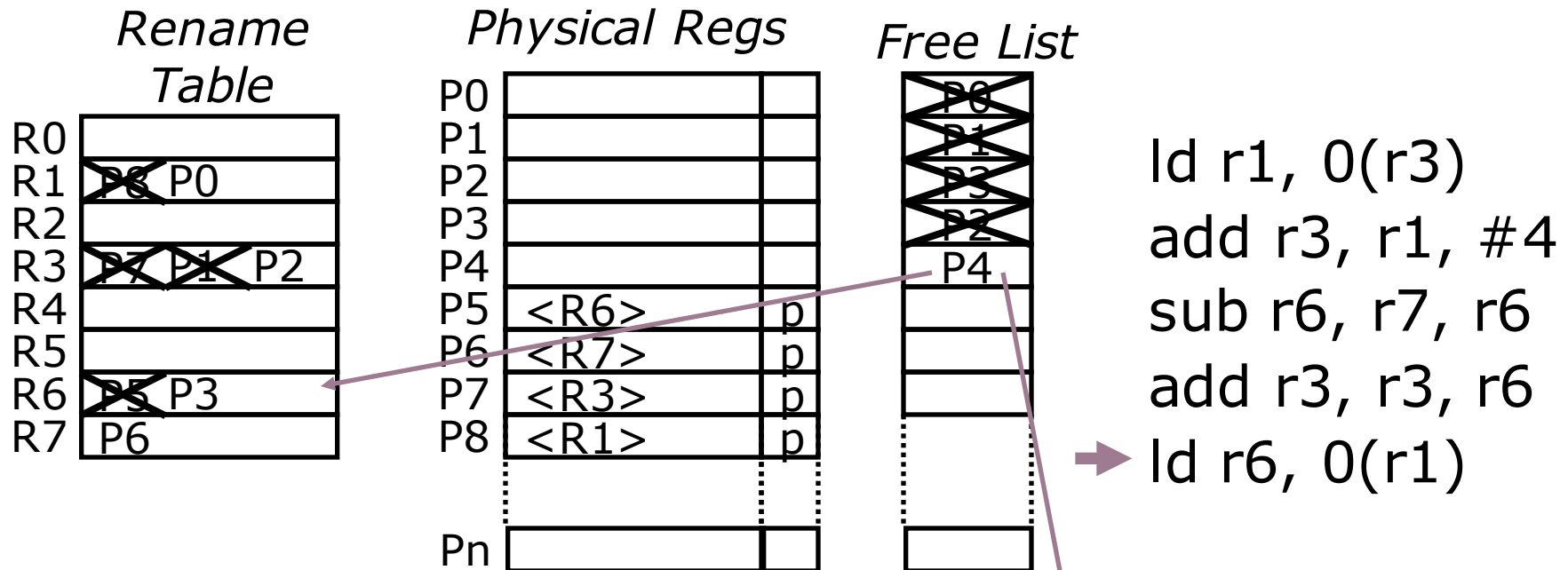
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add		P1		P3	r3	P1	P2
x		ld		P0			r6		

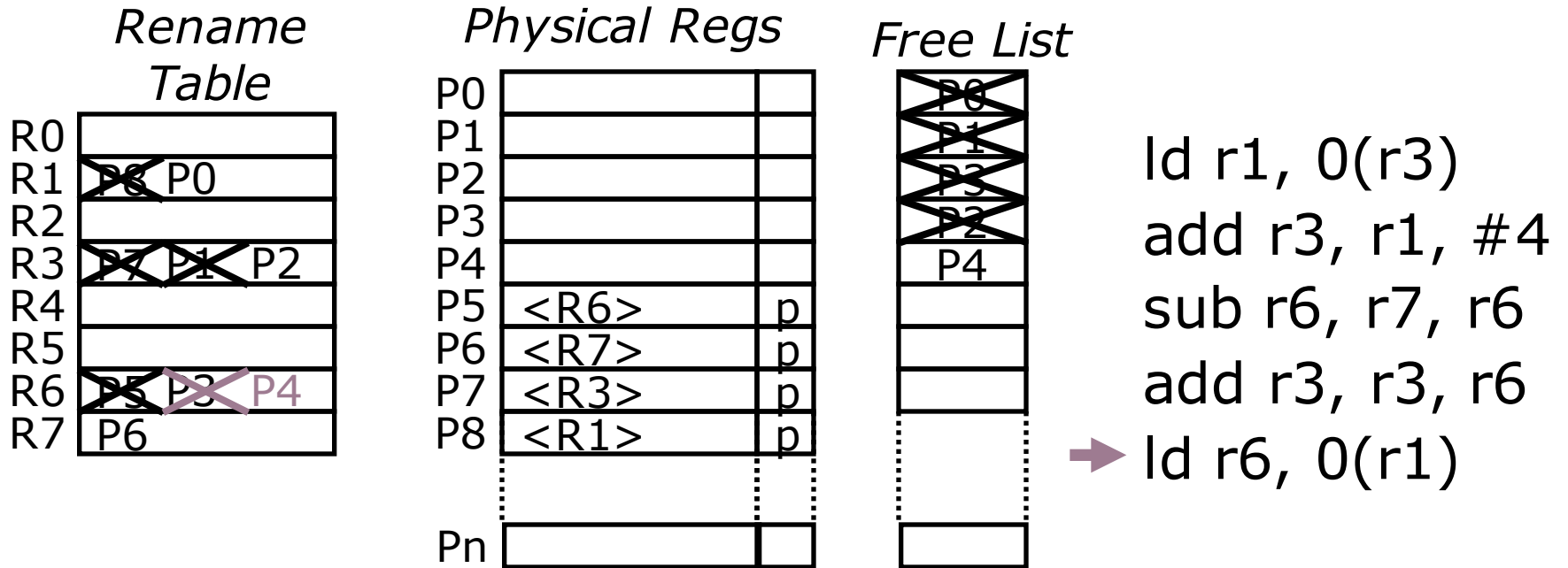
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add		P1		P3	r3	P1	P2
x		ld		P0			r6	P3	

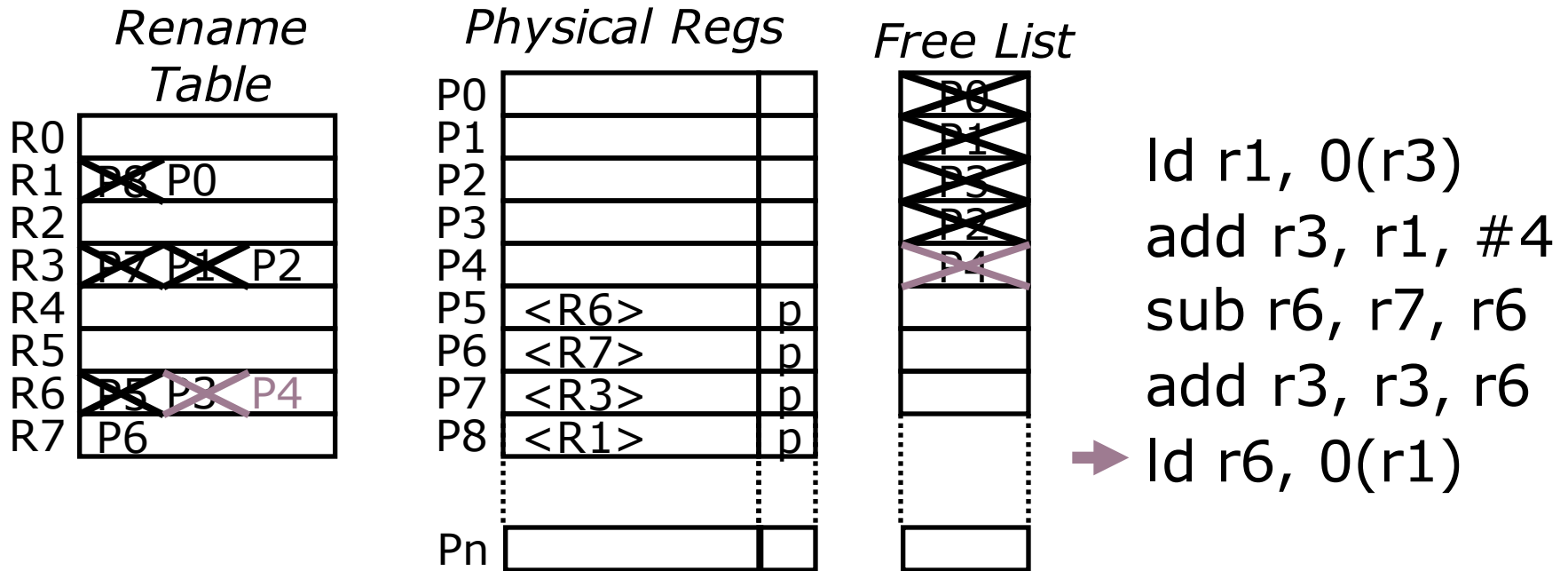
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add		P1		P3	r3	P1	P2
x		ld		P0			r6	P3	P4

Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add		P1		P3	r3	P1	P2
x		ld		P0			r6	P3	P4

Physical Register Management

Rename Table

R0	
R1	P0
R2	
R3	P7 P1 P2
R4	
R5	
R6	P5 P3 P4
R7	P6

Physical Regs

P0		
P1		
P2		
P3		
P4		
P5	<R6>	p
P6	<R7>	p
P7	<R3>	p
P8	<R1>	p
...
Pn		

Free List

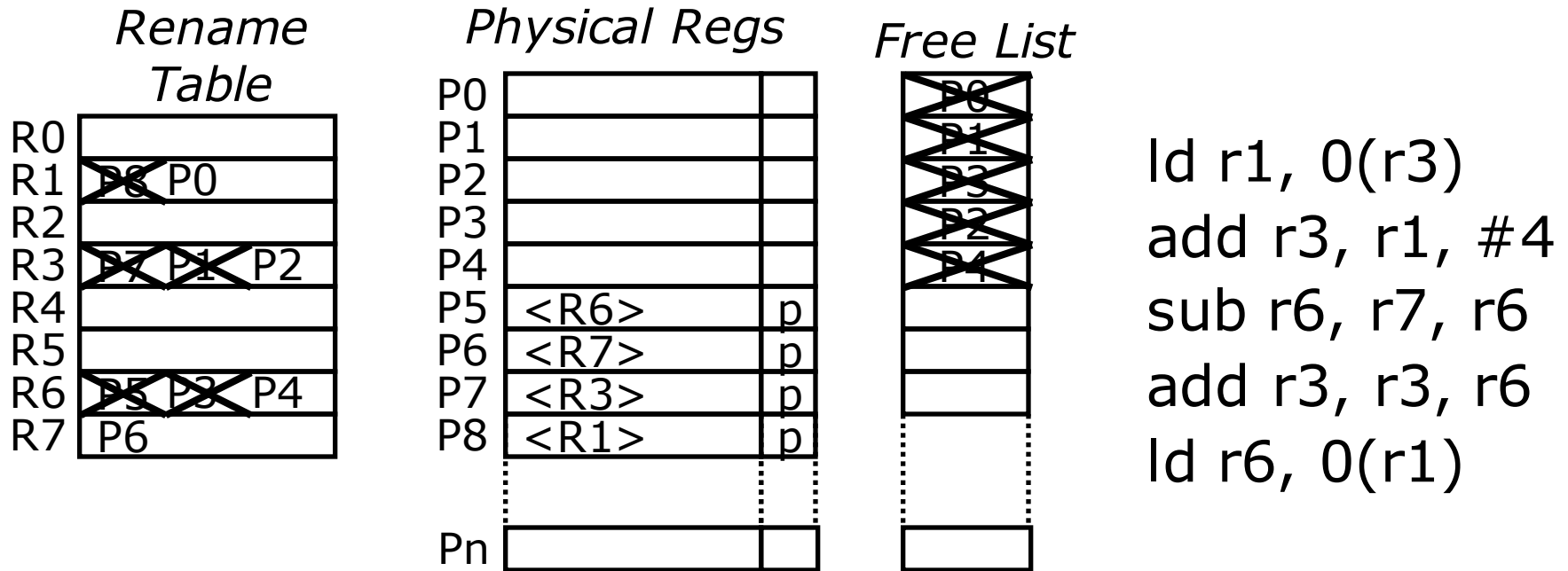
P0
P1
P3
P2
P4

```
ld r1, 0(r3)
add r3, r1, #4
sub r6, r7, r6
add r3, r3, r6
ld r6, 0(r1)
```

ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add		P1		P3	r3	P1	P2
x		ld		P0			r6	P3	P4

Physical Register Management

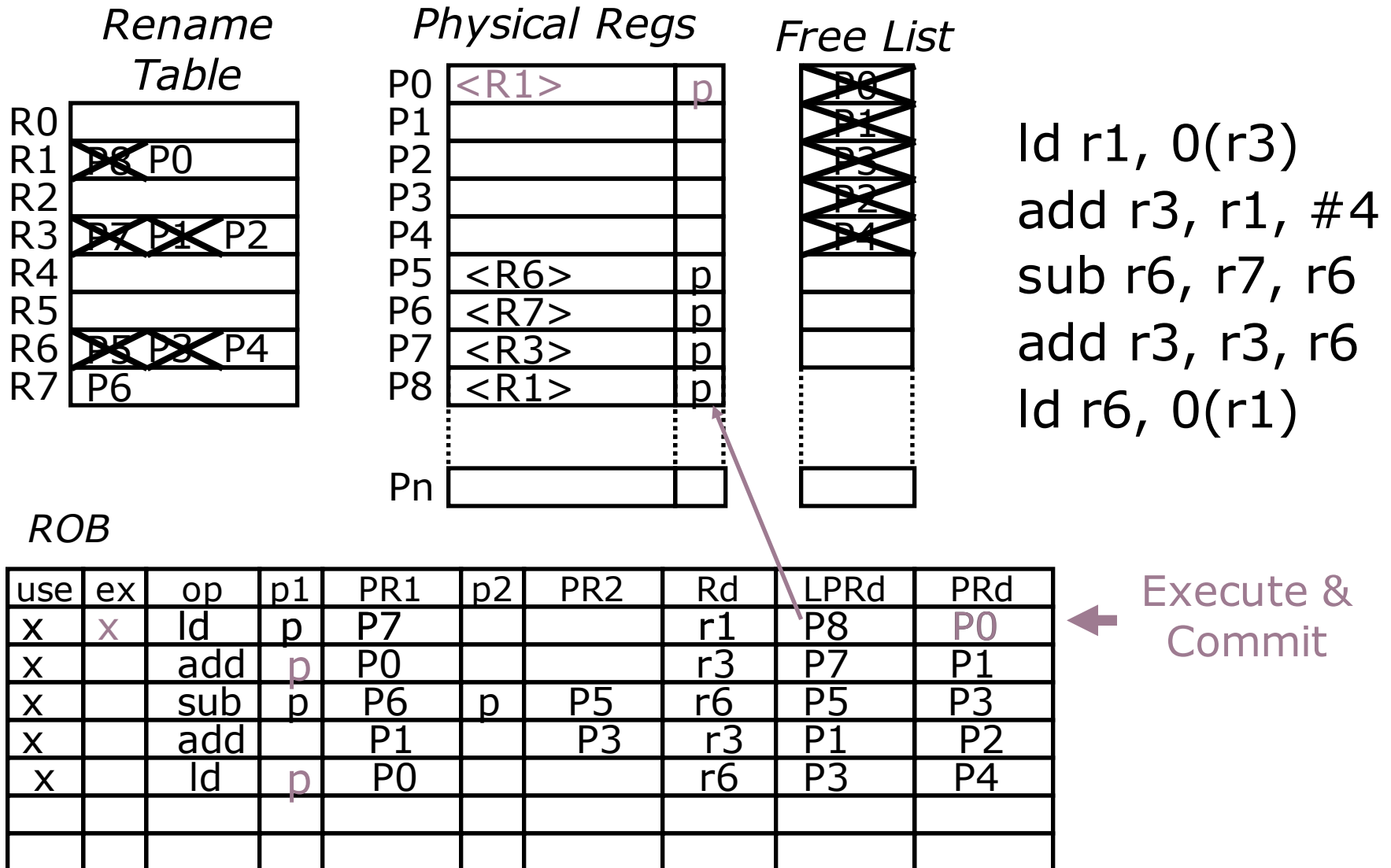


ROB

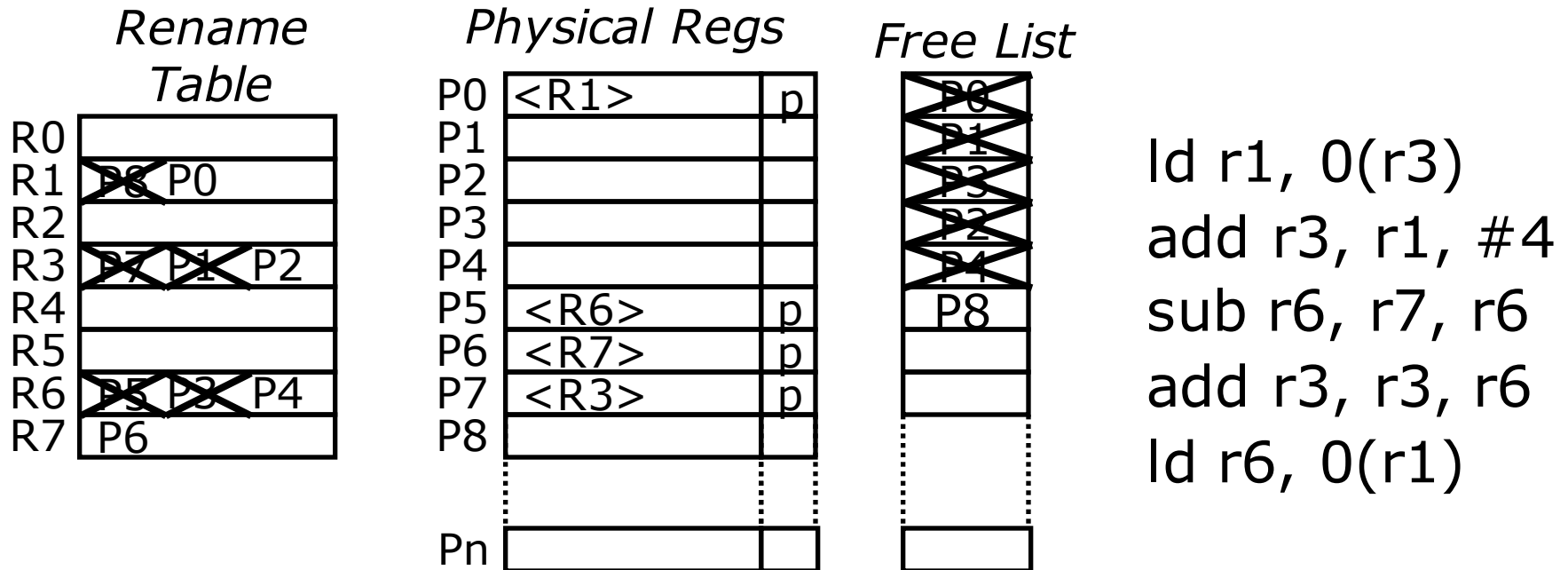
use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		ld	p	P7			r1	P8	P0
x		add		P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add		P1		P3	r3	P1	P2
x		ld		P0			r6	P3	P4

Execute & Commit

Physical Register Management



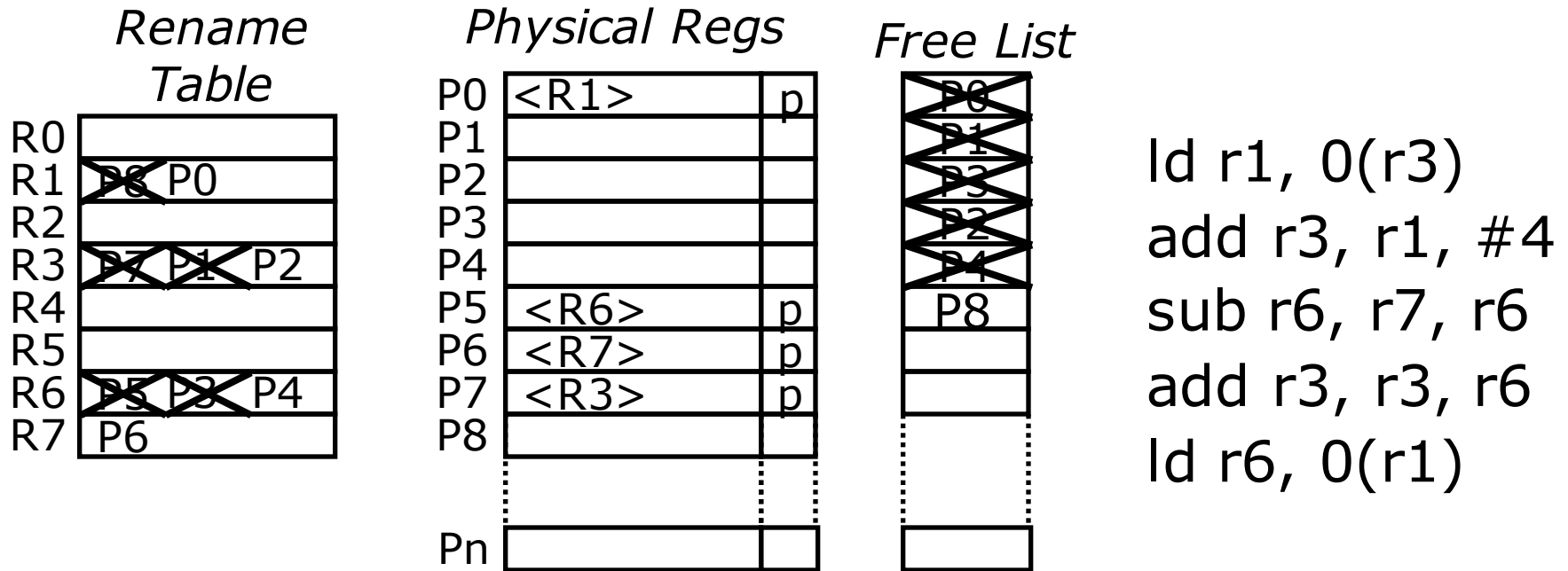
Physical Register Management



ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x	x	ld	p	P7			r1	P8	P0
x		add	p	P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add		P1		P3	r3	P1	P2
x		ld	p	P0			r6	P3	P4

Physical Register Management

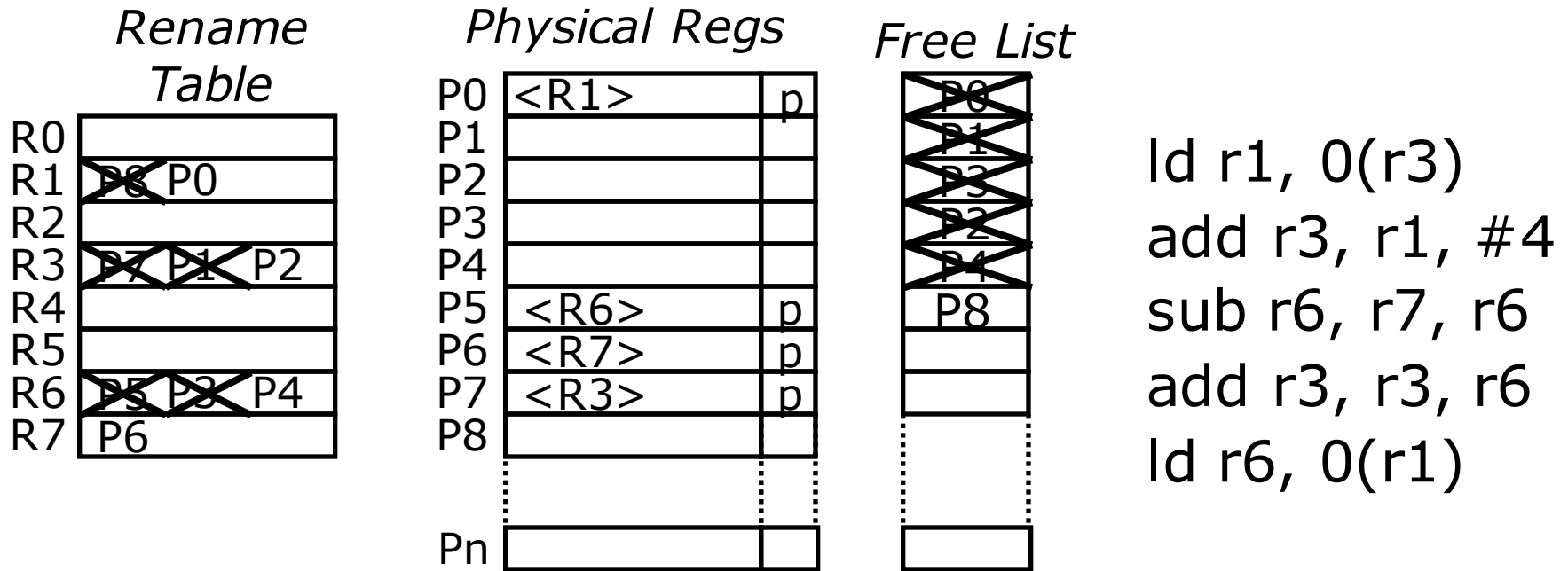


ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x	x	ld	p	P7			r1	P8	P0
x		add	p	P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add		P1		P3	r3	P1	P2
x		ld	p	P0			r6	P3	P4

Execute & Commit

Physical Register Management



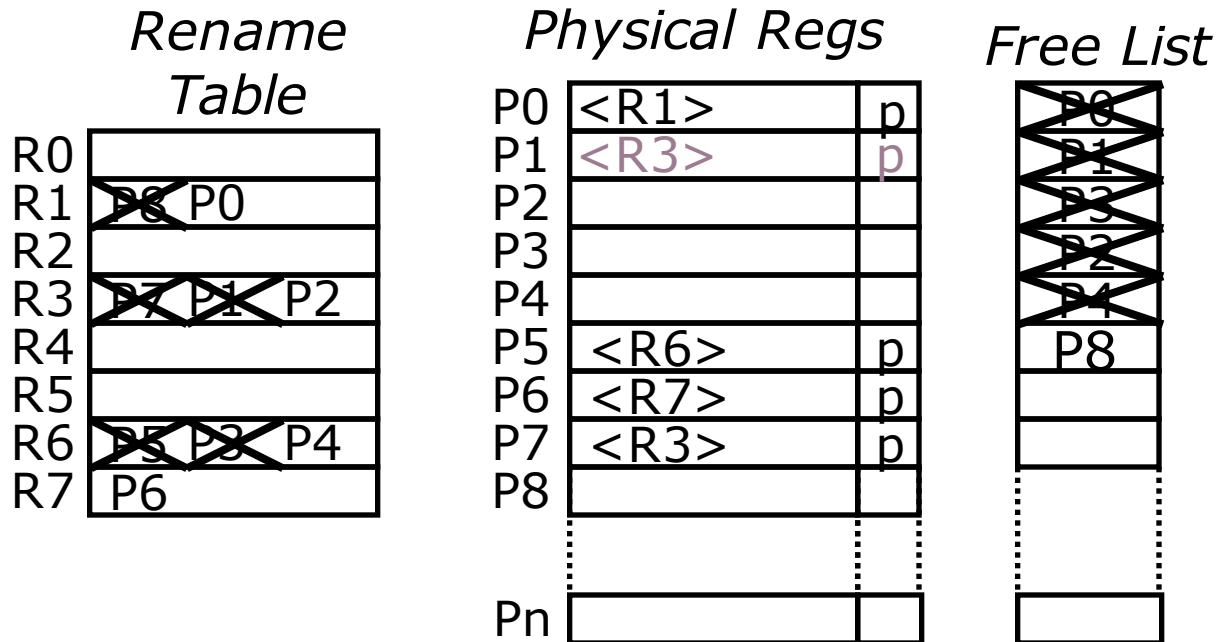
ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x	x	ld	p	P7			r1	P8	P0
x		add	p	P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add		P1		P3	r3	P1	P2
x		ld	p	P0			r6	P3	P4

Execute & Commit



Physical Register Management



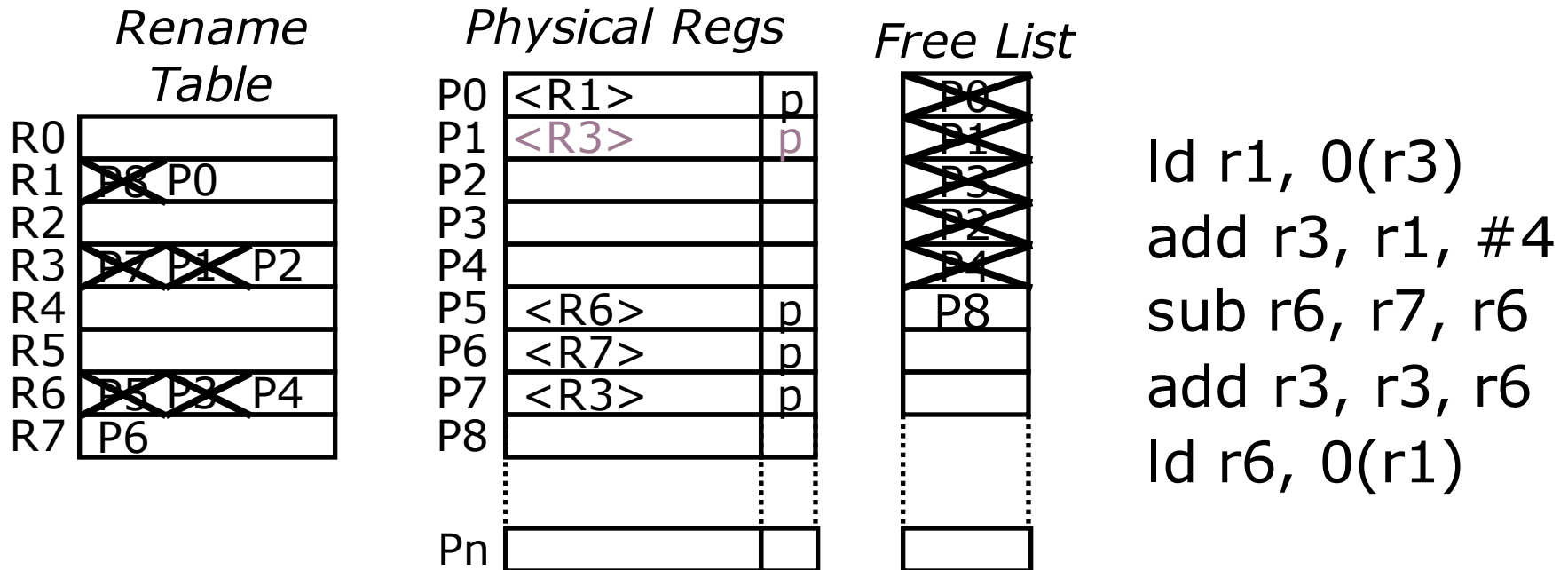
```
ld r1, 0(r3)
add r3, r1, #4
sub r6, r7, r6
add r3, r3, r6
ld r6, 0(r1)
```

ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x	x	ld	p	P7			r1	P8	P0
x		add	p	P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add	p	P1		P3	r3	P1	P2
x		ld	p	P0			r6	P3	P4

Execute & Commit

Physical Register Management

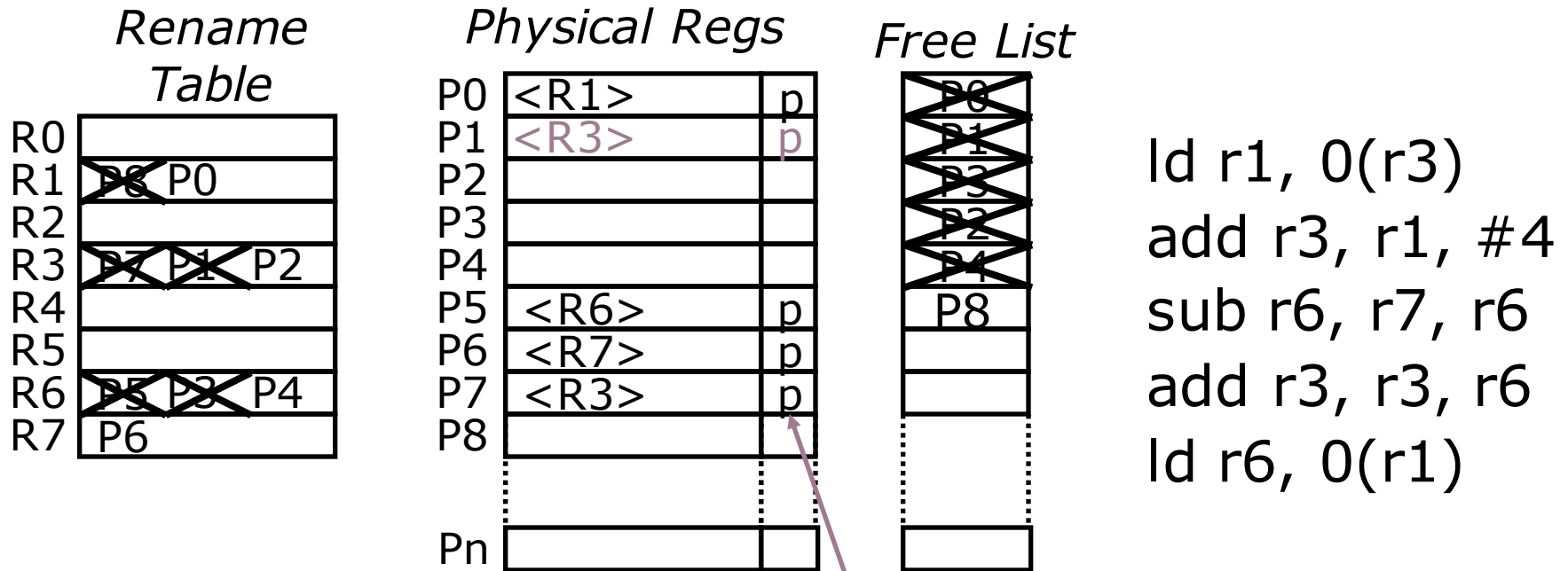


ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x	x	ld	p	P7			r1	P8	P0
x	x	add	p	P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add	p	P1		P3	r3	P1	P2
x		ld	p	P0			r6	P3	P4

Execute & Commit

Physical Register Management

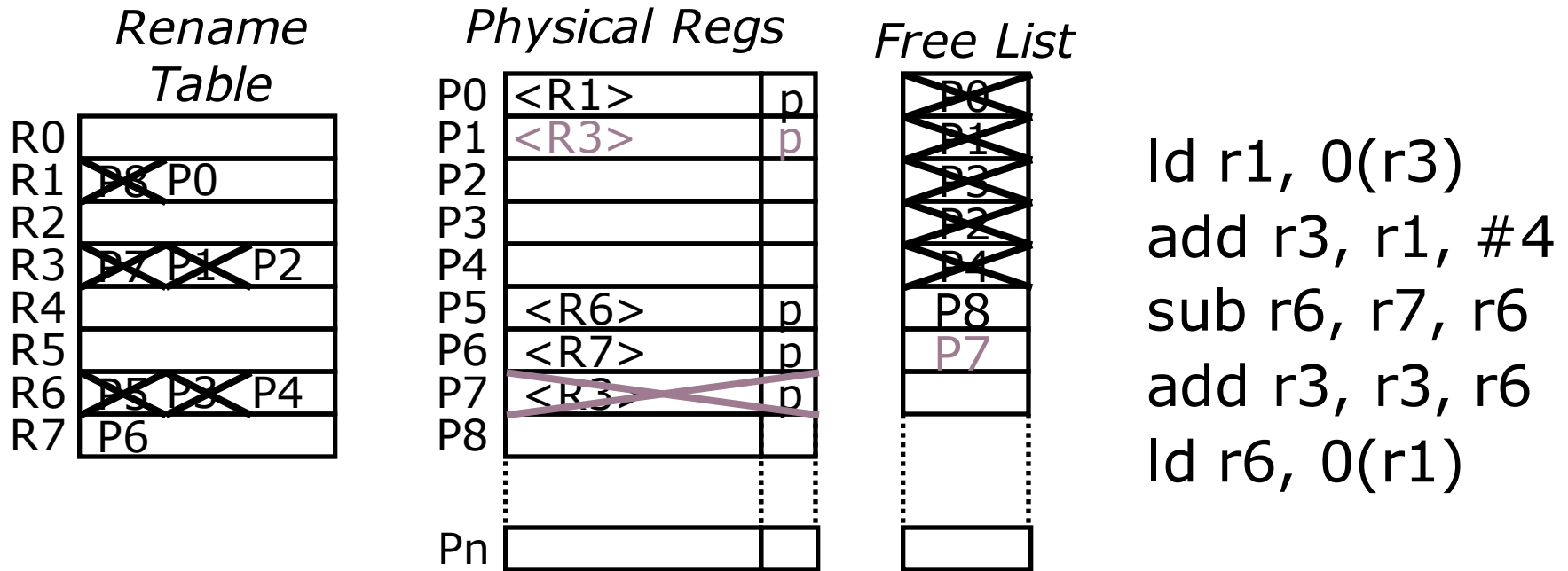


ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x	x	ld	p	P7			r1	P8	P0
x	x	add	p	P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add	p	P1		P3	r3	P1	P2
x		ld	p	P0			r6	P3	P4

Execute & Commit

Physical Register Management

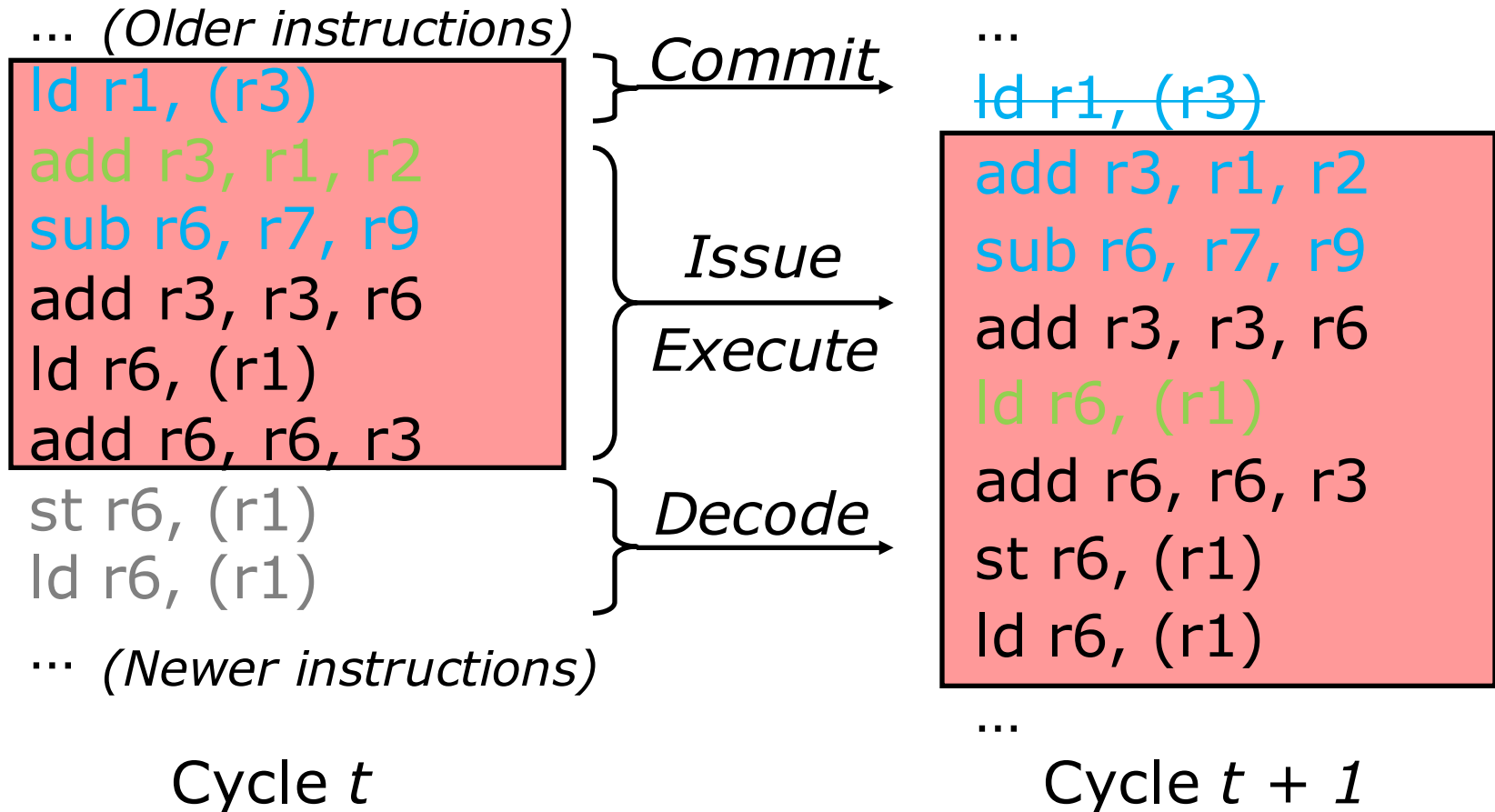


ROB

use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x	x	ld	p	P7			r1	P8	P0
x	x	add	p	P0			r3	P7	P1
x		sub	p	P6	p	P5	r6	P5	P3
x		add	p	P1		P3	r3	P1	P2
x		ld	p	P0			r6	P3	P4

Execute & Commit

Reorder Buffer Holds Active Instruction Window



Key: predecode, decoded, issued, executed, committed

Issue Timing

i1	Add R1,R1,#1	Issue ₁	Execute ₁		
i2	Sub R1,R1,#1			Issue ₂	Execute ₂

How can we issue earlier?

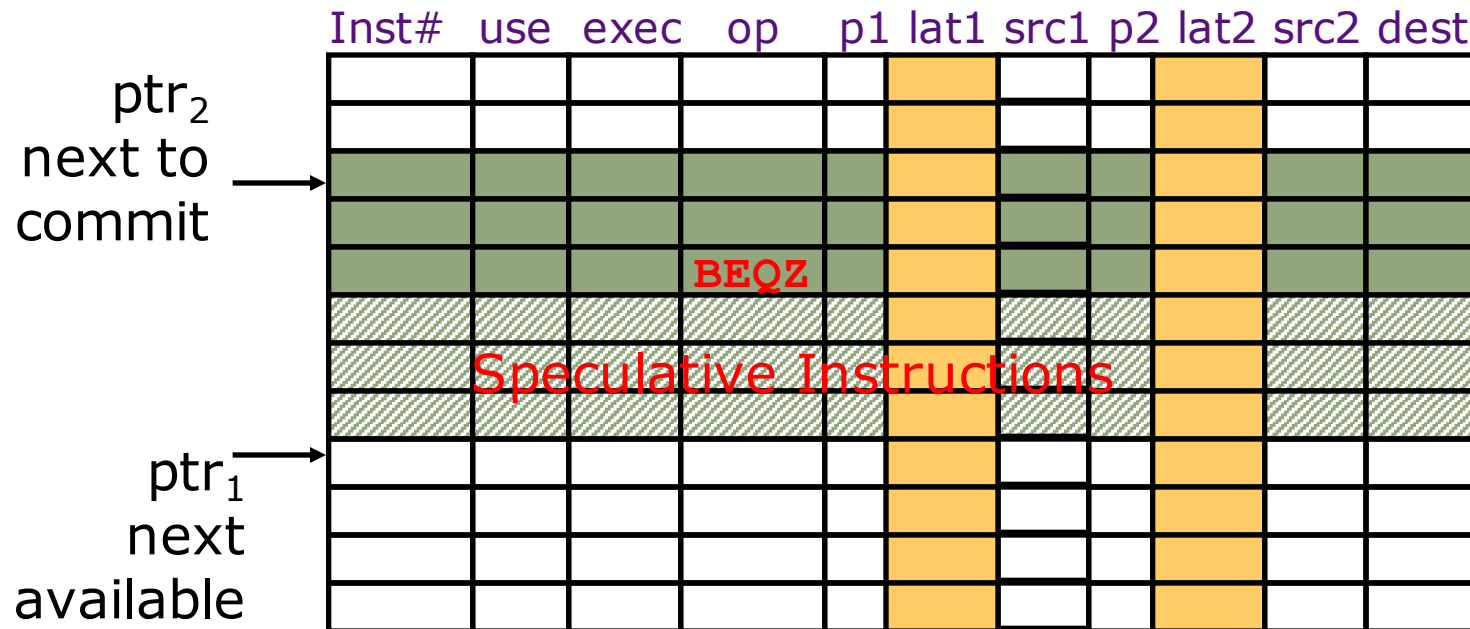
Using knowledge of execution latency (bypass)

i1	LD R1, (R3)	Issue ₁	Execute ₁		
i2	Sub R1,R1,#1		Issue ₂	Execute ₂	

What might make this schedule fail?

If execution latency wasn't as expected

Issue Queue with latency prediction

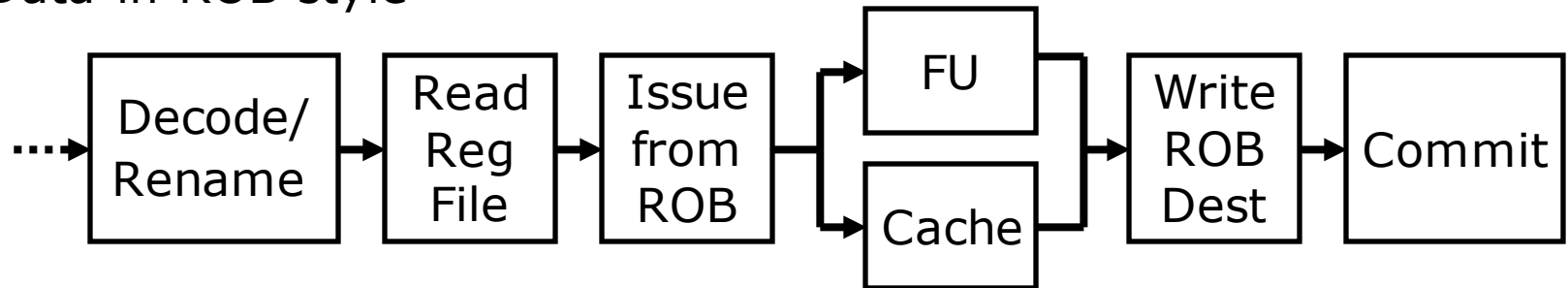


Issue Queue (Reorder buffer)

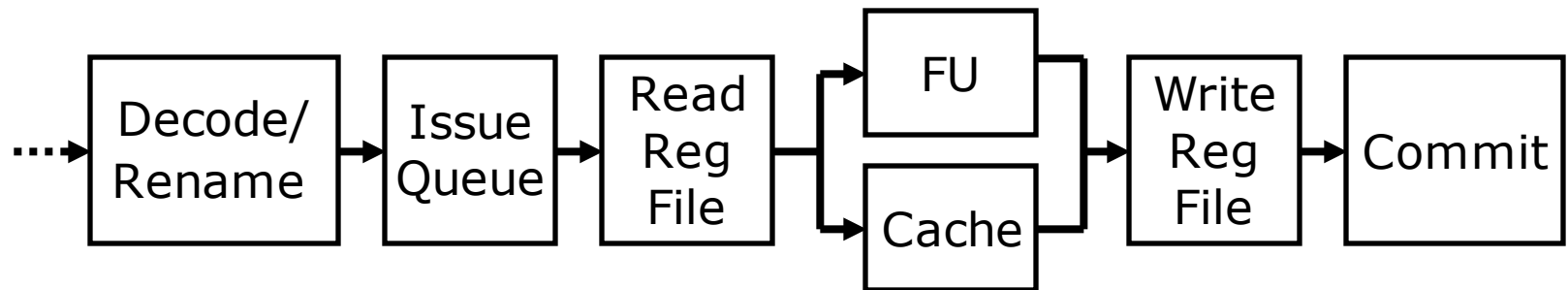
- Fixed latency: latency included in queue entry ('bypassed')
- Predicted latency: latency included in queue entry (speculated)
- Variable latency: wait for completion signal (stall)

Data-in-ROB vs. Single Register File

Data-in-ROB style



Single-register-file style

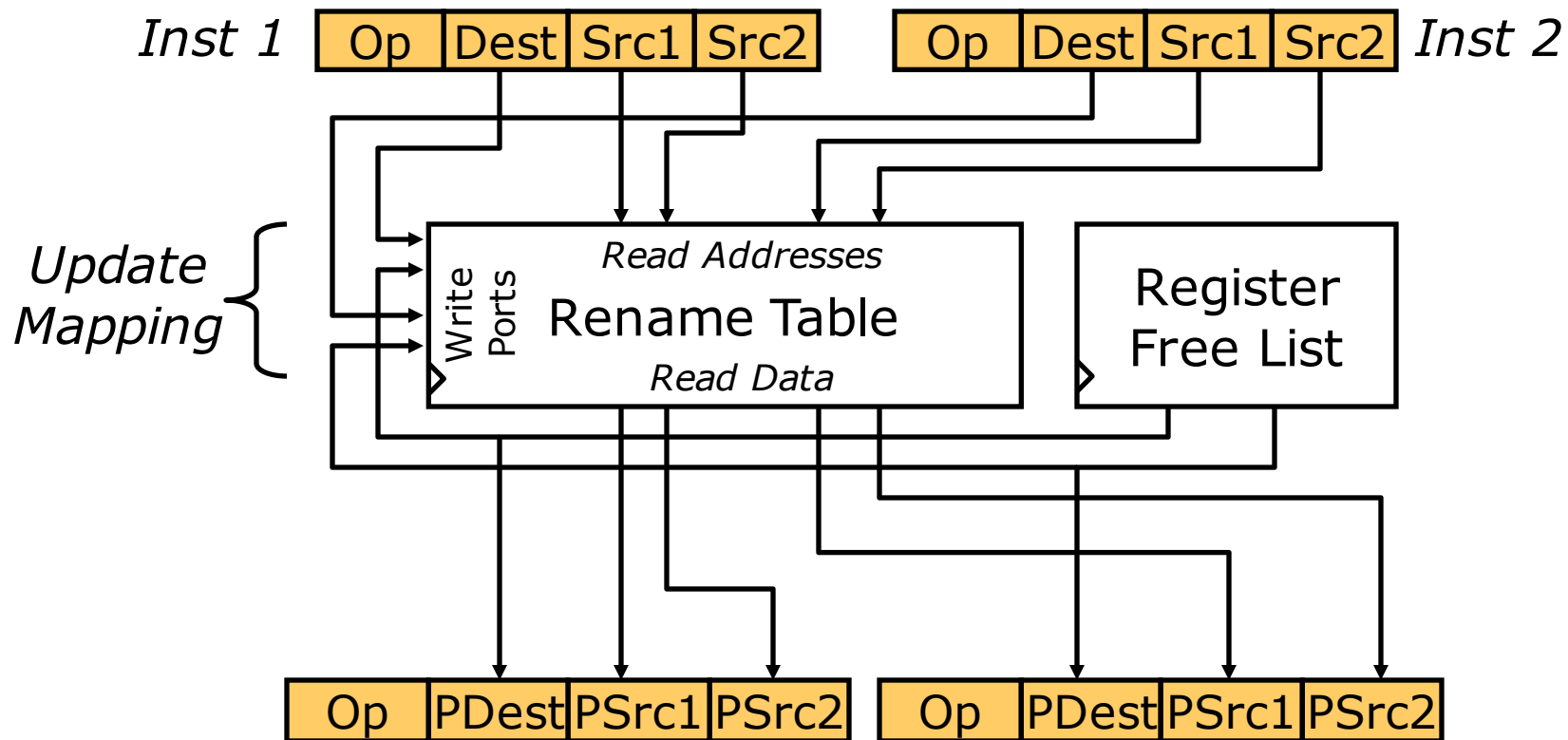


How does issue speculation differ, e.g., on cache miss?

Dependency loop shorter for data-in-ROB style

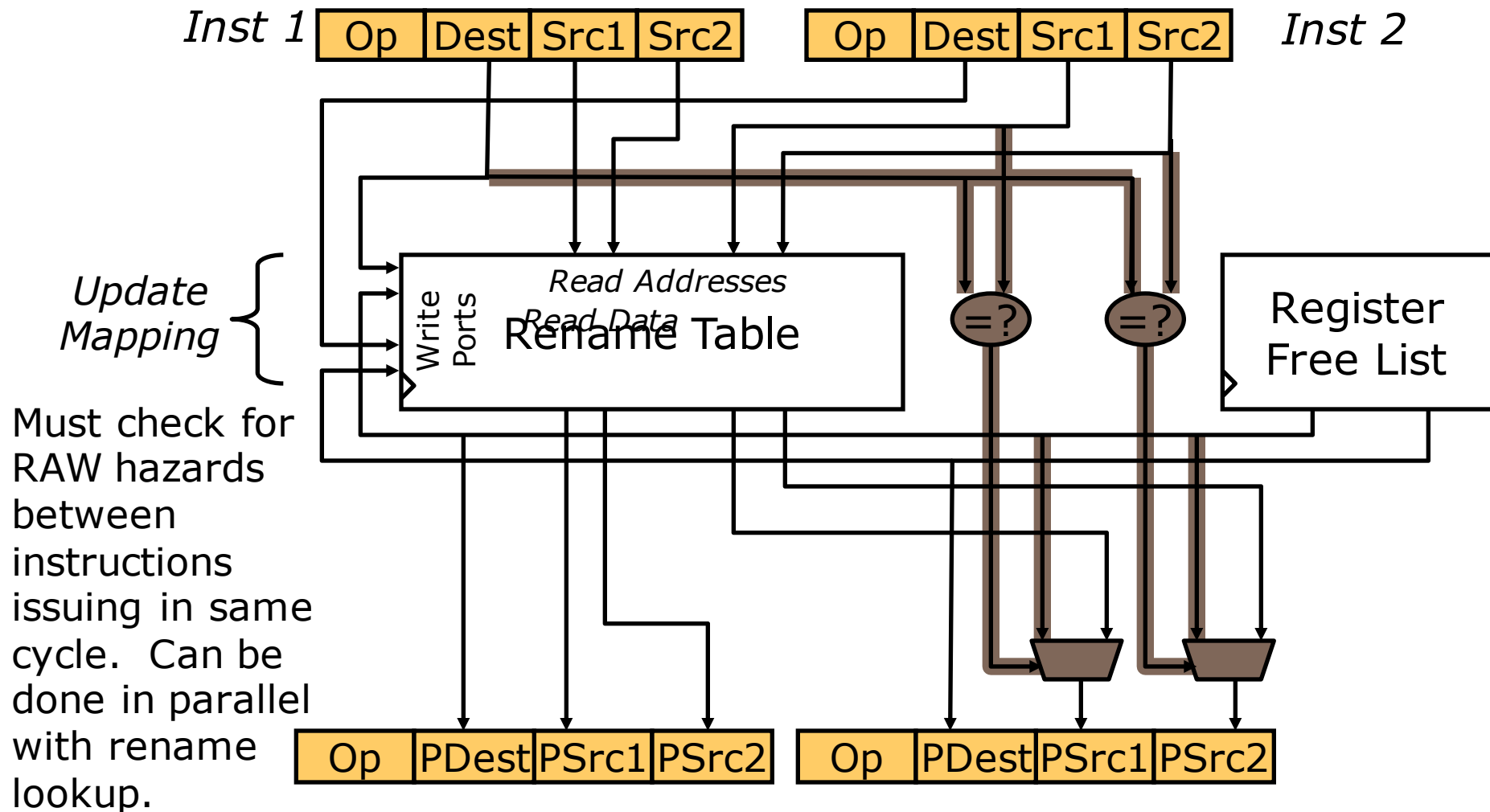
Superscalar Register Renaming

- During decode, instructions allocated new physical destination register
- Source operands renamed to physical register with newest value
- Execution unit only sees physical register numbers



Does this work?

Superscalar Register Renaming



MIPS R10K renames 4 serially-RAW-dependent insts/cycle)

Split Issue and Commit Queues

- How large should the ROB be?
 - Think Little's Law...
- Can split ROB into issue and commit queues

Issue Queue

use	op	p1	PR1	p2	PR2	tag

Commit Queue

ex	Rd	LPRd	PRd

- Commit queue: Allocate on decode, free on commit
- Issue queue: Allocate on decode, free on dispatch
- Pros: Smaller issue queue → simpler dispatch logic
- Cons: More complex mis-speculation recovery

Speculating Both Directions

An alternative to branch prediction is to execute both directions of a branch *speculatively*

- resource requirement is proportional to the number of concurrent speculative executions
- only half the resources engage in useful work when both directions of a branch are executed speculatively
- branch prediction takes less resources than speculative execution of both paths

With accurate branch prediction, it is more cost effective to dedicate all resources to the predicted direction