Problem M8.1: Fetch Pipelines

Ben is designing a deeply-pipelined, single-issue, in-order MIPS processor. The first half of his pipeline is as follows:

PC	PC Generation
F1	ICache Access
F2	ICache Access
D1	Instruction Decode
D2	Instruction Decode
RN	Rename/Reorder
RF	Register File Read
EX	Integer Execute

There are no branch delay slots and currently there is **no** branch prediction hardware (instructions are fetched sequentially unless the PC is redirected by a later pipeline stage). Subroutine calls use **JAL/JALR** (jump and link). These instructions write the return address (PC+4) into the link register (r31). Subroutine returns use **JR r31**. Assume that PC Generation takes a whole cycle and that you cannot bypass anything into the end of the PC Generation phase.

Problem M8.1.A

Pipelining Subroutine Returns

Immediately after what pipeline stage does the processor know that it is executing a subroutine return instruction? Immediately after what pipeline stage does the processor know the subroutine return address? How many pipeline bubbles are required when executing a subroutine return?

Problem M8.1.B

Adding a BTB

Louis Reasoner suggests adding a BTB to speed up subroutine returns. Why doesn't a standard BTB work well for predicting subroutine returns?

Problem M8.1.C

Instead of a BTB, Ben decides to add a return stack to his processor pipeline. This return stack records the return addresses of the *N* most recent subroutine calls. This return stack takes no time to access (it is always presenting a return address).

Explain how this return stack can speed up subroutine returns. Describe when and in which pipeline stages return addresses are pushed on and popped off the stack.

Problem M8.1.D

Return Stack Operation

Fill in the pipeline diagram below corresponding to the execution of the following code on the return stack machine:

A: JAL B A+1: A+2: ... B: JR r31 B+1: B+2: ...

Make sure to indicate the instruction that is being executed. The first two instructions are illustrated below. The crossed out stages indicate that the instruction was killed during those cycles.

instruction					time	•								
А	PC	F1	F2	D1	D2	RN	RF	EX						
A+1		PC	F1	F2	D1	- D 2-	RN	RF	EX-					

Problem M8.1.E

If the return address prediction is wrong, how is this detected? How does the processor recover, and how many cycles are lost (relative to a correct prediction)?

Problem M8.1.F

Further Improving Performance

Describe a hardware structure that Ben could add, in addition to the return stack, to improve the performance of return instructions so that there is usually only a one-cycle pipeline bubble when executing subroutine returns (assume that the structure takes a full cycle to access).

Problem M8.2: Managing Out-of-order Execution

This problem investigates the operation of a superscalar processor with branch prediction, register renaming, and out-of-order execution. The processor holds all data values in a **physical register file**, and uses a **rename table** to map from architectural to physical register names. A **free list** is used to track which physical registers are available for use. A **reorder buffer (ROB)** contains the bookkeeping information for managing the out-of-order execution (but, it does not contain any register data values).

When a branch instruction is encountered, the processor predicts the outcome and takes a snapshot of the rename table. If a misprediction is detected when the branch instruction later executes, the processor recovers by flushing the incorrect instructions from the ROB, rolling back the "next available" pointer, updating the free list, and restoring the earlier rename table snapshot.

We will investigate the execution of the following code sequence (assume that there is **no** branch-delay slot):

r1, 0(r2) loop: # load r1 from address in r2 lw r2, r2, 4 addi # increment r2 pointer # branch to "skip" if r1 is 0 r1, skip beqz # increment r3 addi r3, r3, 1 skip: bne r2, r4, loop # loop until r2 equals r4

The diagram for Question M3.5.A on the next page shows the state of the processor during the execution of the given code sequence. An instance of each instruction in the loop has been issued into the ROB (the beqz instruction has been predicted not-taken), but none of the instructions have begun execution. In the diagram, old values which are no longer valid are shown in the following format: P4. The rename table snapshots and other bookkeeping information for branch misprediction recovery are not shown.

Problem M8.2.A

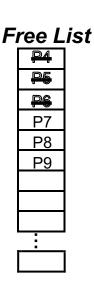
Assume that the following events occur in order (though not necessarily in a single cycle):

- **Step 1.** The first three instructions from the next loop iteration (lw, addi, beqz) are written into the ROB (note that the bne instruction has been predicted taken).
- Step 2. All instructions which are ready after Step 1 execute, write their result to the physical register file, and update the ROB. Note that this step only occurs **once**.
- Step 3. As many instructions as possible commit.

Update the diagram below to reflect the processor state after these events have occurred. Cross out any entries which are no longer valid. Note that the "ex" field should be marked when an instruction executes, and the "use" field should be cleared when it commits. Be sure to update the "next to commit" and "next available" pointers. If the load executes, assume that the data value it retrieves is 0.

Re	enar	тe	Table
R1	D1	P4	
R2		P5	
R3	D?	P6	
R4	P0		

Pł	nysical Reg	S
P0	8016	р
P1	6823	р
P2	8000	р
P3	7	р
P4		
P5		
P6		
P7		
P8		
P9		



Reorder Buffer (ROB)

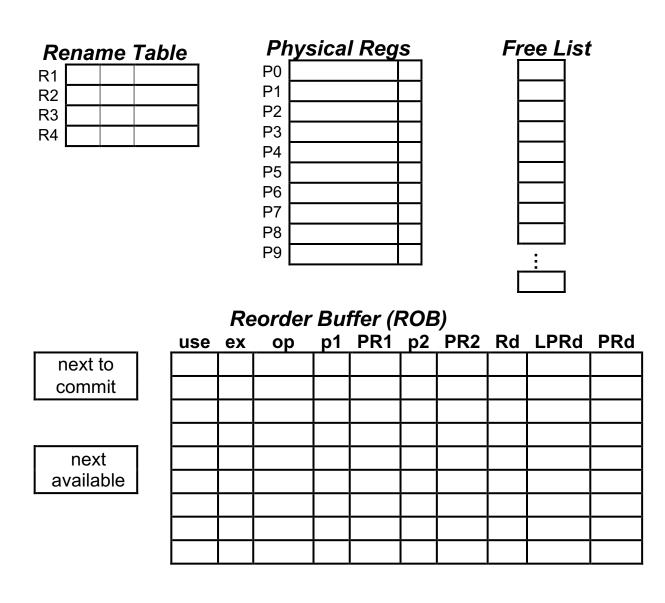
		use	ex	ор	p1	PR1	n2	PR2	Rd	LPRd	PRd
next to	$ \rightarrow $	X	UN	lw	p	P2	~		r1	P1	P4
commit		X		addi	р	P2			r2	P2	P5
	-	Х		beqz		P4					
	_	х		addi	р	P3			r3	P3	P6
next		Х		bne		P5	р	P0			
available	$ \rightarrow$										
	_										

Problem M8.2.B

Assume that after the events from Question M3.6.A have occurred, the following events occur in order:

- Step 1. The processor detects that the beqz instruction has mispredicted the branch outcome,
 - and recovery action is taken to repair the processor state.
- Step 2. The beqz instruction commits.
- Step 3. The correct next instruction is fetched and is written into the ROB.

Fill in the diagram below to reflect the processor state after these events have occurred. Although you are not given the rename table snapshot, you should be able to deduce the necessary information from the diagram from Question M3.6.A. You do not need to show invalid entries in the diagram, but be sure to fill in all the fields which have valid data, and update the "next to commit" and "next available" pointers. Also make sure that the free list contains all available registers.



Problem M8.2.C

Consider (1) a single-issue, in-order processor with no branch prediction and (2) a multipleissue, out-of-order processor with branch prediction. Assume that both processors have the same clock frequency. Consider how fast the given loop executes on each processor, assuming that it executes for many iterations.

Under what conditions, if any, might the loop execute at a faster rate on the in-order processor compared to the out-of-order processor?

Under what conditions, if any, might the loop execute at a faster rate on the out-of-order processor compared to the in-order processor?

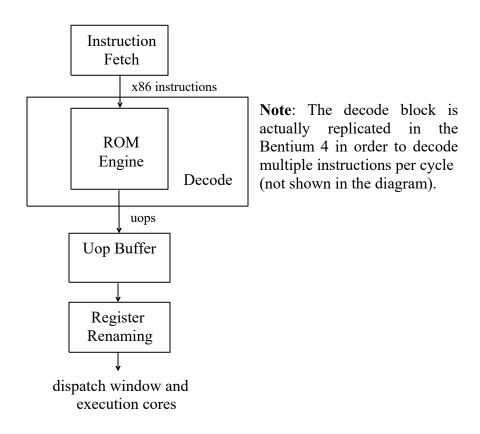
Problem M8.3: Exceptions and Register Renaming

Ben Bitdiddle has decided to start Bentel Corporation, a company specializing in high-end x86 processors to compete with Intel. His latest project is the Bentium 4, a superscalar, out-of-order processor with register renaming and speculative execution.

The Bentium 4 has 8 architectural registers (EAX, EBX, ECX, EDX, ESP, EBP, EDI, and ESI). In addition, the processor provides 8 internal registers T0-T7 not visible to the ISA that can be used to hold intermediary values used by micro-operations (μ ops) generated by the microcode engine. The microcode engine is the decode unit and is used to generate μ ops for all the x86 instructions. For example, the following register-memory x86 instruction might be translated into the following RISC-like μ ops:

ADD R_d , R_a , offset(R_b) \rightarrow LW T0, offset(R_b) ADD R_d , R_a , T0

All 16 μ op-visible registers are renamed by the register allocation table (RAT) into a set of physical registers (P0-Pn). There is a separate shadow map structure that takes a snapshot of the RAT on a speculative branch in case of a misprediction. The block diagram for the front-end of the Bentium 4 is shown below:



Problem M8.3.A

For the Bentium 4, if an x86 instruction takes an exception before it is committed, the machine state is reset back to the precise state that existed right before the excepting instruction started executing. This instruction is then re-executed after the exception is handled. Ben proposes that the shadow map structure used for speculative branches can also be used to recover a precise state in the event of an exception. Specify a strategy that can be implemented for taking the least number of snapshots of the RAT that would still allow the Bentium 4 to implement precise exception handling.

Problem M8.3.B

Minimizing Snapshots

Ben further states that the shadow map structure does not need to take a snapshot of all the registers in the Bentium 4 to be able to recover from an exception. Is Ben correct or not? If so, state which registers do not need to be recorded and explain why they are not necessary, or explain why all the registers are necessary in the snapshot.

Problem M8.3.C

Renaming Registers

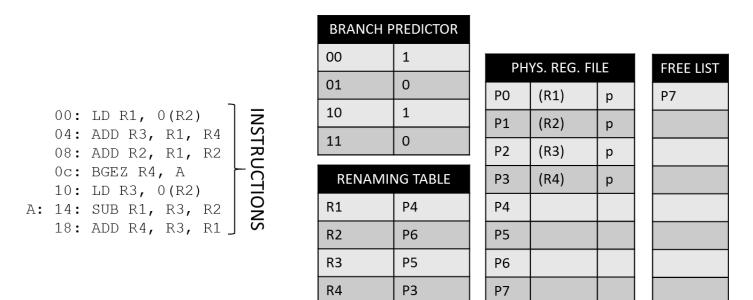
Assume that the Bentium 4 has the same register renaming scheme as the Pentium 4. What is the minimum number of physical registers (P) that the Bentium 4 must have to allow register renaming to work? Explain your answer.

Problem M8.4: Out-of-order Execution (Spring 2014 Quiz 2, Part C)

In this problem, we are going to update the state of the processor when different events happen. You are given an out-of-order processor in some initial state, as described by the registers (renaming table, physical registers, and free list), one-bit branch predictor, and re-order buffer. Your job is to show the changes that occur when some event occurs, starting from the <u>same initial state except where noted</u>. For partial credit, <u>briefly</u> describe what changes occur.

Problem M8.4.A

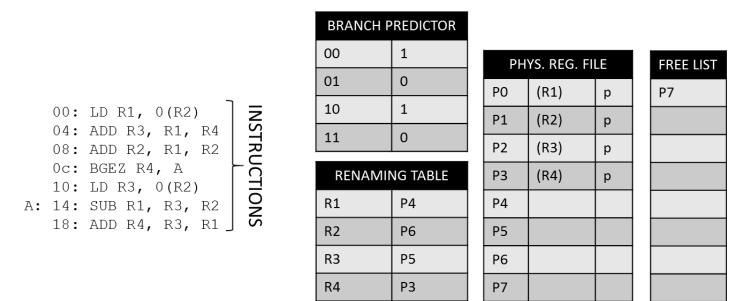
Show the state of the processor if the first load completes (but does not commit).



				R	E-ORDER B	UFFER (ROE	3)			
Next to commit	Use?	Ex	Ор	P1	PR1	P2	PR2	Rd	LPRd	PRd
	Х		LD	р	P1			R1	PO	P4
	Х		ADD		P4	р	P3	R3	P2	P5
	Х		ADD		P4	р	P1	R2	P1	P6
Novt ovoilable	х		BGEZ	р	P3					
Next available ──										

Problem M8.4.B

Show the state of the processor after the next instruction is issued.



				R	E-ORDER B	UFFER (ROB	3)			
Next to commit	Use?	Ex	Ор	P1	PR1	P2	PR2	Rd	LPRd	PRd
	Х		LD	р	P1			R1	PO	P4
	х		ADD		P4	р	P3	R3	P2	P5
	Х		ADD		P4	р	P1	R2	P1	P6
Next available ───►	х		BGEZ	р	Р3					

Problem M8.4.C

From the state at the end of Question 2, as the <u>next action</u> can the processor issue (not execute) another instruction?

In one or two sentences, what does this say about our design? How can we improve it?

Problem M8.4.D

Show the state of the processor if the first LD triggers a page fault and after abort finishes.

BRANCH P	REDICTOR				
00	1	PH.	YS. REG. FI	LE	FREE LIST
01	0	PO	(R1)	р	P7
10	1	P1	(R2)	p	
11	0	P2	(R3)	р	
RENAMI	NG TABLE	P3	(R4)	р	
R1	P4	P4			
R2	P6	P5			
R3	P5	P6			
R4	P3	P7			

		LD R1, 0(R2) ADD R3, R1, R4
	08:	ADD R2, R1, R2
	0c:	BGEZ R4, A $\vdash brace$
		LD R3, 0(R2)
A:	14:	SUB R1, R3, R2
	18:	ADD R4, R3, R1] 🕏

				R	E-ORDER B	UFFER (ROB	3)			
Next to commit	Use?	Ex	Ор	P1	PR1	P2	PR2	Rd	LPRd	PRd
	х		LD	р	P1			R1	PO	P4
	х		ADD		P4	р	Р3	R3	P2	P5
	х		ADD		P4	р	P1	R2	P1	P6
Next available	х		BGEZ	р	P3					

Problem M8.5 (Spring 2015 Quiz 2, Part B)

You are given an out-of-order processor that

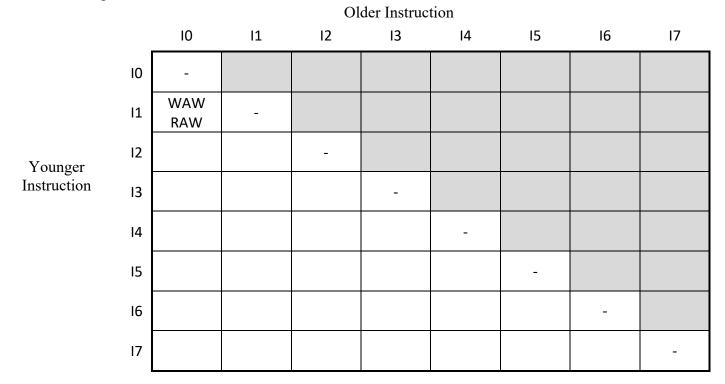
- Issues at most one instruction per cycle
- Commits at most one instruction per cycle
- Uses an unified physical register file

Problem M8.5.A

Consider the following code sequence:

	Addr					
ΙO	(0x24)		lw	r2,	(r4)	, #0
I1	(0x28)		addi	r2,	r2,	#16
I2	(0x2C)		lw	r3,	(r4)	, #4
IЗ	(0x30)		blez	r3,	L1	
I4	(0x34)		addi	r4,	r2,	#8
Ι5	(0x38)		mul	r1,	r2,	r3
I6	(0x3C)		addi	r3,	r2,	#8
I7	(0x40)	L1:	add	r2,	r1,	r3

Assume the branch instruction (blez) is not taken. Fill out the table below to identify all Read-After-Write (RAW), Write-After-Read (WAR), and Write-After-Write (WAW) dependencies in the above sequence.



Last updated: 3/30/2021

In Problems M8.5.B to M8.5.D, you should update the state of the processor when different events happen. The starting state in each question is the same, and the event specified in each question is the ONLY event that takes place for that question. The starting state is shown in the different structures: renaming table, physical registers, free list, two-bit branch predictor, global history buffer, and reorder buffer (ROB).

Note the following conventions:

- The valid bit for any entry is represented by "1".
- The valid bit can be cleared by crossing it out.
- In the ROB, the "ex" field should be marked with "1" when an instruction starts execution, and the "use" field should be cleared when it commits. Be sure to update the "next to commit" and "next available" pointers, if necessary.
- Fill out the "after" fields in all the tables. Write new values in these boxes if the values change due to the event specified in the question. You do not have to repeat the values if they do not change due to the event.

In Questions 2 through 4, we will use the same code sequence as in Question 1:

	Addr						
ΙO	(0x24)		lw	r2,	(r4)	,	#0
I1	(0x28)		addi	r2,	r2,		#16
I2	(0x2C)		lw	r3,	(r4)	,	#4
IЗ	(0x30)		blez	r3,	L1		
I4	(0x34)		addi	r4,	r2,	#8	3
Ι5	(0x38)		mul	r1,	r2,	r3	3
I6	(0x3C)		addi	r3,	r2,	#8	3
I7	(0x40)	L1:	add	r2,	r1,	r3	3

The starting state of the processor is as follows:

- Instructions I0-I4 are already in the ROB.
- I0 (lw) has already finished execution.
- I1 (addi) and I2 (lw) have started executing but have not finished yet.
- I3 (blez) has been predicted to be Not-Taken by the branch predictor.
- I5 (mul) has completed the decode stage.
- I6 (addi) has completed the Fetch Stage.
- The next PC is set to 0x40, which is the PC of I7 (add).

Problem M8.5.B

The following figure shows the starting state of the processor. Suppose the decoded instruction I5 (mul) is now inserted into the ROB. Update the diagram to reflect the processor state after this event has occurred.

Pre	diction Cou	nter	Fetche		eue	Decoded	Inst. Queue
Index	Before	After	PC	PC Inst.		Inst.	
000	11		0x3C	I6 (ac	ldi)	15	(mul)
001	00						
010	11				-		
011	01		Branc	h Global His	tory	Next PC to	be fetched
100	10		Before	e A	fter	Before	After
101	11		001011	.0		0x40	
110	01						
111	00		Phy	sical Registe	ers	Free Lis	t
Rena	me Table (L	atest)	Name	Value	Valid	P8	
Name	Before	After	P0	45	1	P9	
R1	PO		P1	2	1	P10	
R2	P5		P2	-3	1		
R3	P6		P3	100	1		
R4	P7		P4	20	1		

Renam	e Table	Valid	
(Snaps	(Snapshot 1)		
Name	Before	After	
R1	PO		
R2	P5		
R3	P6		
R4	P3		

Phy	Physical Registers						
Name	Value	Valid					
P0	45	1					
P1	2	1		F			
P2	-3	1					
P3	100	1					
P4	20	1					
P5							
P6							
P7							
P8							
P9							
P10							

Reorder Buffer (ROB)											
use	ex	ор	p1	PR1	p2	PR2	Rd	LPRd	PRd		
1	1	lw	1	Р3			r2	P1	P4	\leq	
1	1	addi	1	P4			r2	P4	P5		Next to
1	1	lw	1	Р3			r3	P2	P6		commit
1		blez		P6							
1		addi		P5			r4	P3	P7		Marit
										\leftarrow	Next available
											available

Problem M8.5.C

Start from the same processor state, shown below. Suppose now I1 (addi) has completed execution. Commit as many instructions as possible. Update the diagram to reflect the processor state after I1 execution completes and as many instructions as possible have committed. Again, assume no other events take place.

Prediction Counter						
Index	Before	After				
000	11					
001	00					
010	11					
011	01					
100	10					
101	11					
110	01					
111	00					

Rename Table (Latest)						
Name	Before	After				
R1	PO					
R2	P5					
R3	P6					
R4	P7					

Renam	Valid	
(Snaps	shot 1)	1
Name	Before	After
R1	PO	
R2	P5	
R3	P6	
R4	P3	

Fetche	d Inst. Queue	Decoded Inst. Queue
PC	Inst.	Inst.
0x3C	I6 (addi)	I5 (mul)

Next PC to be fetched

After

Before

0x40

Branch Global History				
Before After				
0010110				

Phy	sical Register		Free List	
Name	Value	Valid		P8
P0	45	1		P9
P1	2	1		P10
P2	-3	1		
P3	100	1		
P4	20	1		
P5				
P6				
P7				
P8				
Р9				
P10				
			-	

Reorder Buffer (ROB) p1 PR1 p2 PR2 Rd LPRd PRd use ex ор r2 Ρ4 1 1 lw 1 Ρ3 Ρ1 Next to 1 Ρ4 1 1 addi r2 Ρ4 Ρ5 commit 1 1 lw 1 Ρ3 r3 Ρ2 P6 P6 blez 1 Ρ7 1 addi P5 r4 Ρ3 Next available

Problem M8.5.D

Start from the same processor state, shown below. Suppose instruction I2 (lw) triggers an ALU overflow exception. Restore the architectural and microarchitectural state to recover from misspeculation. The exception handler for the processor is at address 0x8C (control is transferred to the exception handler after recovery). You do not need to worry about the number of cycles taken by recovery. Show the processor state after recovery.

Pre	Prediction Counter					
Index	Before	After				
000	11					
001	00					
010	11					
011	01					
100	10					
101	11					
110	01					
111	00					

Rename Table (Latest)					
Name					
R1	PO				
R2	P5				
R3	P6				
R4	P7				

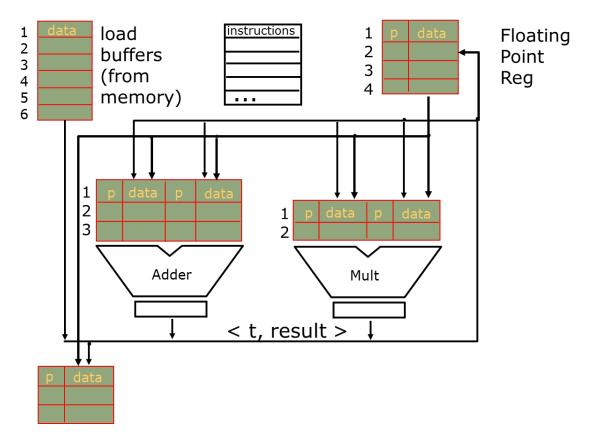
Renam	Valid	
(Snaps	1	
Name	Before	After
R1	PO	
R2	P5	
R3	P6	
R4	P3	

Fetched Inst. Queue			Decoded Inst. Queue			
PC	Inst.		Inst.			
0x3C	I6 (add	i)	I5 (mul)			
Branch Global History		Next PC to be fetched				
Before	Aft	er	Before	After		
0010110			0x40			

Phy	sical Registe	Free List	
Name	Value	Valid	P8
P0	45	1	Р9
P1	2	1	P10
P2	-3	1	
P3	100	1	
P4	20	1	
P5			
P6			
P7			
P8			
P9			
P10			

	Reorder Buffer (ROB)										
use	ex	ор	p1	PR1	p2	PR2	Rd	LPRd	PRd		
1	1	lw	1	P3			r2	P1	P4	\leq	
1	1	addi	1	P4			r2	P4	P5	`	Next to
1	1	lw	1	P3			r3	P2	P6		commit
1		blez		P6							
1		addi		P5			r4	P3	P7		
										\leftarrow	Next
											available





You are designing an out-of-order processor similar to the IBM 360/91 Tomasulo design shown above. This design distributes the re-order buffer around the processor, placing entries near their associated functional units. In such a design, the distributed ROB entries are called "reservation stations". Entries are allocated when the instruction is decoded and freed when the instruction is dispatched to the functional unit.

Your design achieves an average throughput of 1.5 instructions per cycle. Two-thirds of instructions are adds, and one-third are multiplies. The latency of each instruction type *from allocation to completion* is 5 cycles for adds and 14 cycles for multiplies.

Type of operation	Fraction of instructions	Average latency		
Add	2/3	5		
Multiply	1/3	14		

The adder and multiplier are each fully pipelined with full bypassing. Once an instruction is dispatched to the FU, the adder takes 2 cycles and the multiplier takes 5 cycles.

Throughput	Add latency	Multiply latency		
1.5	2	5		

Problem M8.6.A

How many entries are in use, on average, in the reservation station at each functional unit (adder, multiplier) in the steady state? Assume there are infinite entries available if needed. What is the average latency of an instruction in this machine? *For partial credit, feel free to give any formulae you believe may be important to answer this question.*