### 6.5930/1 Hardware Architectures for Deep Learning

# **Popular DNN Models**

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- Last lecture covered the building blocks of CNNs; this lecture describes how we put these blocks together to form a CNN.
- Overview of various well-known CNN models
  - CNN 'models' are also referred to as 'network architectures'; however, we prefer to use the term 'model' in this class to avoid overloading the term 'architecture'
- We group the CNN models into two categories
  - High Accuracy CNN Models: Designed to maximize accuracy to compete in the ImageNet Challenge
  - Efficient CNN Models: Designed to reduce the number of weights and operations (specifically MACs) while maintaining accuracy

# **High Accuracy CNN Models**



- LeNet (1998)
- AlexNet (2012)
- OverFeat (2013)
- VGGNet (2014)
- **GoogleNet** (2014)
- ResNet (2015)

#### ImageNet Large Scale Visual Recognition Challenge (ILSVRC)



# **MNIST**

#### **Digit Classification**

28x28 pixels (B&W) 10 Classes 60,000 Training 10,000 Testing

http://yann.lecun.com/exdb/mnist/



### LeNet-5

CONV Layers: 2 Fully Connected Layers: 2 Weights: 60k MACs: 341k **Sigmoid** used for non-linearity

Digit Classification! (MNIST Dataset)



[Lecun, Proceedings of the IEEE, 1998]

### LeNet-5



http://yann.lecun.com/exdb/lenet/



# IM AGENET

#### **Image Classification**

~256x256 pixels (color) 1000 Classes 1.3M Training 100,000 Testing (50,000 Validation) For ImageNet Large Scale Visual Recognition Challenge (ILSVRC) accuracy of classification task reported based on top-1 and top-5 error

Image Source: http://karpathy.github.io/



http://www.image-net.org/challenges/LSVRC/



## AlexNet

CONV Layers: 5 Fully Connected Layers: 3 Weights: 61M MACs: 724M **ReLU** used for non-linearity

ILSCVR12 Winner

Uses Local Response Normalization (LRN)

[Krizhevsky, NeurIPS 2012]



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# Large Sizes with Varying Shapes

### **AlexNet Convolutional Layer Configurations**

Layer	Filter Size (RxS)	# Filters (M)	# Channels (C)	Stride
1	11x11	96	3	4
2	5x5	256	48	1
3	3x3	384	256	1
4	3x3	384	192	1
5	3x3	256	192	1





34k Params 105M MACs Layer 2





885k Params 150M MACs

[Krizhevsky, NeurIPS 2012]

307k Params

224M MACs

# AlexNet

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### **VGG-16**

CONV Layers: 13 Fully Connected Layers: 3 Weights: 138M MACs: 15.5G

Also, 19-layer version

[Simonyan, ICLR 2015]





### **Stacked Filters**

- Deeper network means more weights
- Use stack of smaller filters (3x3) to cover the same receptive field with fewer filter weights





### **Stacked Filters**

- Deeper network means more weights
- Use stack of smaller filters (3x3) to cover the same receptive field with fewer filter weights

#### Example



filter (3x3) 0 1 0 1 1 1

1 0

0

filter (3x3)

1 1

1 0

1 0

0

0

### **Stacked Filters**

- Deeper network means more weights
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#### Example





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#### Example





### **VGGNet: Stacked Filters**

- Deeper network means more weights
- Use stack of smaller filters (3x3) to cover the same receptive field with fewer filter weights
- Non-linear activation inserted between each filter

Example: 5x5 filter (25 weights)  $\rightarrow$  two 3x3 filters (18 weights)



### **GoogLeNet/Inception (v1)**



module in textbook



### **GoogLeNet/Inception (v1)**



### **1x1 Bottleneck**

Use **1x1 filter** to capture cross-channel correlation, but not spatial correlation. Can be used to reduce the number of channels in next layer (**compress**). (Filter dimensions for bottleneck: **R**=1, **S**=1, **C** > **M**)



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[Lin, Network in Network, ICLR 2014]

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[Lin, Network in Network, ICLR 2014]



### GoogLeNet:1x1 Bottleneck

Apply 1x1 bottleneck before 'large' convolution filters. Reduce weights such that **entire CNN can be trained on one GPU**. Number of multiplications reduced from  $854M \rightarrow 358M$ 



[Szegedy, CVPR 2015]

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### **Reduce Cost of FC Layers**

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[Krizhevsky, NeurIPS 2012]

dense 2048 192 128 48 128 27 224 dense densé 13.0 13 192 192 128 Max 2048 2048 pooling 224 Stride Max Max 128 pooling pooling 1000 224x224 scores Input Connect Non-Linearity connect Non-Linearity nearity Connect Non-Linearity L2 L3 Linearity L5 Pooling L4 L1 guiloo (LRN) earit 3x3) 3x3) Image ari LRN 5x5) 3X3) 0 ā on-Lin orm ( Conv ( Conv Conv Conv Vax Fully ( Non-Fully S Fully õ Ы uo 34k 307k 885k 664k 442k 38M 16.8M 4.1M # of weights # of MACs 105M 224M 150M 112M 75M 38M 17M 4M

First FC layer accounts for a significant portion of weights

38M of 61M for AlexNet

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### **Global Pooling**

Use Global Pooling to reduce size of input to the first FC layer and the FC layer itself



### ResNet

ILSVRC15 Winner (better than human level accuracy!)



ImageNet Classification top-5 error (%)

Image Source: <u>http://icml.cc/2016/tutorials/icml2016\_tutorial\_deep\_residual\_networks\_kaiminghe.pdf</u>



### **ResNet: Training**

Training and validation error **increases** with more layers; this is due to vanishing gradient, no overfitting. Introduce **short cut block** to address this!



Thin curves denote training error, and bold curves denote validation error.

[He, CVPR 2016]

### **ResNet: Short Cut Block**



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### **ResNet: Bottleneck**

Apply 1x1 bottleneck to reduce computation and size Also makes network deeper (ResNet-34  $\rightarrow$  ResNet-50)



### **ResNet-50**



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### **Summary of Popular CNNs**

Metrics	LeNet-5	AlexNet	VGG-16	GoogLeNet (v1)	ResNet-50
Top-5 error	n/a	16.4	7.4	6.7	5.3
Input Size	28x28	227x227	224x224	224x224	224x224
# of CONV Layers	2	5	16	21 (depth)	49
Filter Sizes	5	3, 5,11	3	1, 3 , 5, 7	1, 3, 7
# of Channels	1, 6	3 - 256	3 - 512	3 - 1024	3 - 2048
# of Filters	6, 16	96 - 384	64 - 512	64 - 384	64 - 2048
Stride	1	1, 4	1	1, 2	1, 2
# of Weights	2.6k	2.3M	14.7M	6.0M	23.5M
# of MACs	283k	666M	15.3G	1.43G	3.86G
# of FC layers	2	3	3	1	1
# of Weights	58k	58.6M	124M	1M	2M
# of MACs	58k	58.6M	124M	1M	2M
Total Weights	60k	61M	138M	7M	25.5M
Total MACs	341k	724M	15.5G	1.43G	3.9G

CONV Layers increasingly important!

# **Summary of Popular CNNs**

#### AlexNet

- First CNN Winner of ILSVRC
- Uses LRN (deprecated after this)
- VGG-16
  - Goes Deeper (16+ layers)
  - Uses only 3x3 filters (stack for larger filters)
- GoogLeNet (v1)
  - Reduces weights with Inception and uses Global Pooling so that only one FC layer is needed
  - Inception Block: 1x1 and parallel connections
  - Batch Normalization
- ResNet
  - Goes Deeper (24+ layers)
  - Short cut Block: Skip connections



### DenseNet



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### **DenseNet**

Higher accuracy than ResNet with fewer weights and multiplications





[Huang, CVPR 2017]

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**Increase width (# of filters)** rather than depth of network

- 50-layer wide ResNet outperforms 152-layer original ResNet
- · Increasing width instead of depth is also more parallel-friendly



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## **Squeeze and Excitation**



Depth-wise convolution with **dynamic weights**, where the weights change based on the input feature map.

- Squeeze: Summarize each channel of input features map with global pooling
- **Excitation**: Determine weights using FC layers to increase **attention** on certain channels of the input features map



## **Convolution versus Attention Mechanism**

#### Convolution

- Only models dependencies between spatial neighbors
- Use sparsely connected layer to spatial neighbors; no support for dependencies outside of spatial dimensions of filter (R x S)

#### Attention

- "Allows modeling of [global] dependencies without regard to their distance" [Vaswani, NeurlPS 2017]
- However, fully connected layer too expensive; develop mechanism to bias "the allocation of available computational resources towards the most informative components of a signal" [Hu, CVPR 2018]
- **Transformer** is a type of DNN that is built entirely using Attention Mechanism [Vaswani, *NeurIPS* 2017] (Next Lecture)



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# **Efficient CNN Models**



#### Accuracy vs. Weight & OPs



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## **Manual Network Design**

- Reduce Spatial Size (R, S)
  - stacked filters
- Reduce Channels (C)
  - 1x1 convolution, grouped convolution
- Reduce Filters (M)
  - feature map reuse across layers



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Filters



Input fmaps

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## Reduce Spatial Size (R, S): Stacked Small Filters



Replace a large filter with a series of smaller filters (reduces degrees of freedom)



#### **Example: Inception V3**

Go deeper (v1: 22 layers → v3: 40+ layers) by reducing the number of weights per filter using filter decomposition ~3.5% higher accuracy than v1

5x5 filter  $\rightarrow$  3x3 filters



3x3 filter  $\rightarrow$  3x1 and 1x3 filters



Separable filters

[Szegedy, CVPR 2016]

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## **Reduce Channels (C): 1x1 Convolution**

GoogLeNet

ResNet



- Use 1x1 (bottleneck) filter to capture cross-channel correlation, but not spatial correlation
- Reduce the number of channels in next layer (compress), where C > M

#### **Example: SqueezeNet**



## **Reduce Channels (C): Grouped Convolutions**

Grouped convolutions reduce the number of weights and multiplications at the cost of not sharing information between groups

- **Divide** filters into groups (**G**) operating on **subset** of channels.
- Each group has **M/G** filters and processes **C/G** channels.

Example for G=2: Each filter requires 2x fewer weights and MACs (C  $\rightarrow$  C/2)



## **Reduce Channels (C): Grouped Convolutions**

Two ways of mixing information from groups





## **Depth-wise Convolutions**

The extreme case of Grouped Convolutions is Depth-wise Convolutions, where the **number of groups (G) equals number channels (C)** (i.e., one input channel per group) input fmap Typically, M=C (but does not have to be) filter₁ output fmap<sub>1</sub>  $\bigotimes$ Ð Group 1  $\leftarrow s \rightarrow$ W Qinput fmap filter<sub>C</sub> output fmap<sub>C</sub>  $\otimes$ **Group C**  $\oplus$  $\leftarrow s \rightarrow$ W Ο





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HWCRSM	=	RSM
HWC(RS+M)	_	(RS+M)

**Reduction in MACs** 

Model	ImageNet	Million	Million
	Accuracy	Mult-Adds	Parameters
Conv MobileNet	71.7%	4866	29.3
MobileNet	70.6%	569	4.2

[Howard, arXiv 2017]

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#### Comparison with other CNN Models

Table 8. MobileNet Comparison to Popular Models			
Model	ImageNet	Million	Million
	Accuracy	Mult-Adds	Parameters
1.0 MobileNet-224	70.6%	569	4.2
GoogleNet	69.8%	1550	6.8
VGG 16	71.5%	15300	138

Table 9. Smaller MobileNet	Comparison to Popular Models
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Model	ImageNet	Million	Million
	Accuracy	Mult-Adds	Parameters
0.50 MobileNet-160	60.2%	76	1.32
Squeezenet	57.5%	1700	1.25
AlexNet	57.2%	720	60



[Image source: Github]

[Howard, arXiv 2017]

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## **Example: Xception**

- An Inception block based on depth-wise separable convolutions
- Claims to learn richer features with similar number of weights as Inception V3 (i.e., more efficient use of weights)
  - Similar performance on ImageNet; 4.3% better on larger dataset (JFT)
  - However, 1.5x more operations required than Inception V3



#### **Example: ResNeXt**

Increase number of **convolution groups** (**G**) (referred to as *cardinality* in the paper) instead of depth and width of network



Used by ILSVRC 2017 Winner SENet Inspired by Inception's "split-transform-merge"

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[**Xie**, *CVPR* 2017]

#### **Example: ResNeXt**

Improved accuracy vs. 'complexity' tradeoff compared to other ResNet based models



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Results on ImageNet

[Xie, CVPR 2017]

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#### **Shuffle Operation**



#### **Example: ShuffleNet**

Shuffle order such that channels are not isolated across groups (up to 4% increase in accuracy)



No interaction between channels from different groups

Shuffling allow interaction between channels from different groups

[Zhang, CVPR 2018]

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### **AlexNet: Grouped Convolutions**

AlexNet uses grouped convolutions to train on two separate GPUs (Drawback: correlation between channels of different groups is not used)





# **Reduce Filters (M):** Feature Map Reuse





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## **Neural Architecture Search (NAS)**

Rather than handcrafting the model, automatically search for it



- Three main components:
  - Search Space (what is the set of all samples)
  - Optimization Algorithm (where to sample)
  - Performance Evaluation (how to evaluate samples)



Key Metrics: Achievable DNN accuracy and required search time



#### **Evaluate NAS Search Time**



Goal: Improve the efficiency of NAS in the three main components

## (1) Shrink the Search Space

- Trade the breadth of models for search speed
- May limit the performance that can be achieved
- Use domain knowledge from manual network design to help guide the reduction of the search space



## (1) Shrink the Search Space

• Search space = layer operations + connections between layers

#### **Common layer operations**

- Identity
- 1x3 then 3x1 convolution
- 1x7 then 7x1 convolution
- 3x3 dilated convolution
- 1x1 convolution
- 3x3 convolution

- 3x3 separable convolution
- 5x5 separable convolution
- 3x3 average pooling
- 3x3 max pooling
- 5x5 max pooling
- 7x7 max pooling

[Zoph, CVPR 2018]



## (1) Shrink the Search Space

Search space = layer operations + connections between layers





# (2) Improve Optimization Algorithm





# (3) Simplify the Performance Evaluation

- NAS needs only the rank of the performance values
- Method 1: approximate accuracy



## (3) Simplify the Performance Evaluation

- NAS needs only the rank of the performance values
- Method 2: approximate weights



# (3) Simplify the Performance Evaluation

- NAS needs only the rank of the performance values
- Method 3: approximate metrics (e.g., latency, energy)





## **Design Considerations for NAS**

- The components may not be chosen individually
  - Some optimization algorithms limit the search space
  - Type of performance metric may limit the selection of the optimization algorithms

- Commonly overlooked properties
  - The complexity of implementation
  - The ease of tuning hyperparameters of the optimization
  - The probability of convergence to a good architecture



## **Example: NASNet**

- Search Space: Build model from popular layers
  - Identity
    Sx3 average pooling
  - 1x3 then 3x1 convolution 3x3 max pooling
  - 1x7 then 7x1 convolution 5x5 max pooling
  - 3x3 dilated convolution
  - 1x1 convolution
  - 3x3 convolution
  - 3x3 separable convolution
  - 5x5 separable convolution

7x7 max pooling

#### [**Zoph**, *CVPR* 2018]

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#### **NASNet: Learned Convolutional Cells**



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## **NASNet: Comparison with Existing Networks**

Learned models have improved accuracy vs. 'complexity' tradeoff compared to handcrafted models



[**Zoph**, *CVPR* 2018]

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## **EfficientNet**

Uniformly scaling all dimensions including depth, width, and resolution since there is an interplay between the different dimensions. Use NAS to search for baseline model and then scale up.



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[Tan, ICML 2019]

## Summary

- Approaches used to improve accuracy by popular CNN models in the ImageNet Challenge
  - Go deeper (i.e., more layers)
  - Stack smaller filters and apply 1x1 bottlenecks to reduce number of weights such that the deeper models can fit into a GPU (faster training)
  - Use multiple connections across layers (e.g., parallel and short cut)
- Efficient models aim to reduce number of weights and number of operations
  - Most use some form of filter decomposition (spatial, depth and channel)
  - <u>Note</u>: Number of weights and operations does not directly map to storage, speed and power/energy. Depends on hardware!
- Filter shapes vary across layers and models
  - Need flexible hardware!

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## Warning!

- These works often use number of weights and operations to measure "complexity"
- Number of weights provides an indication of storage cost for inference
- However later in the course, we will see that
  - Number of operations doesn't directly translate to latency/throughput
  - Number of weights and operations doesn't directly translate to power/energy consumption
- Understanding the underlying hardware is important for evaluating the impact of these "efficient" CNN models

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