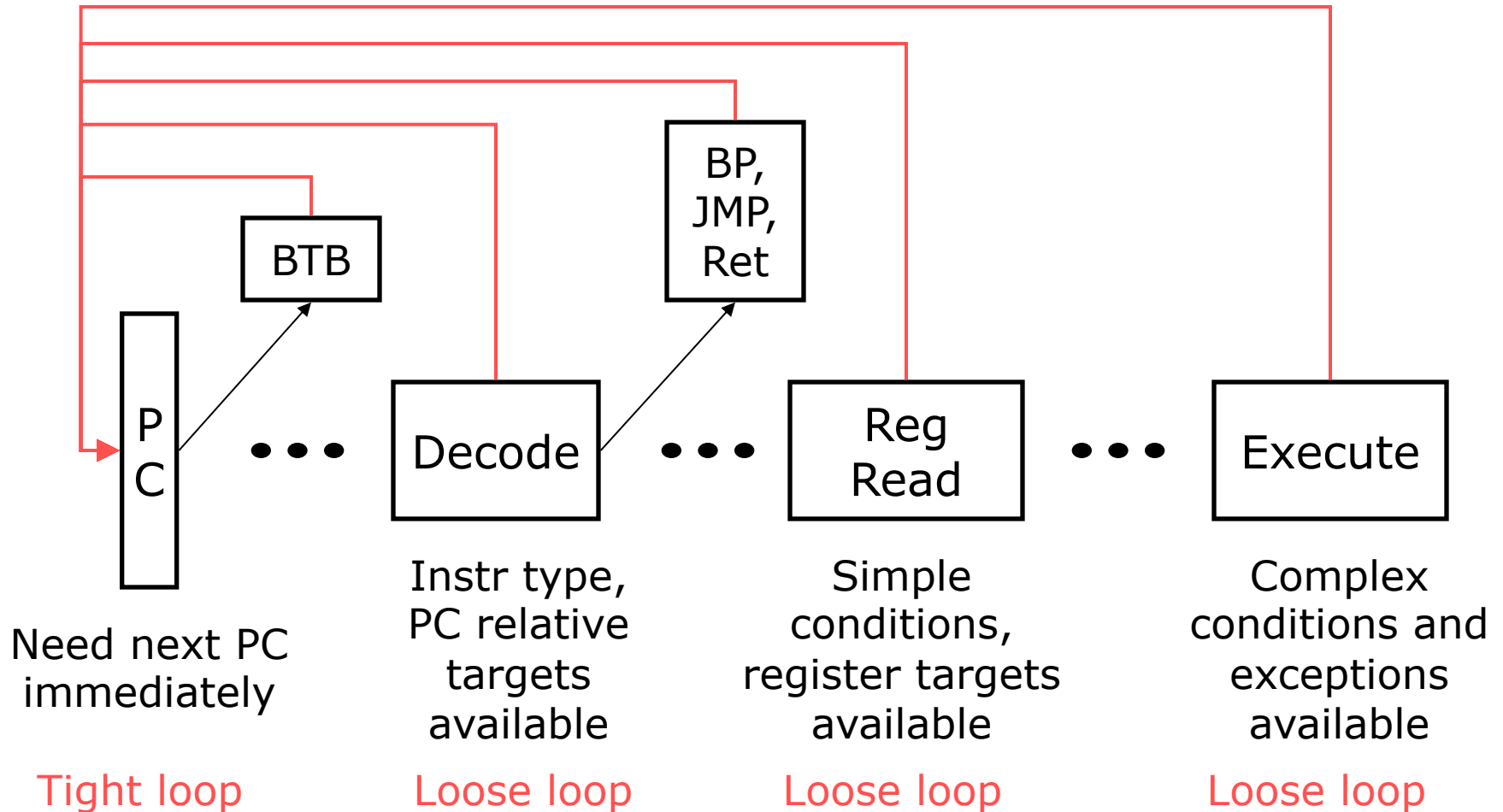


# Speculative Execution

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Computer Science and Artificial Intelligence Laboratory  
M.I.T.

# Overview of branch prediction



*Must speculation check always be correct?*

# Speculative Execution Recipe

---

1. Proceed ahead despite unresolved dependencies using a prediction for an architectural or micro-architectural value

2. Maintain both old and new values on updates to architectural (and often micro-architectural) state

After speculation check

3. After sure that there was no mis-speculation and there will be no more uses of the old values, discard old values and just use new values

OR

3. In event of mis-speculation, dispose of all new values, restore old values, and re-execute from point before mis-speculation

*Why might one use old values?*

# Value Management Strategies

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## Greedy (or Eager) Update:

- Update value in place, and
- Provide means to reconstruct old values for recovery
  - often this is a log of old values

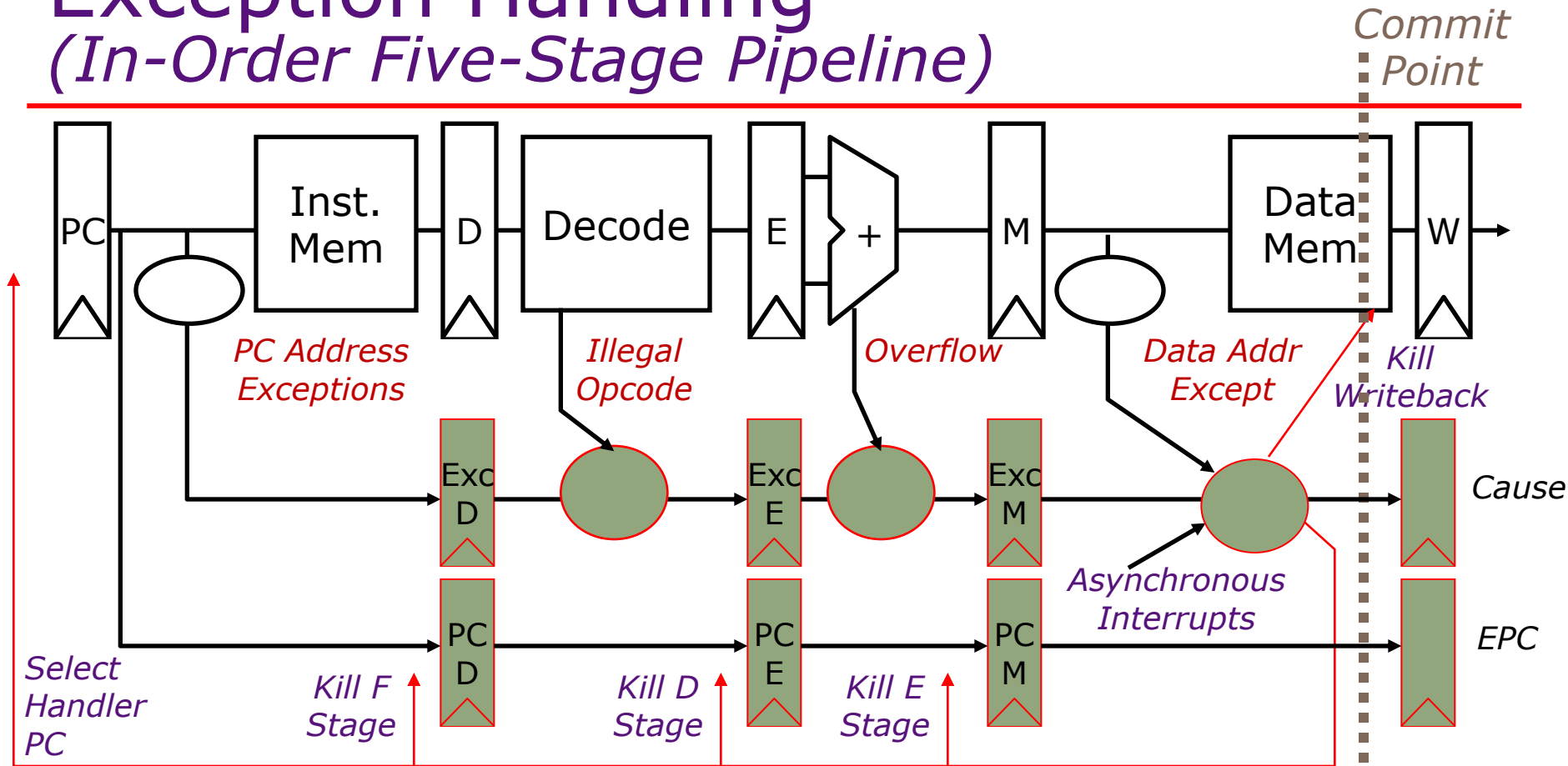
## Lazy Update:

- Buffer new value, leaving old value in place
- Replace old value only at 'commit' time

*Why leave an old value in place?*

# Exception Handling

*(In-Order Five-Stage Pipeline)*



Strategy for Registers?

Strategy for PC?

# Misprediction Recovery

---

## In-order execution machines:

- Guarantee no instruction issued after branch can write-back before branch resolves by keeping values in the pipeline
- Kill all values from all instructions in pipeline behind mispredicted branch

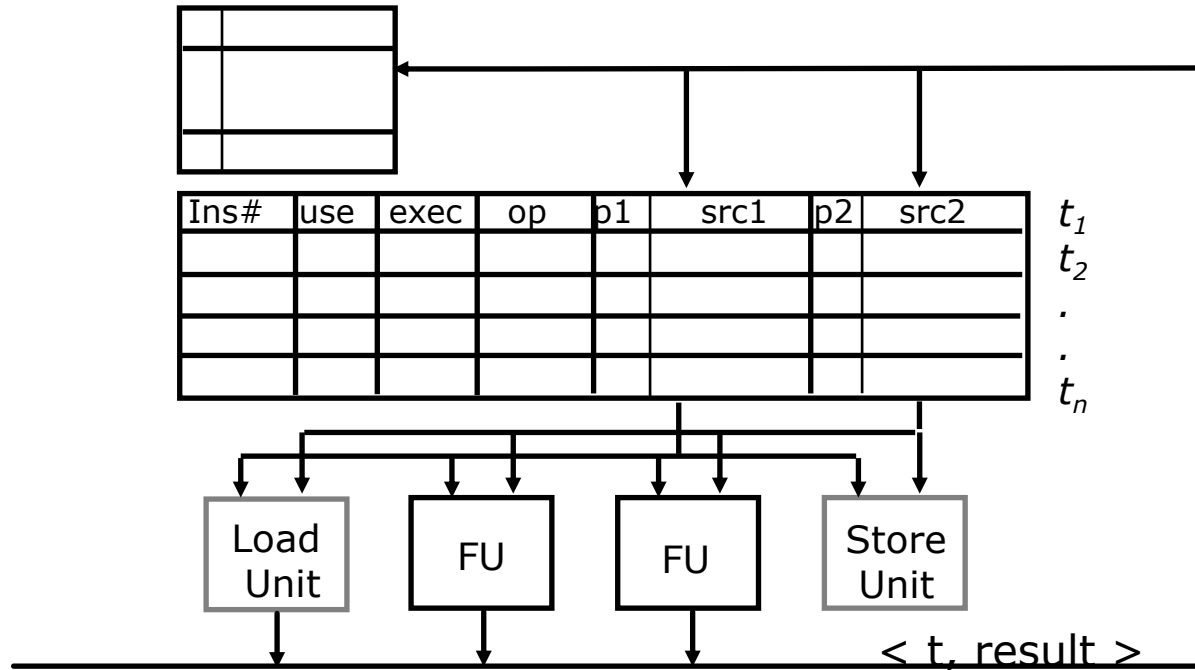
## Out-of-order execution?

- Multiple instructions following exception in program order can generate new values before exception resolves

# Data-Driven Execution (Tomasulo)

*Renaming  
table &  
reg file*

*Reorder  
buffer*

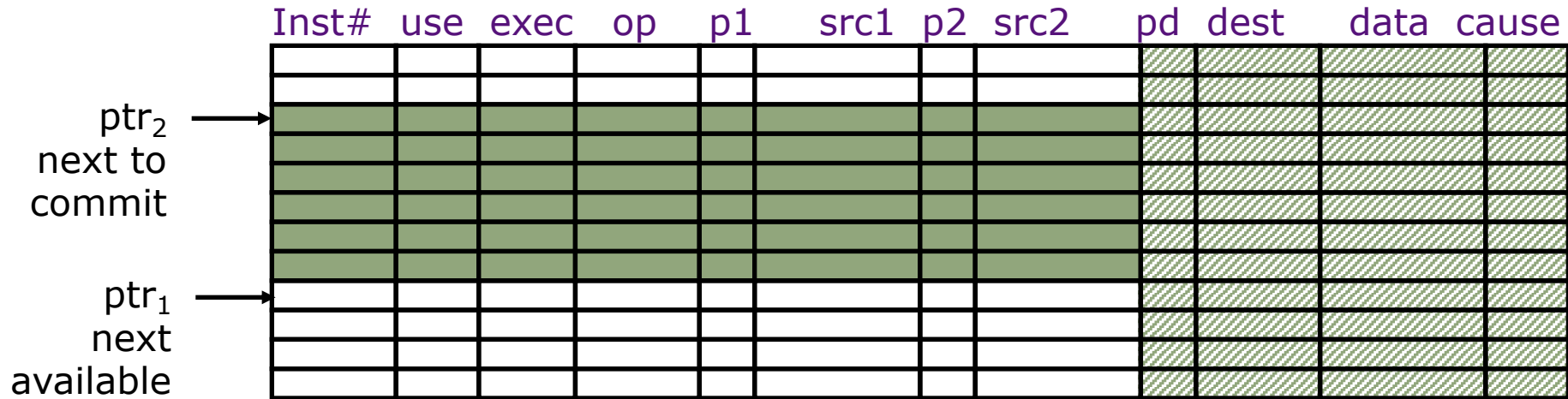


Basic Operation:

- Enter op and tag or data (if known) for each source
- Replace tag with data as it becomes available
- Issue instruction when all sources are available
- Save dest data when operation finishes

Update strategy?

# Extensions for Mis-speculation Recovery



*Reorder buffer*

- add <pd, dest, data, cause> fields in the instruction template
- commit instructions to reg file and memory in program order  $\Rightarrow$  buffers can be maintained circularly
- on exception, clear reorder buffer by resetting  $ptr_1 = ptr_2$   
*(stores must wait for commit before updating memory)*

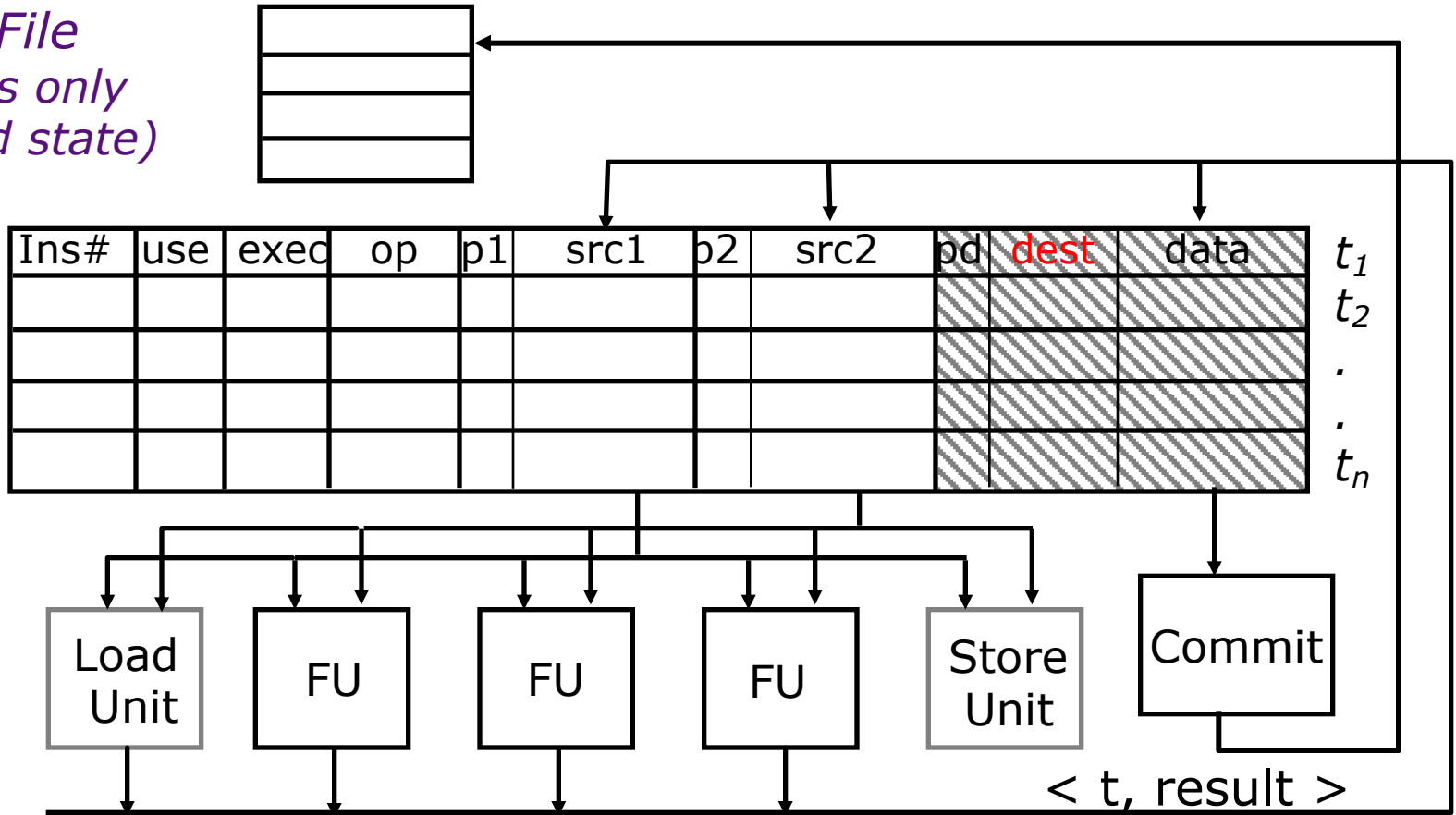
What is the update policy of registers?



# Rollback and Renaming

Register File  
(now holds only committed state)

Reorder  
buffer

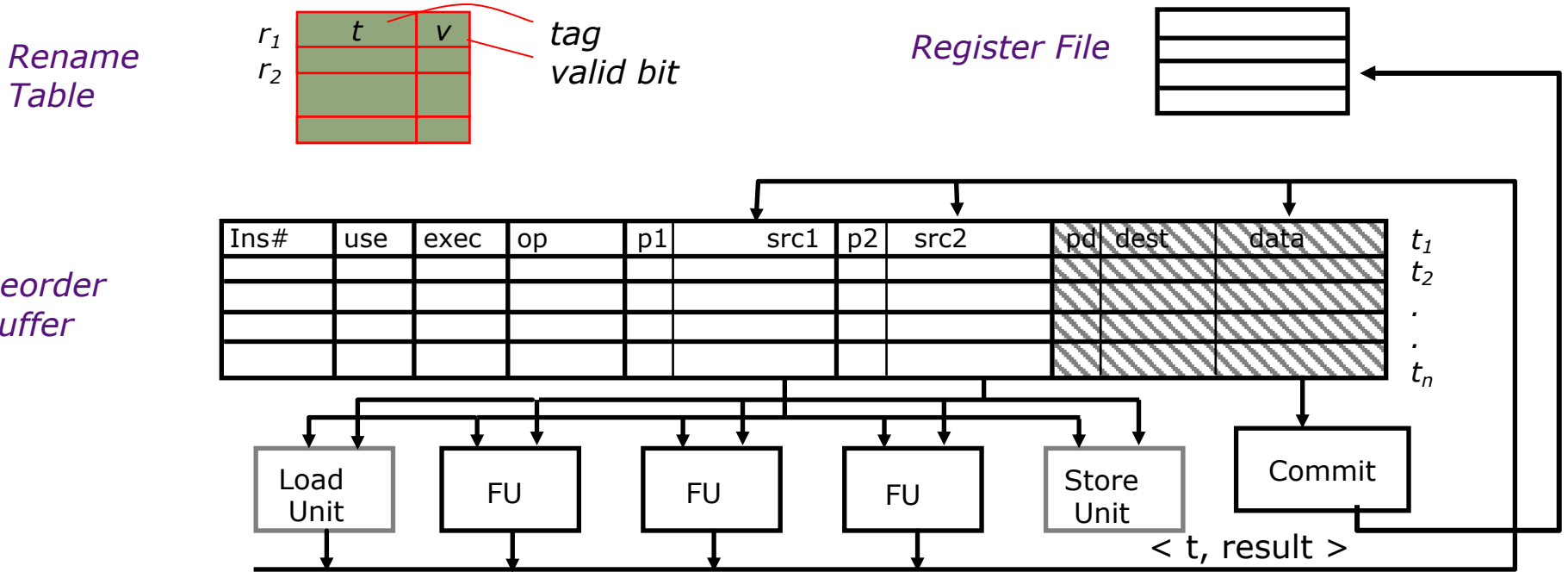


Convert to lazy by holding data in ROB.

But how do we find values before they are committed?

# Renaming Table

Micro-architectural speculative cache to speed up tag lookup.



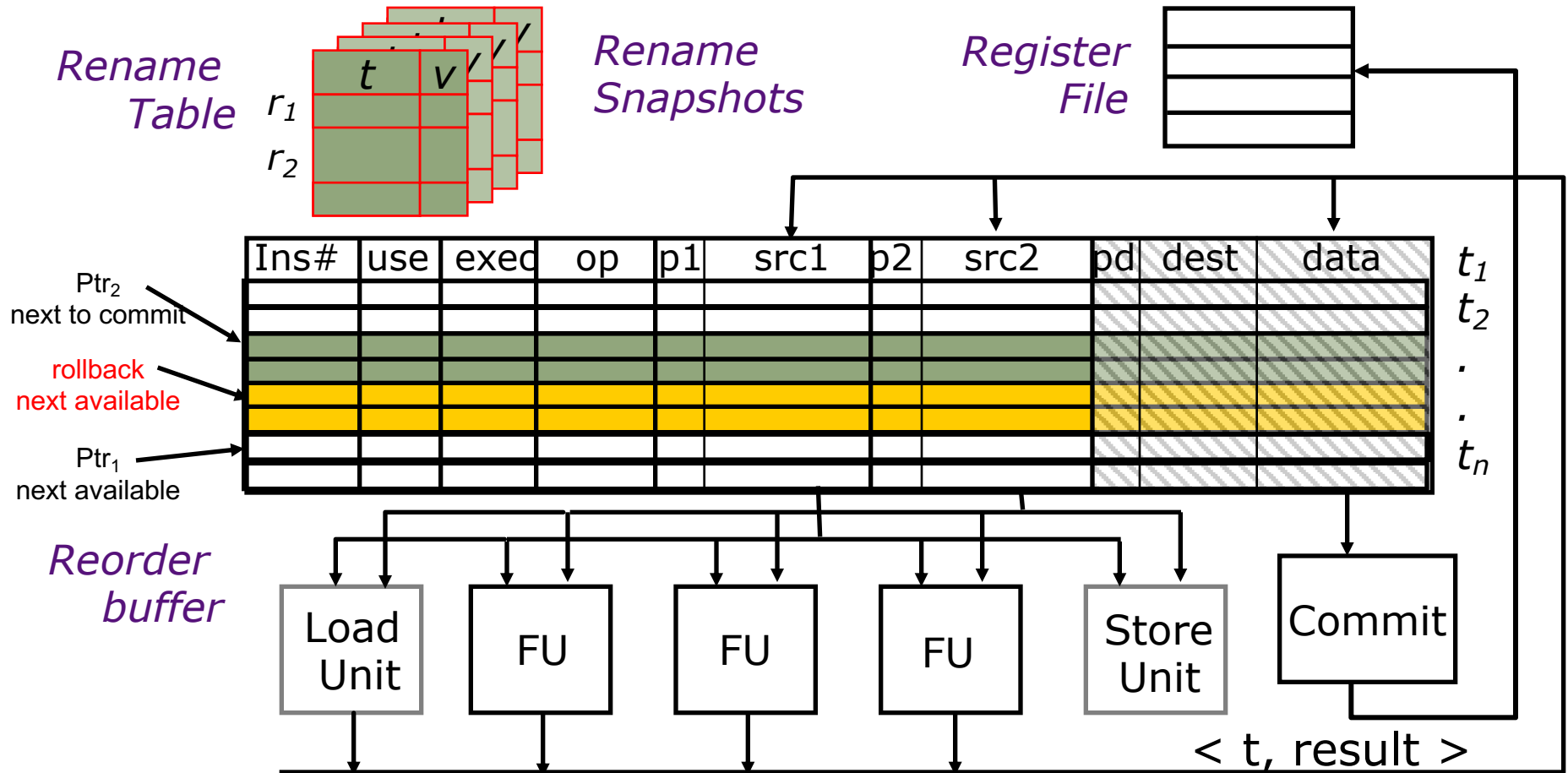
What is the update policy of rename table?

What events cause mis-speculation?

How can we respond to mis-speculation on rename table?

After being cleared, when can instructions be added to ROB?

# Recovering ROB/Renaming Table



Take snapshot of register rename table at each predicted branch, recover earlier snapshot if branch mispredicted

# Map Table Recovery - Snapshots

Speculative value management of microarchitectural state

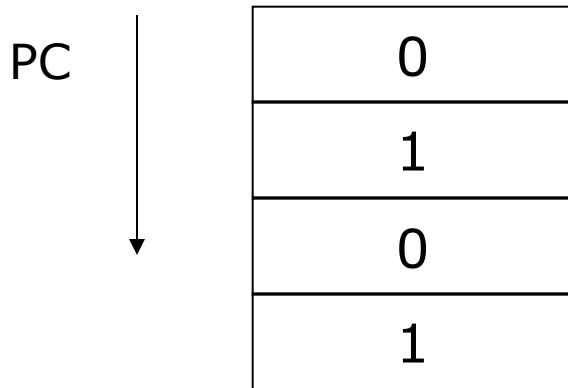
	Reg Map	V	Snap Map	V	Snap Map	V
R0	T20	X	T20	X	T20	X
R1	T73	X	T73	X	T08	
R2	T45	X	T45	X	T45	X
R3	T128		T128		T128	X
	⋮		⋮		⋮	
R30	T54		T54		T54	
R31	T88	X	T88	X	T88	X

What kind of value management is this?

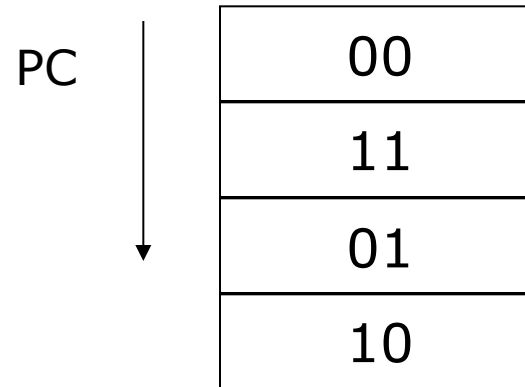
# Branch Predictor: Speculative Value Management

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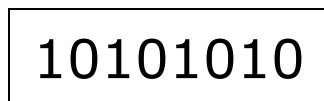
- 1-Bit Counter



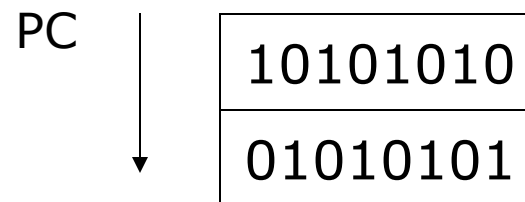
- 2-Bit Counter



- Global History

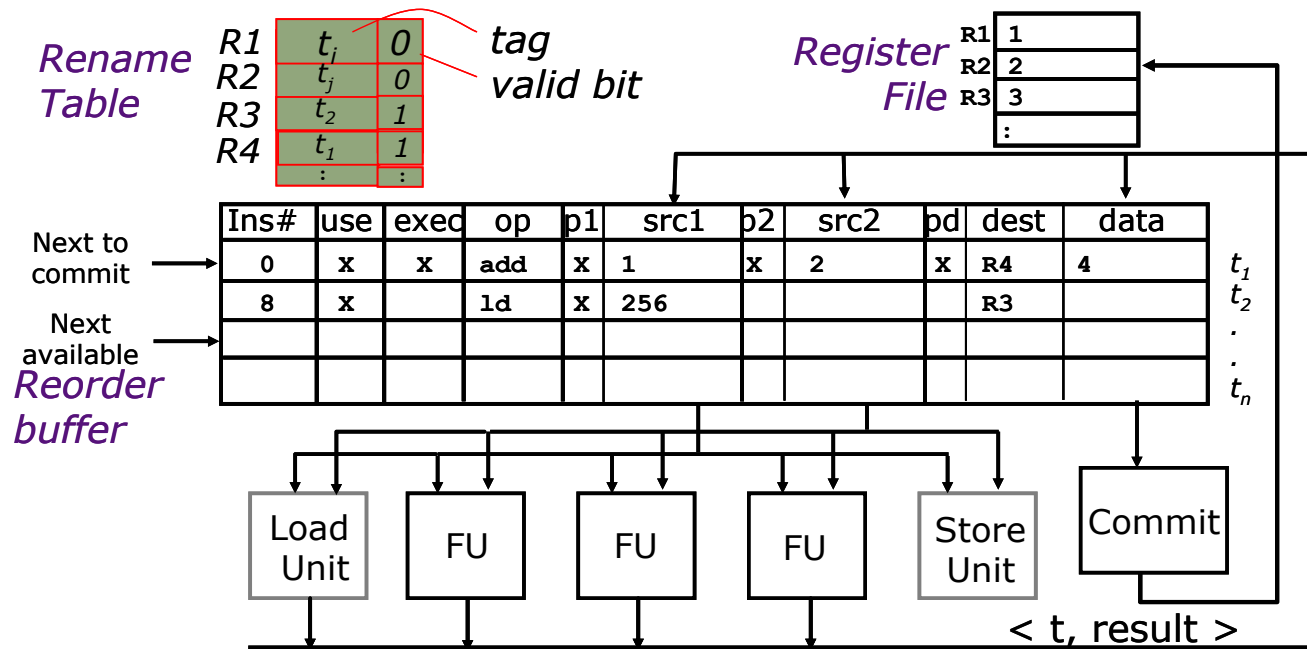


- Local History



# O-o-O Execution with ROB

## Data-in-ROB design

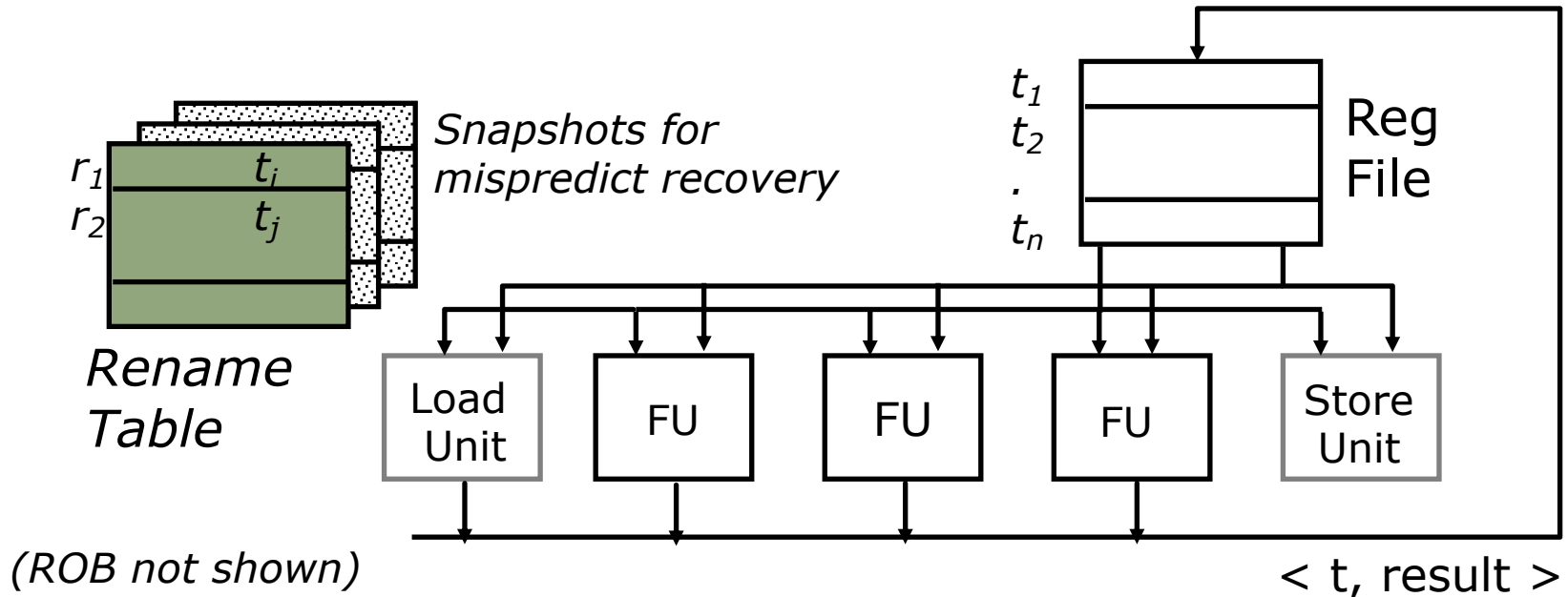


### Basic Operation:

- Enter op and tag or data (if known) for each source
- Replace tag with data as it becomes available
- Issue instruction when all sources are available
- Save dest data when operation finishes
- Commit saved dest data when instruction commits

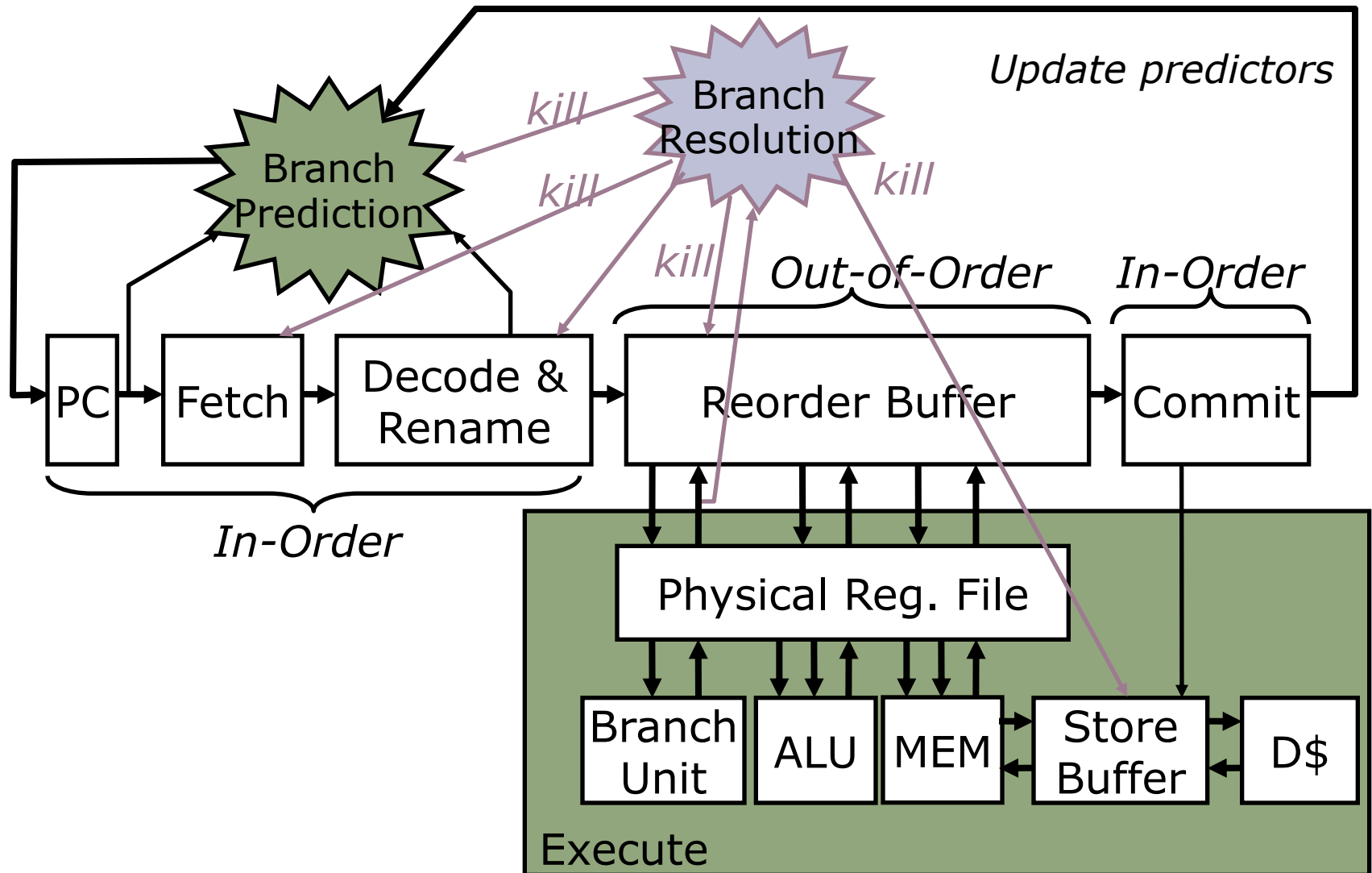
# Unified Physical Register File

(MIPS R10K, Alpha 21264, Pentium 4)



- One regfile for both *committed* and *speculative* values (no data in ROB)
- During decode, instruction result allocated new physical register, source regs translated to physical regs through rename table
- Instruction reads data from regfile at start of execute (not in decode)
- Write-back updates reg. busy bits on instructions in ROB (assoc. search)
- Snapshots of rename table taken at every branch to recover mispredicts
- On exception, renaming undone in reverse order of issue (*MIPS R10000*)

# Speculative & Out-of-Order Execution

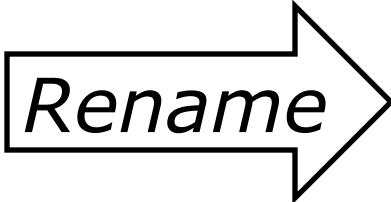




# Lifetime of Physical Registers

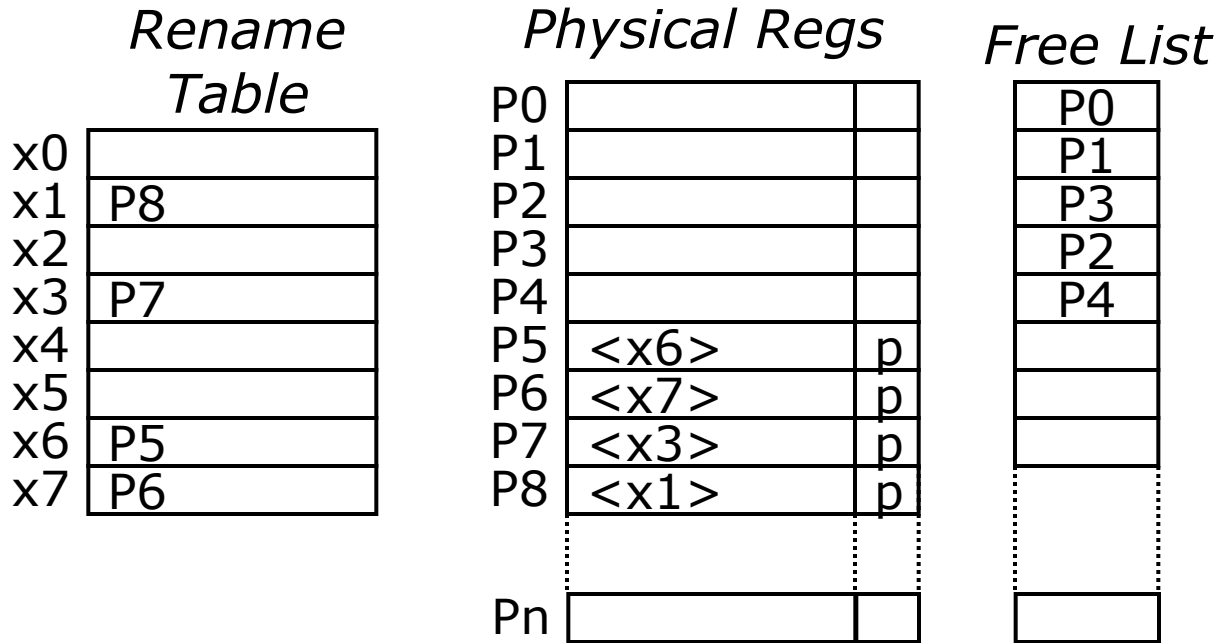
---

- Physical regfile holds committed and speculative values
- Physical registers decoupled from ROB entries (*no data in ROB*)

a)	lw <b>x1</b> , (x3)		lw <b>P1</b> , (Px)
b)	addi x3, x1, 4		addi P2, P1, 4
c)	sub <b>x1</b> , x3, x9		sub <b>P3</b> , P2, Py
d)	add <b>x3</b> , x1, x7		add <b>P4</b> , P3, Pz
e)	lw x6, (x1)		lw P5, (P3)
f)	add x8, x6, x3		add P6, P5, P4
g)	sw x8, (x1)		sw P6, (P3)
h)	lw <b>x3</b> , (x11)		lw <b>P7</b> , (Pw)

When can we reuse a physical register?

# Physical Register Management



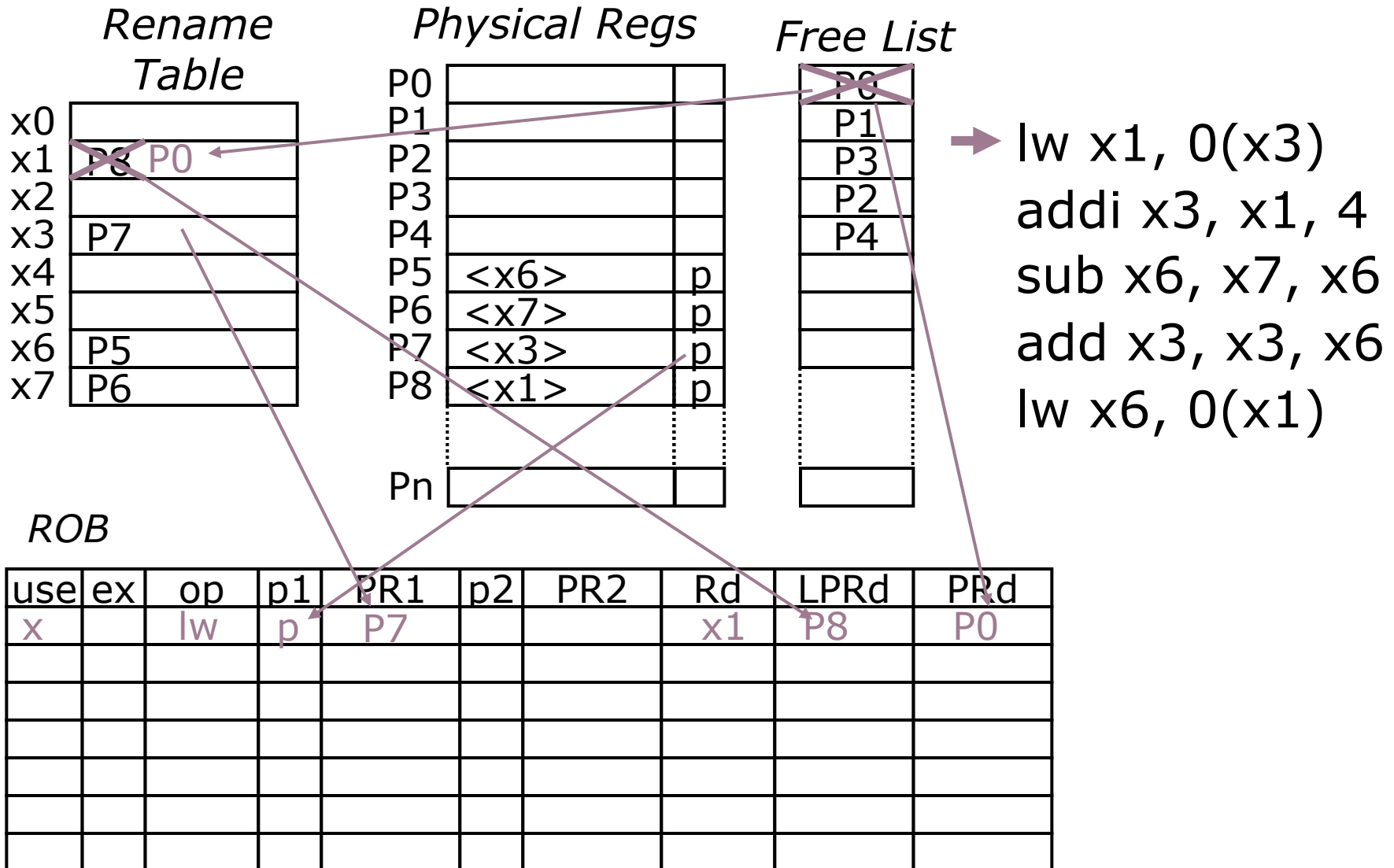
```
lw x1, 0(x3)
addi x3, x1, 4
sub x6, x7, x6
add x3, x3, x6
lw x6, 0(x1)
```

*ROB*

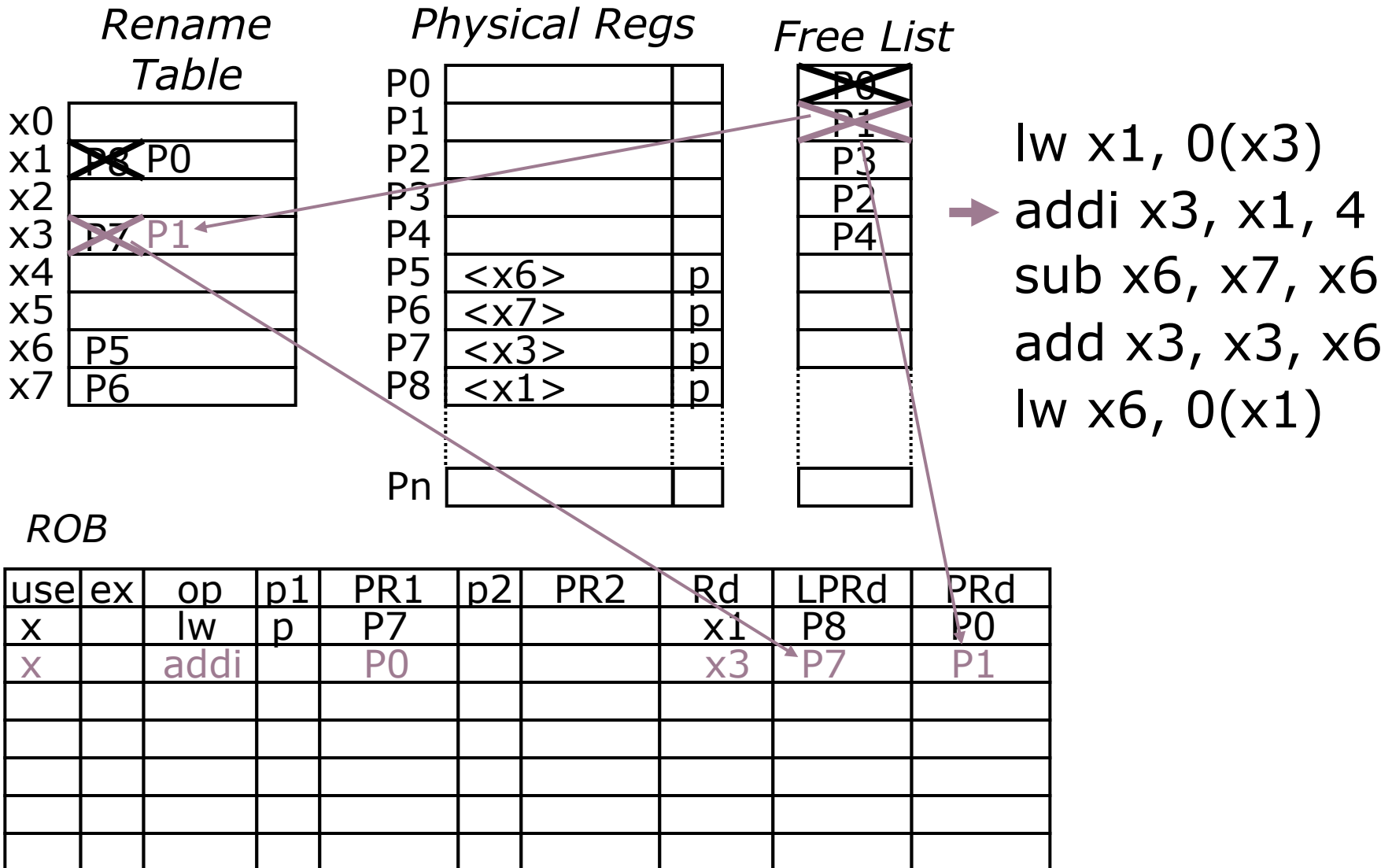
use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd

*(LPRd requires third read port on Rename Table for each instruction)*

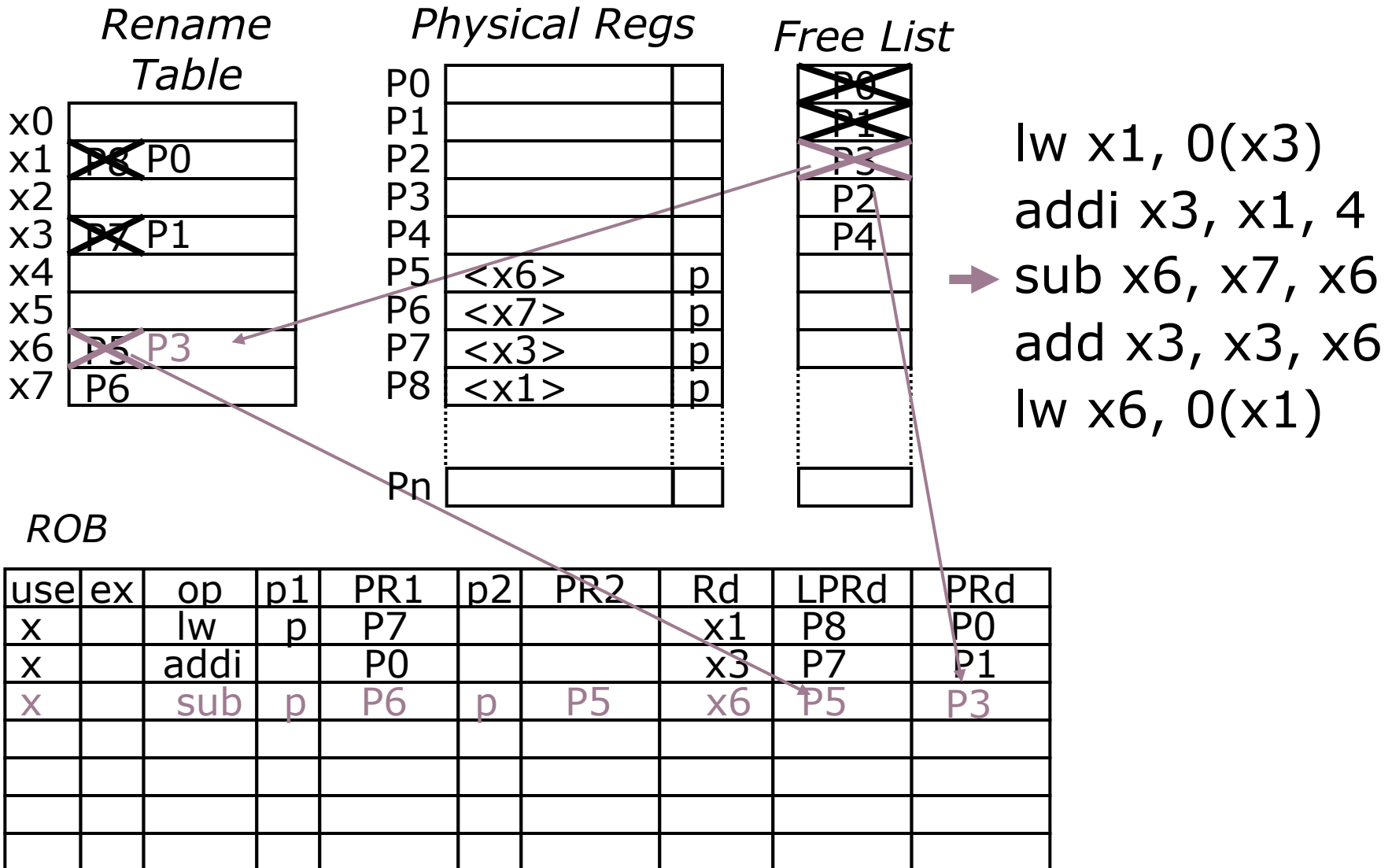
# Physical Register Management



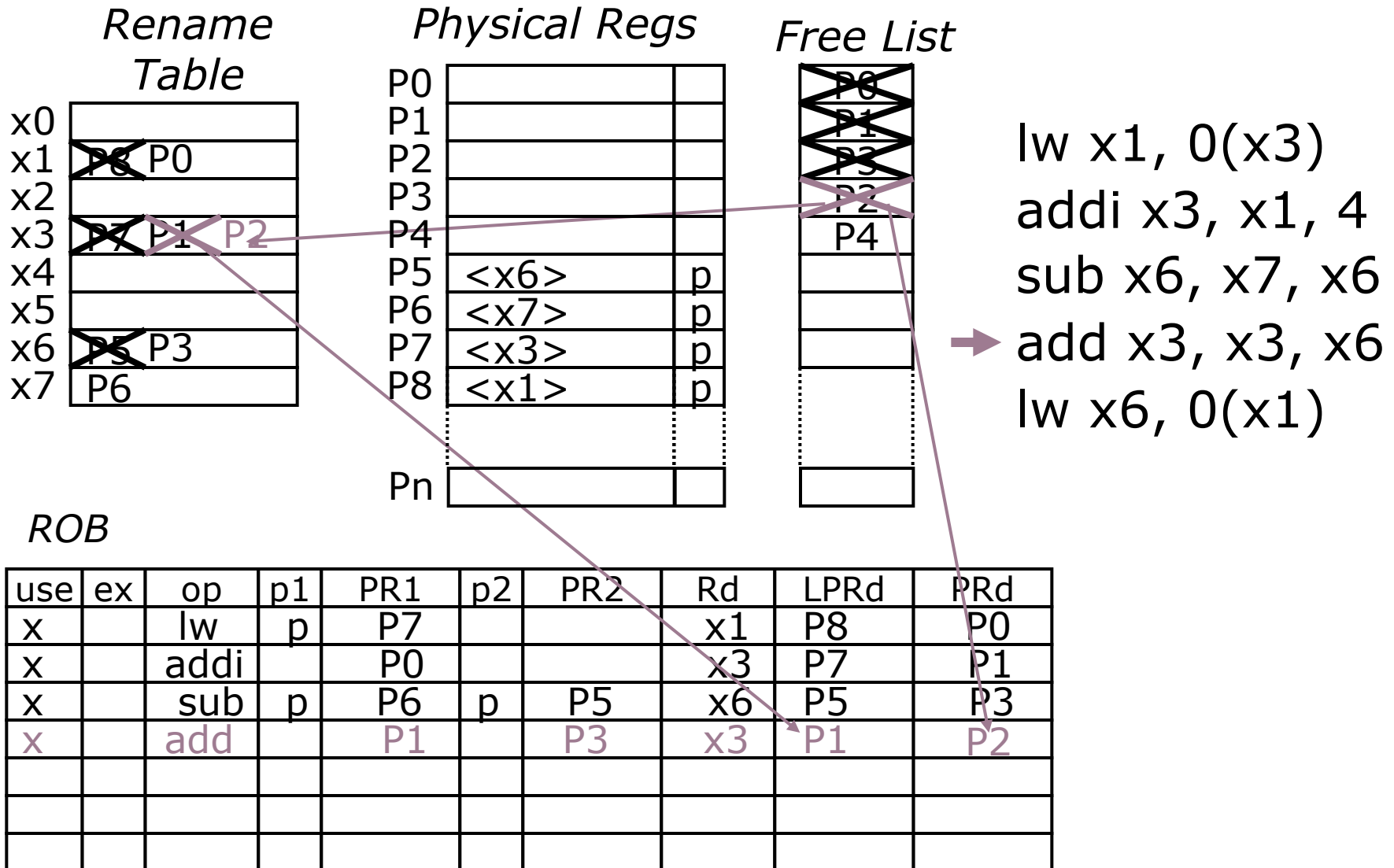
# Physical Register Management



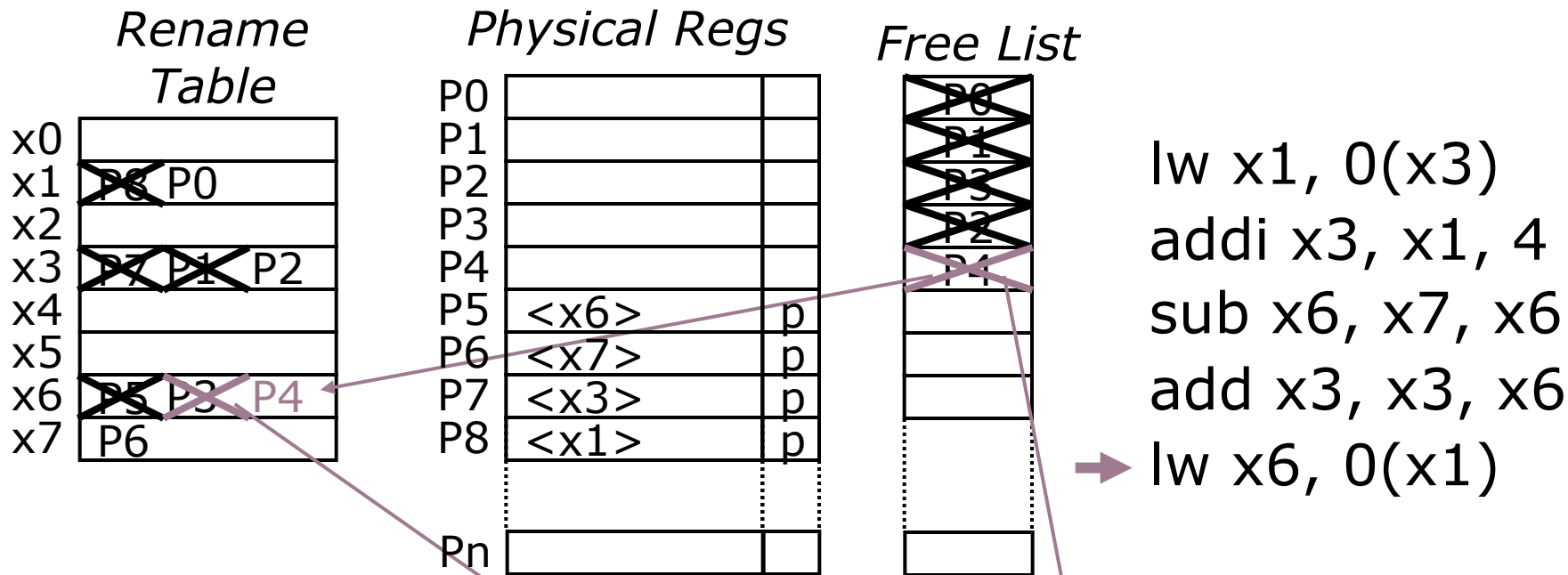
# Physical Register Management



# Physical Register Management



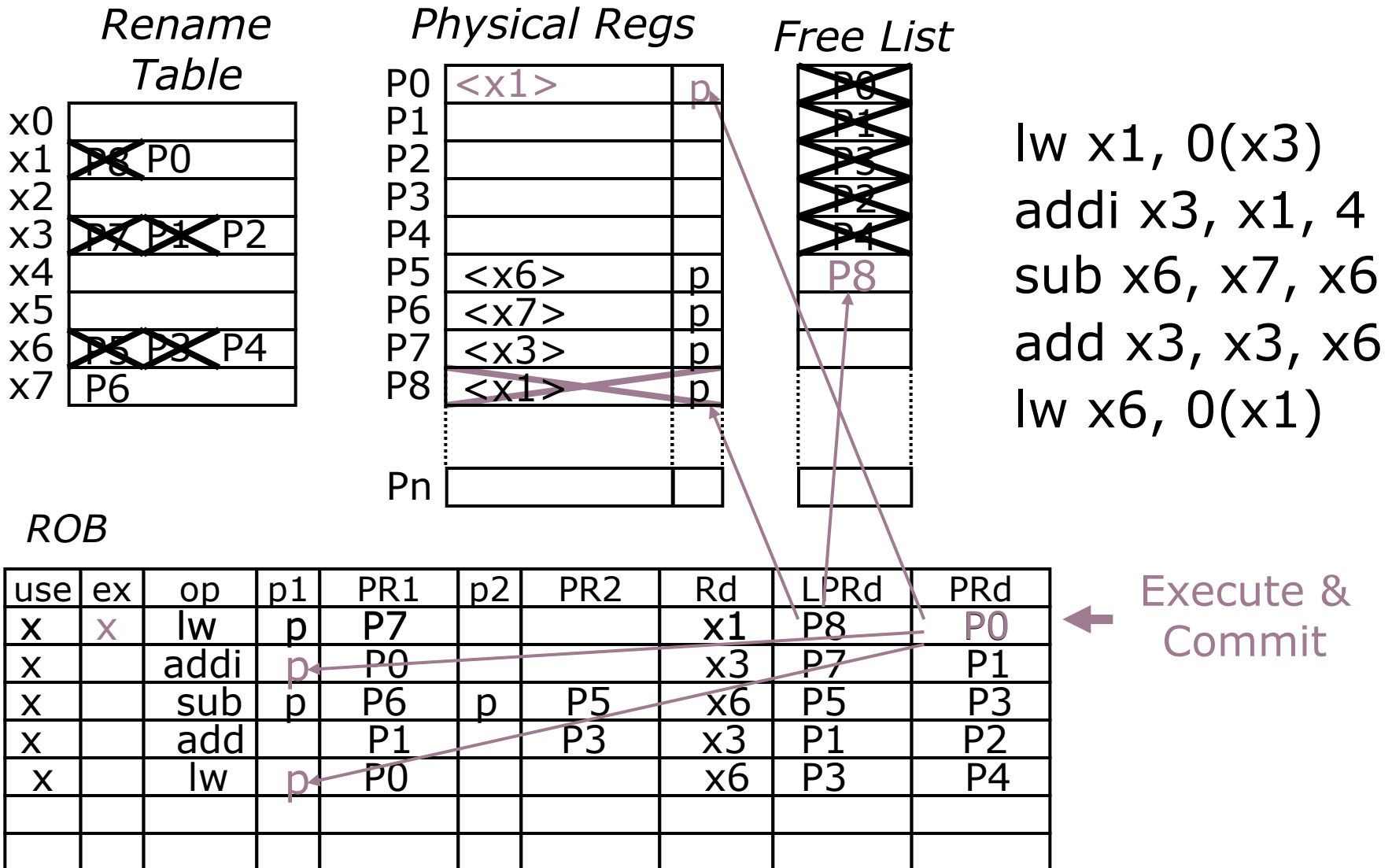
# Physical Register Management



## ROB

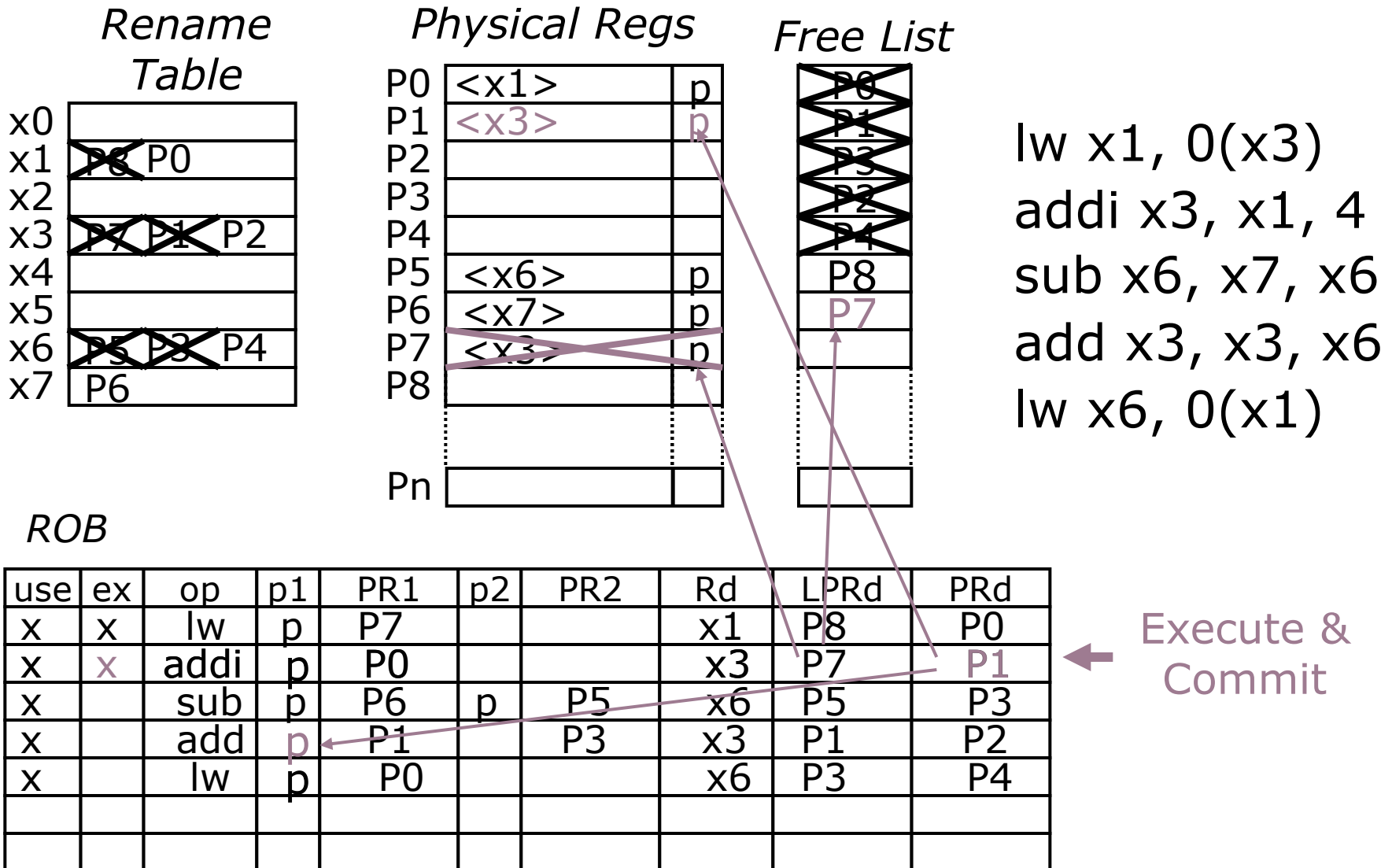
use	ex	op	p1	PR1	p2	PR2	Rd	LPRd	PRd
x		lw	p	P7			x1	P8	P0
x		addi		P0			x3	P7	P1
x		sub	p	P6	p	P5	x6	P5	P3
x		add		P1		P3	x3	P1	P2
x		lw		P0			x6	P3	P4

# Physical Register Management

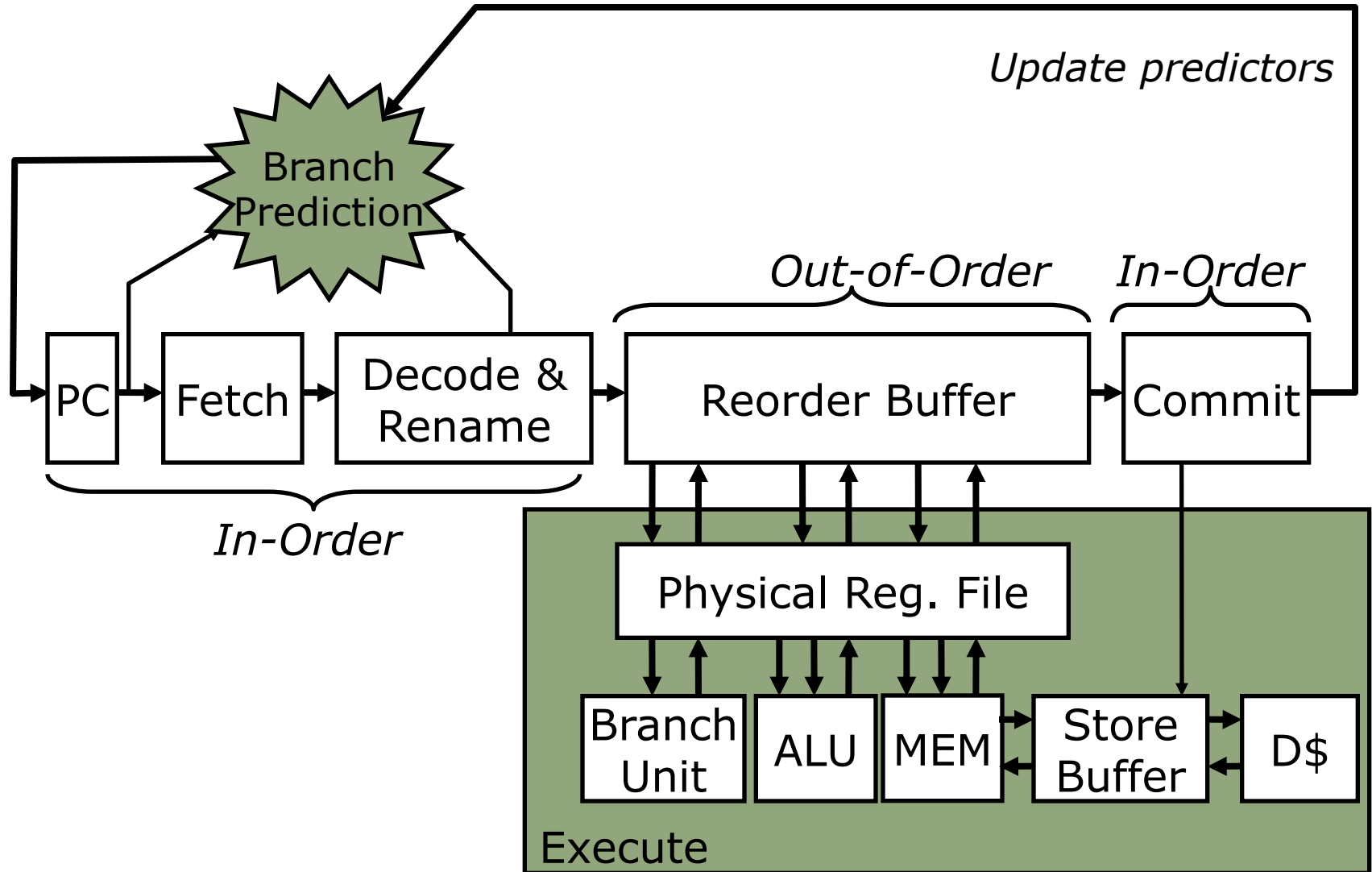




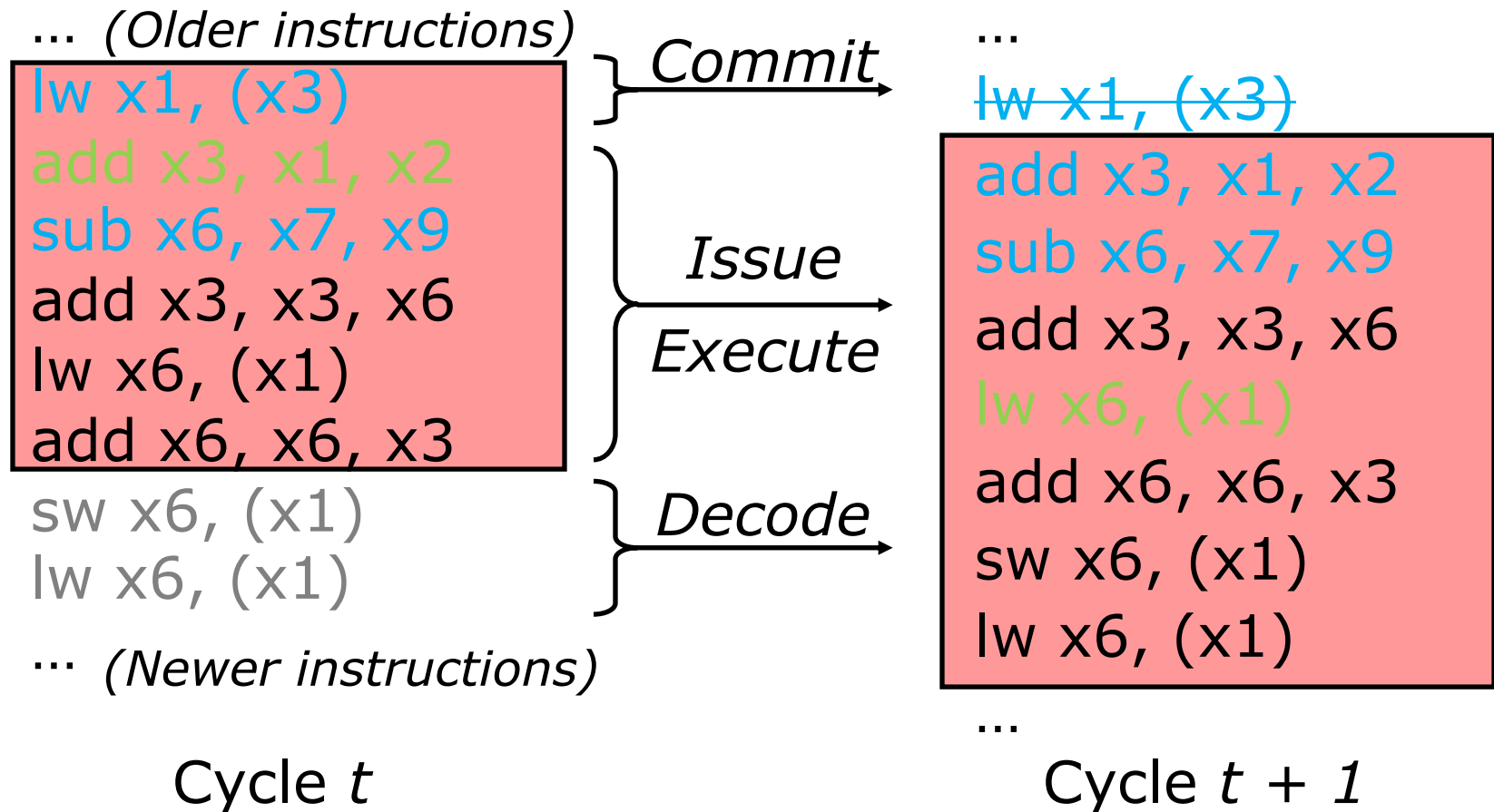
# Physical Register Management



# Speculative & Out-of-Order Execution



# Reorder Buffer Holds Active Instruction Window



Key: predecode, decoded, issued, executed, committed

# Split Issue and Commit Queues

---

- How large should the ROB be?
  - Think Little's Law...
- Can split ROB into issue and commit queues

*Issue Queue*

use	op	p1	PR1	p2	PR2	tag

*Commit Queue*

ex	Rd	LPRd	PRd

- Commit queue: Allocate on decode, free on commit
- Issue queue: Allocate on decode, free on dispatch
- Pros: Smaller issue queue → simpler dispatch logic
- Cons: More complex mis-speculation recovery

# Issue Timing

---

i1	addi x1,x1,1	Issue <sub>1</sub>	Execute <sub>1</sub>		
i2	ori x1,x1,1			Issue <sub>2</sub>	Execute <sub>2</sub>

How can we issue earlier?

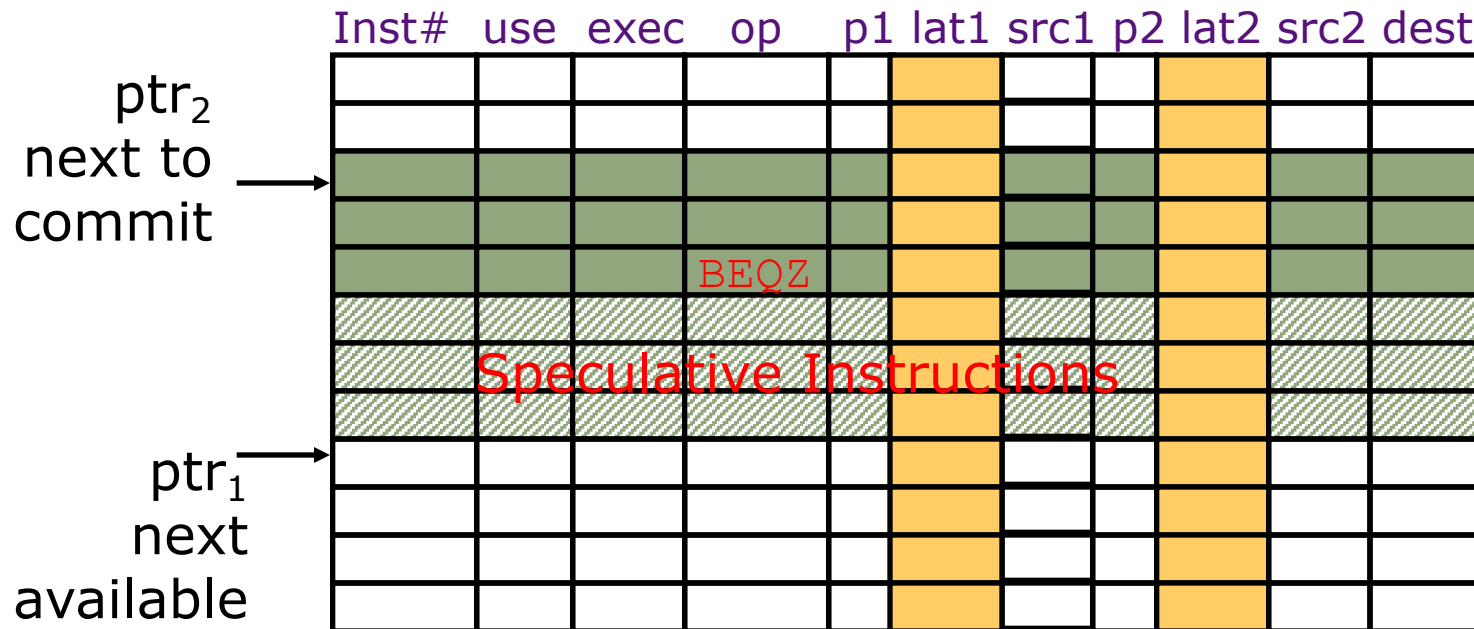
Using knowledge of execution latency (bypass)

i1	lw x1, (x3)	Issue <sub>1</sub>	Execute <sub>1</sub>		
i2	addi x1,x1,1		Issue <sub>2</sub>	Execute <sub>2</sub>	

What might make this schedule fail?

If execution latency wasn't as expected

# Issue Queue with latency prediction



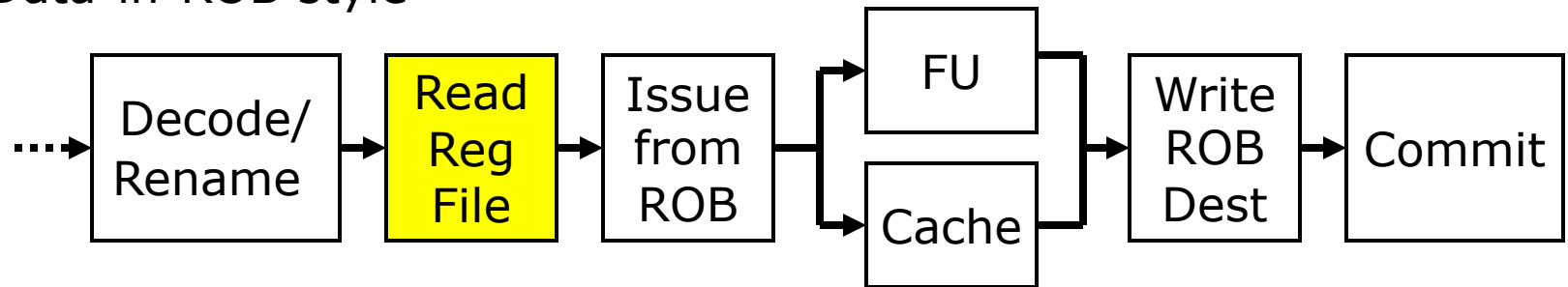
*Issue Queue (Reorder buffer)*

- Fixed latency: latency included in queue entry ('bypassed')
- Predicted latency: latency included in queue entry (speculated)
- Variable latency: wait for completion signal (stall)

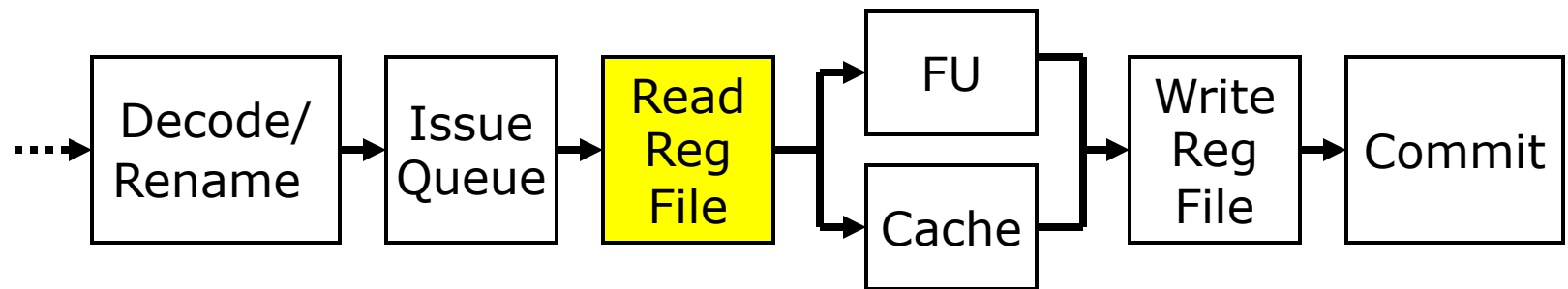
# Data-in-ROB vs. Unified RegFile

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Data-in-ROB style



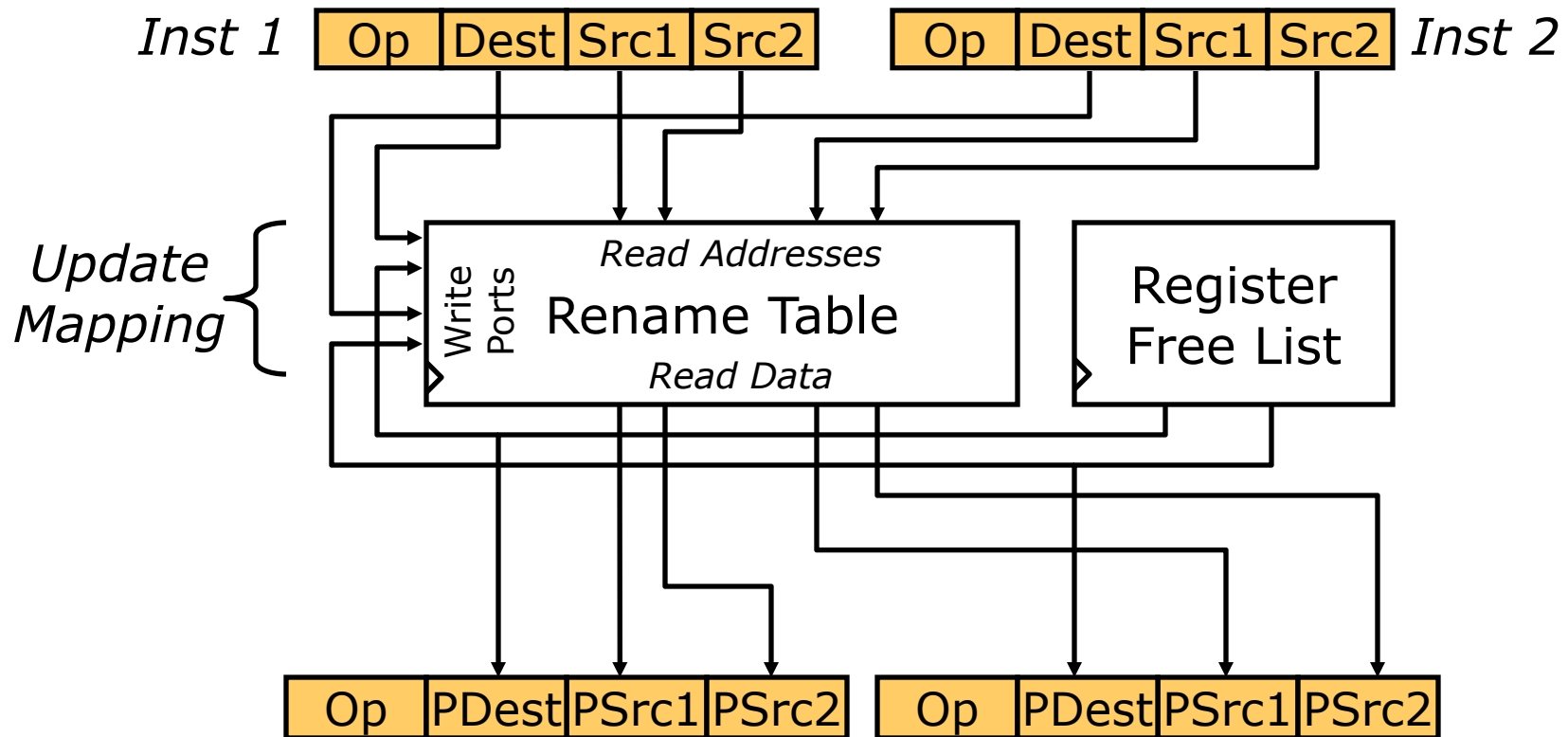
Unified-register-file style



How does issue speculation differ, e.g., on cache miss?

# Superscalar Register Renaming

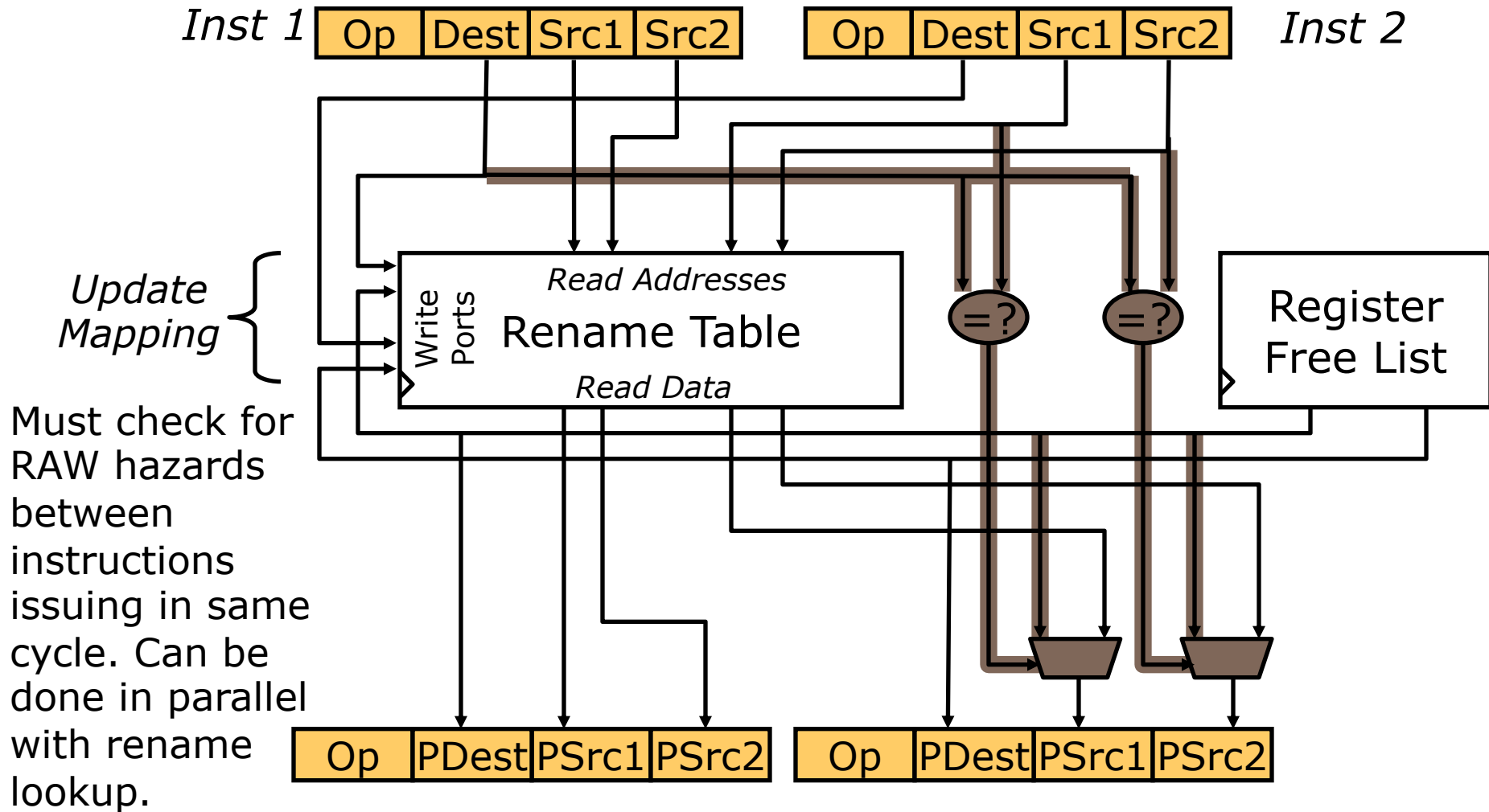
- During decode, instructions allocated new physical destination register
- Source operands renamed to physical register with newest value
- Execution unit only sees physical register numbers



Does this work?



# Superscalar Register Renaming



*(MIPS R10K renames 4 serially-RAW-dependent insts/cycle)*

*Thank you!*