

# Directory-Based Cache Coherence

*Mengjia Yan*

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M.I.T.

# Maintaining Cache Coherence

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It is sufficient to have hardware such that

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- No processor can load a stale copy of the location after a write



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write request:

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read request:

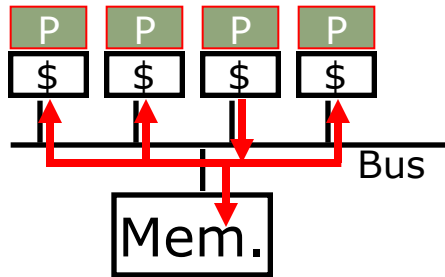
If a dirty copy is found in some cache, a write-back is performed before the memory is read

# Directory-Based Coherence

*[Censier and Feautrier, 1978]*

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## Snoopy Protocols

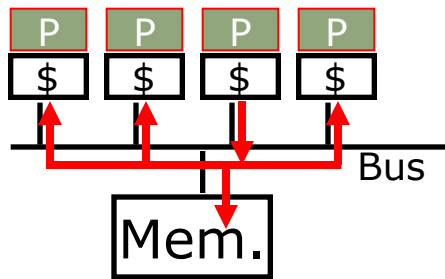


- Snoopy schemes broadcast requests over memory bus
- Difficult to scale to large numbers of processors
- Requires additional bandwidth to cache tags for snoop requests

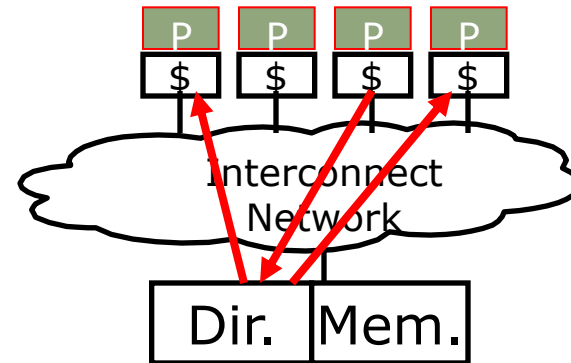
# Directory-Based Coherence

[Censier and Feautrier, 1978]

## Snoopy Protocols



## Directory Protocols

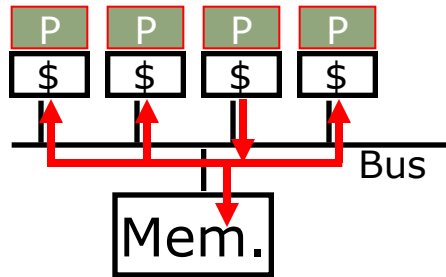


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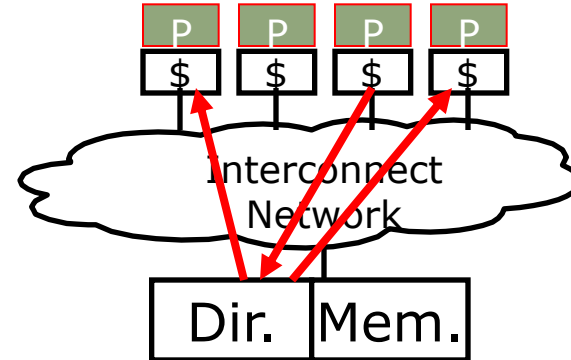
[Censier and Feautrier, 1978]

## Snoopy Protocols



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- Difficult to scale to large numbers of processors
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## Directory Protocols

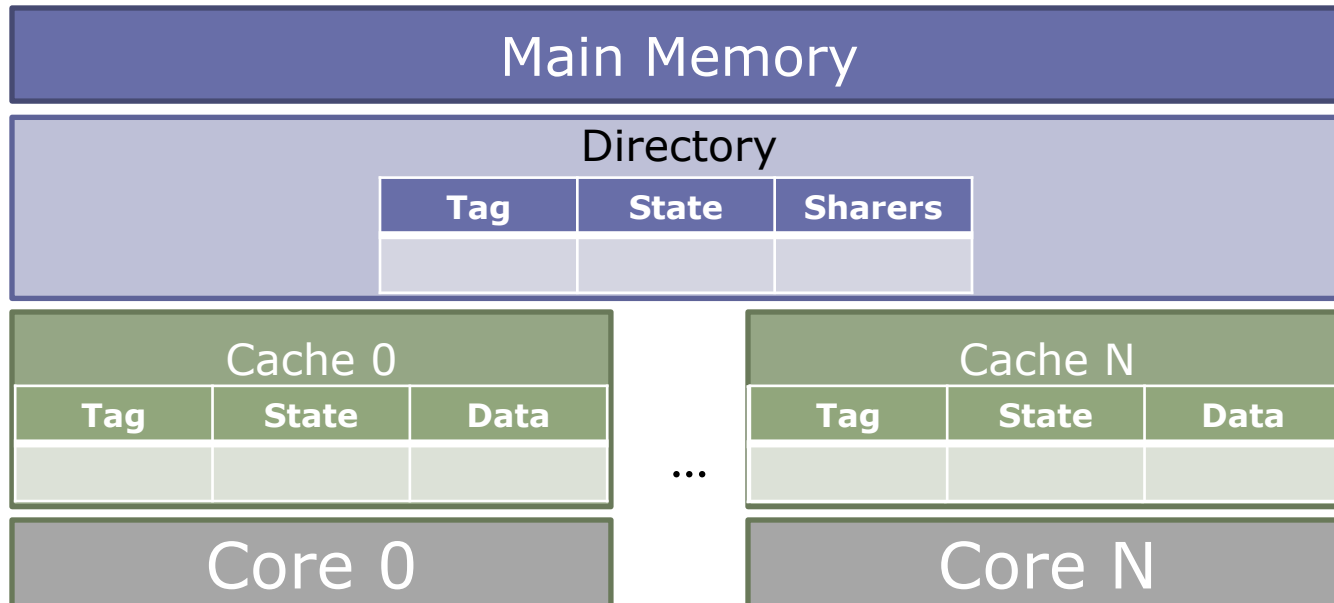


- Directory schemes send messages to only those caches that might have the line
- Can scale to large numbers of processors
- Requires extra directory storage to track possible sharers



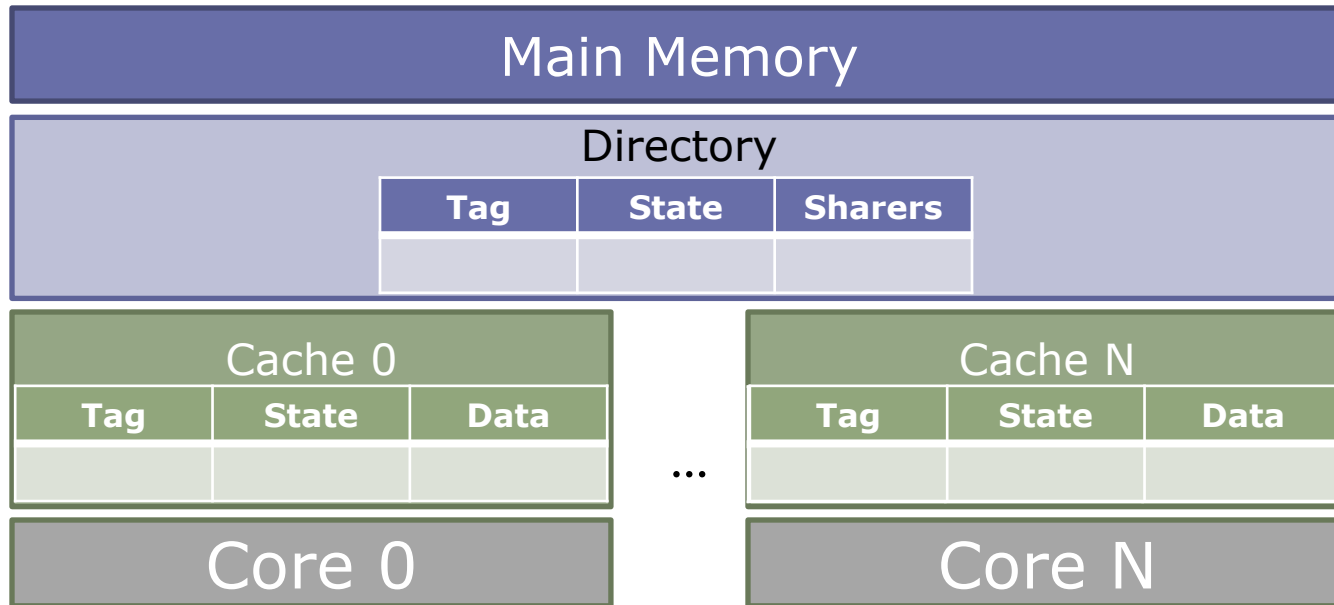
# An MSI Directory Protocol

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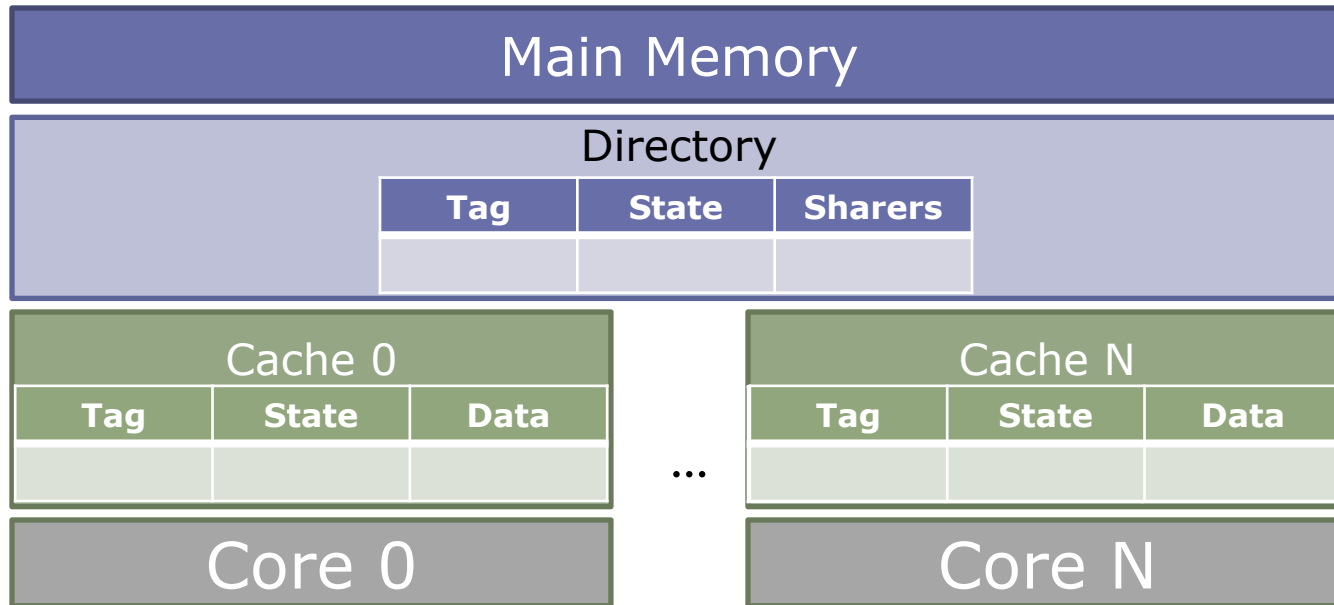
- Cache states: Modified (M) / Shared (S) / Invalid (I)

# An MSI Directory Protocol



- Cache states: Modified (M) / Shared (S) / Invalid (I)
- Directory states:
  - Uncached (Un): No sharers
  - Shared (Sh): One or more sharers with read permission (S)
  - Exclusive (Ex): A single sharer with read & write permissions (M)

# An MSI Directory Protocol



- Cache states: Modified (M) / Shared (S) / Invalid (I)
- Directory states:
  - Uncached (Un): No sharers
  - Shared (Sh): One or more sharers with read permission (S)
  - Exclusive (Ex): A single sharer with read & write permissions (M)
- Transient states not drawn for clarity; for now, assume no racing requests

# MSI Protocol: Caches (1/3)

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Transitions initiated by processor accesses:

M

S

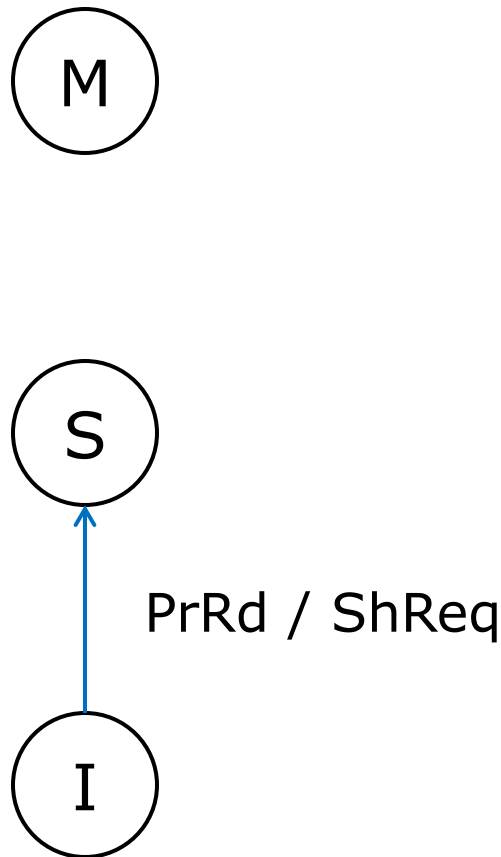
I

Actions
Processor Read (PrRd)
Processor Write (PrWr)
Shared Request (ShReq)
Exclusive Request (ExReq)

# MSI Protocol: Caches (1/3)

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Transitions initiated by processor accesses:

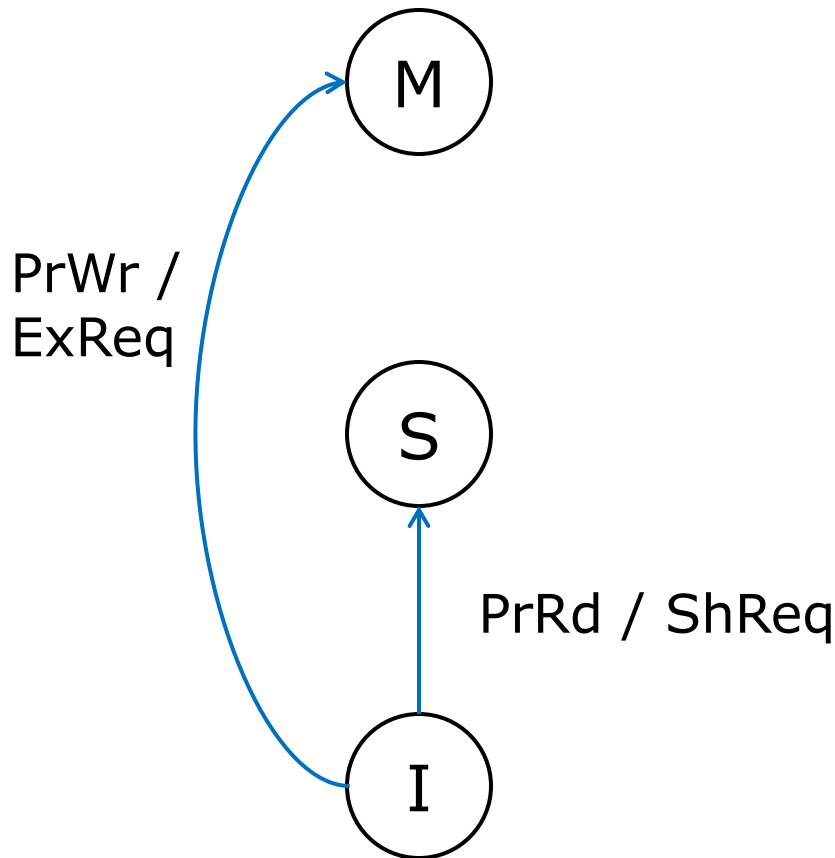


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Processor Read (PrRd)
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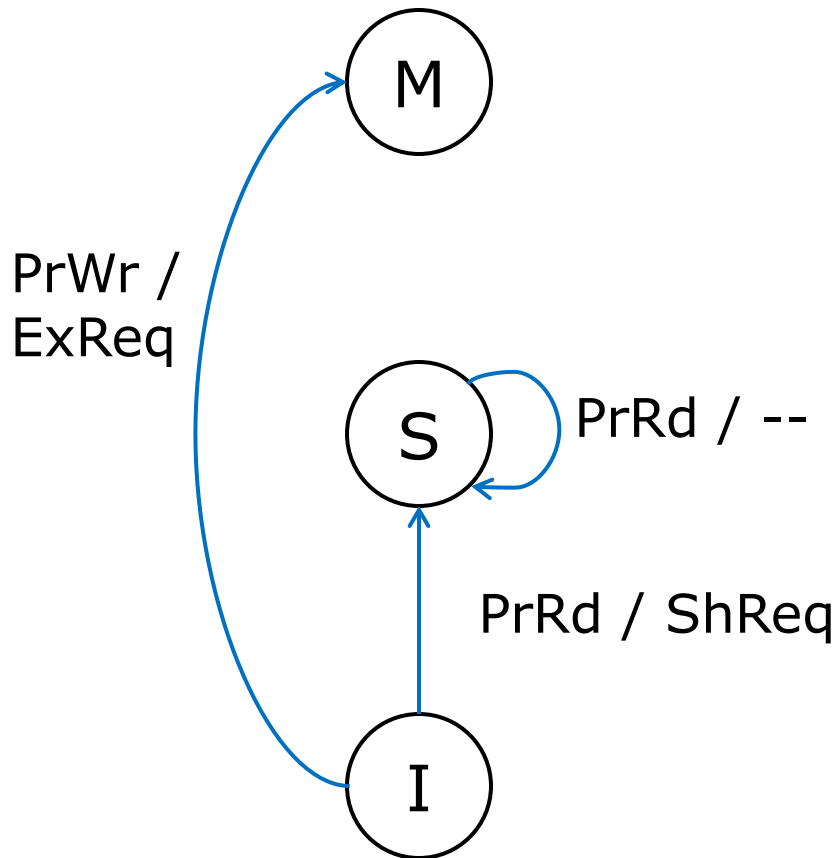


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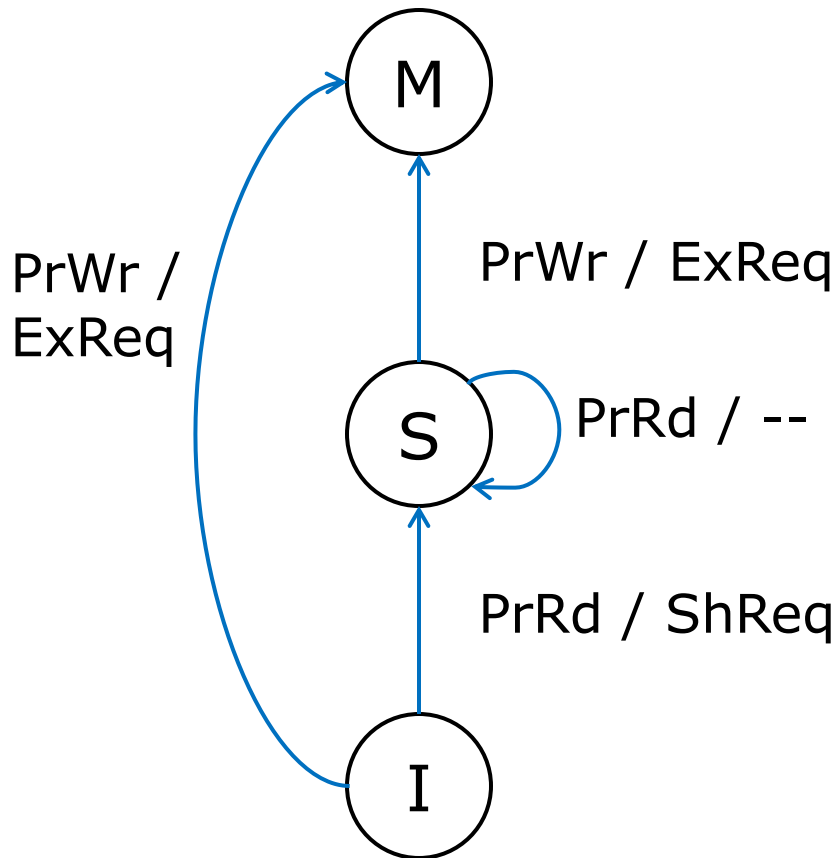


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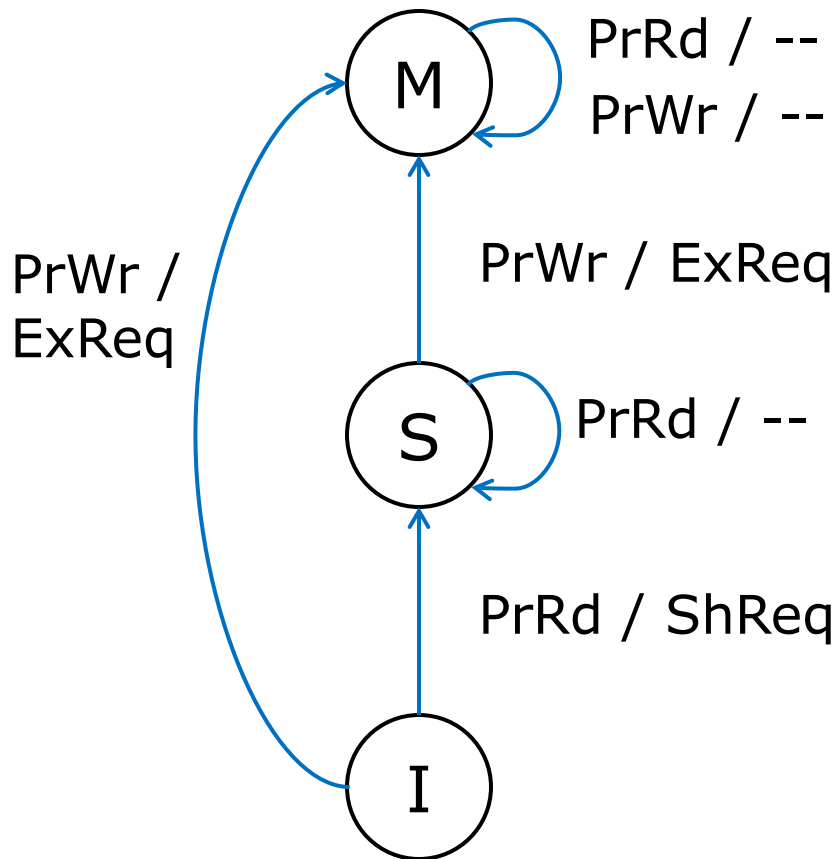


Actions
Processor Read (PrRd)
Processor Write (PrWr)
Shared Request (ShReq)
Exclusive Request (ExReq)



# MSI Protocol: Caches (1/3)

Transitions initiated by processor accesses:



Actions
Processor Read (PrRd)
Processor Write (PrWr)
Shared Request (ShReq)
Exclusive Request (ExReq)

# MSI Protocol: Caches (2/3)

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Transitions initiated by directory requests:

M

S

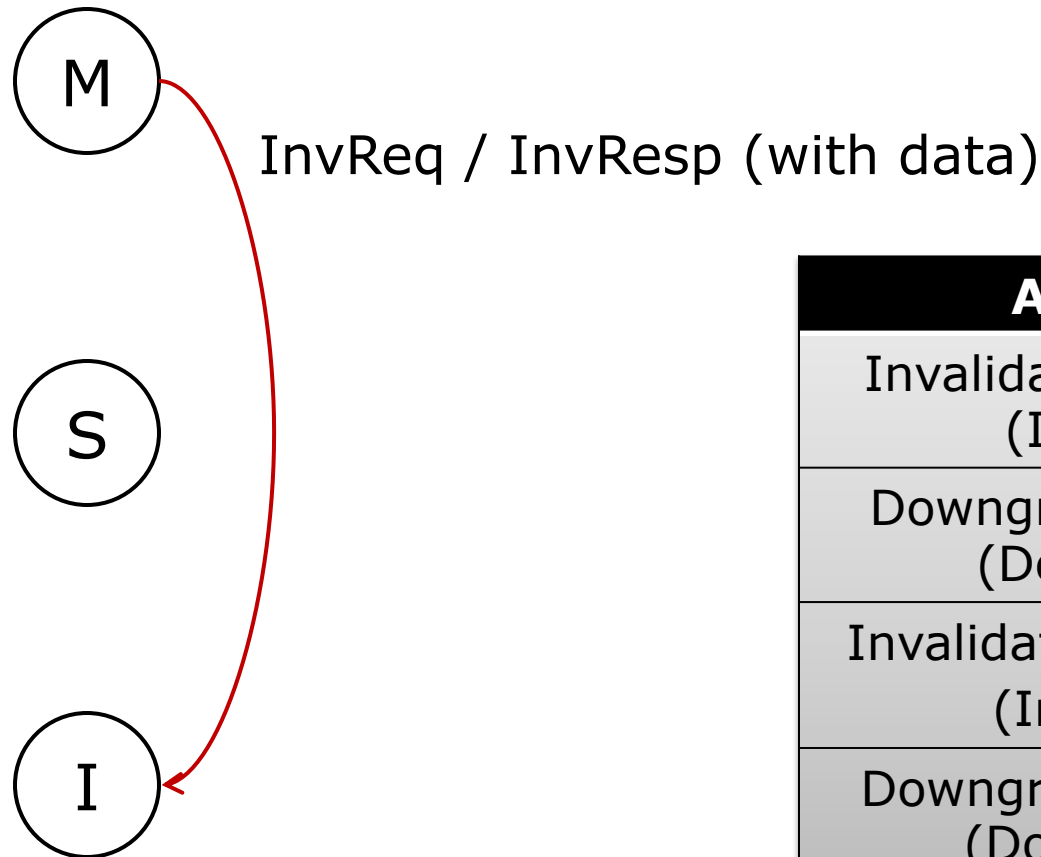
I

Actions
Invalidation Request (InvReq)
Downgrade Request (DownReq)
Invalidation Response (InvResp)
Downgrade Response (DownResp)

# MSI Protocol: Caches (2/3)

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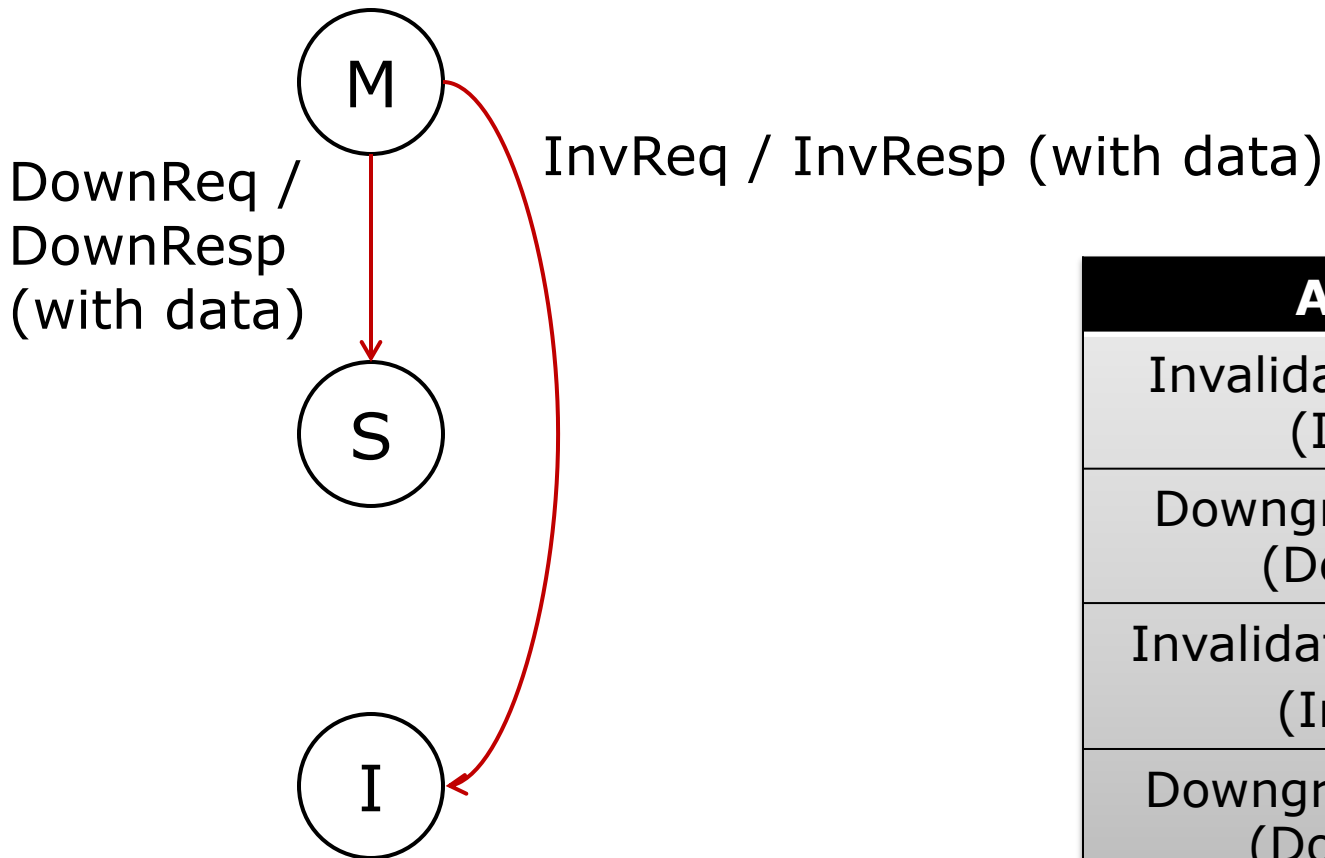
Transitions initiated by directory requests:



Actions
Invalidation Request (InvReq)
Downgrade Request (DownReq)
Invalidation Response (InvResp)
Downgrade Response (DownResp)

# MSI Protocol: Caches (2/3)

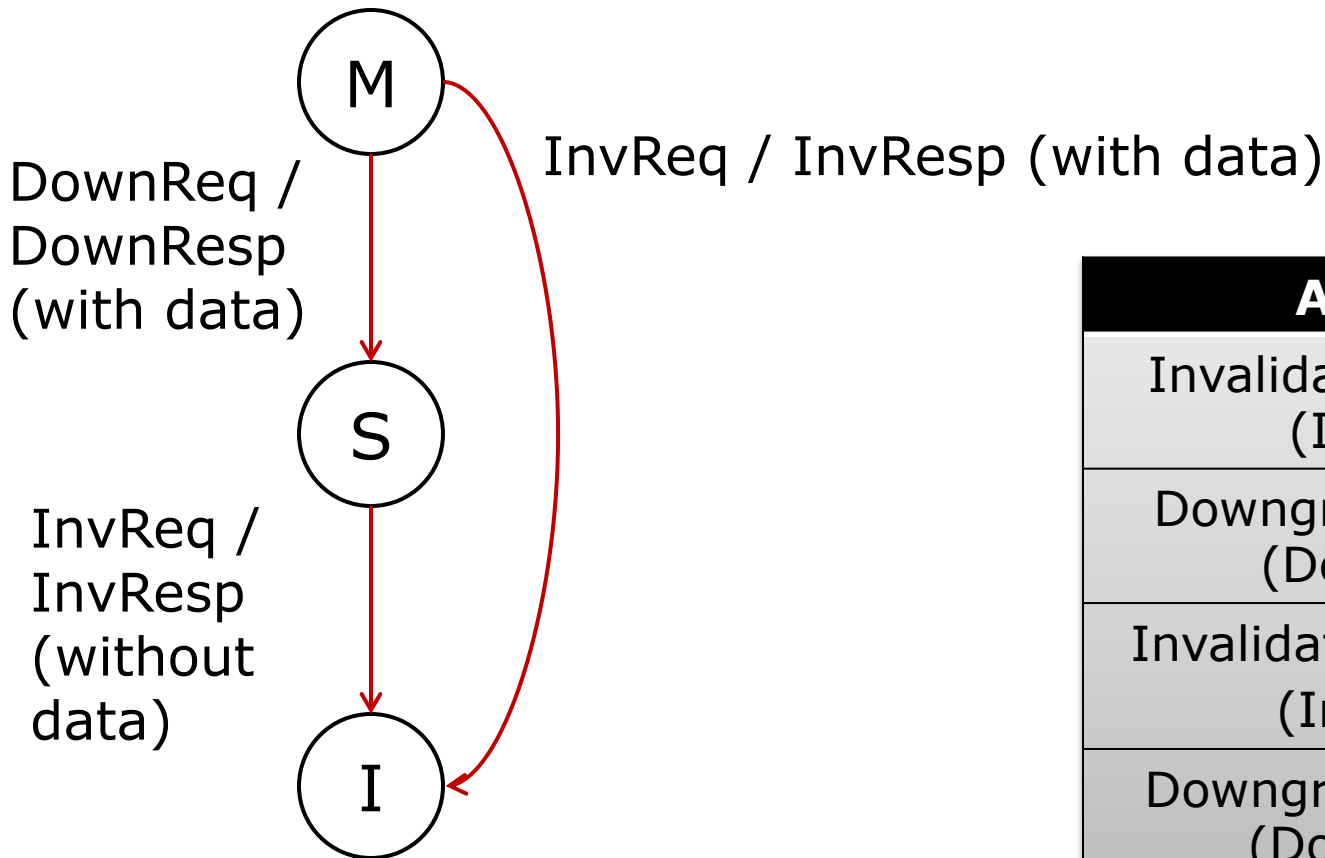
Transitions initiated by directory requests:



Actions
Invalidation Request (InvReq)
Downgrade Request (DownReq)
Invalidation Response (InvResp)
Downgrade Response (DownResp)

# MSI Protocol: Caches (2/3)

Transitions initiated by directory requests:



Actions
Invalidation Request (InvReq)
Downgrade Request (DownReq)
Invalidation Response (InvResp)
Downgrade Response (DownResp)

# MSI Protocol: Caches (3/3)

---

Transitions initiated by evictions:

M

S

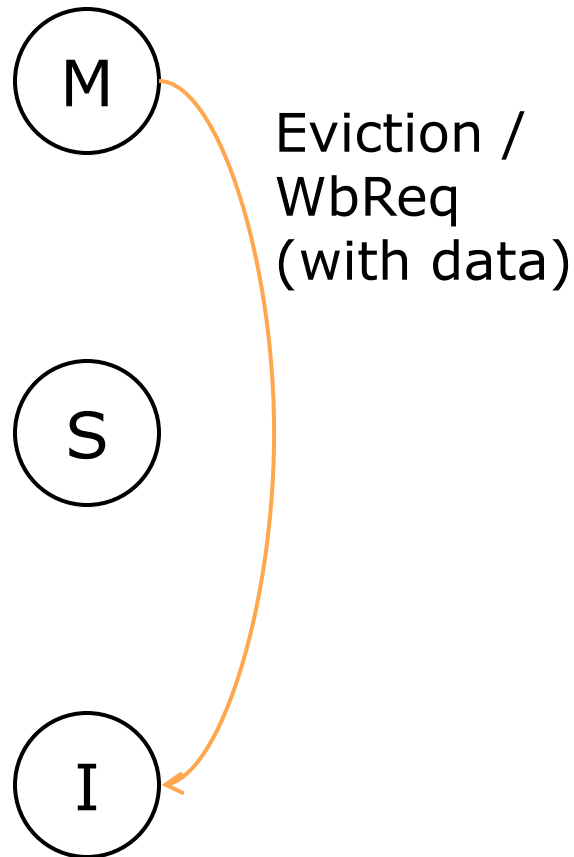
I

Actions
Writeback Request (WbReq)

# MSI Protocol: Caches (3/3)

---

Transitions initiated by evictions:

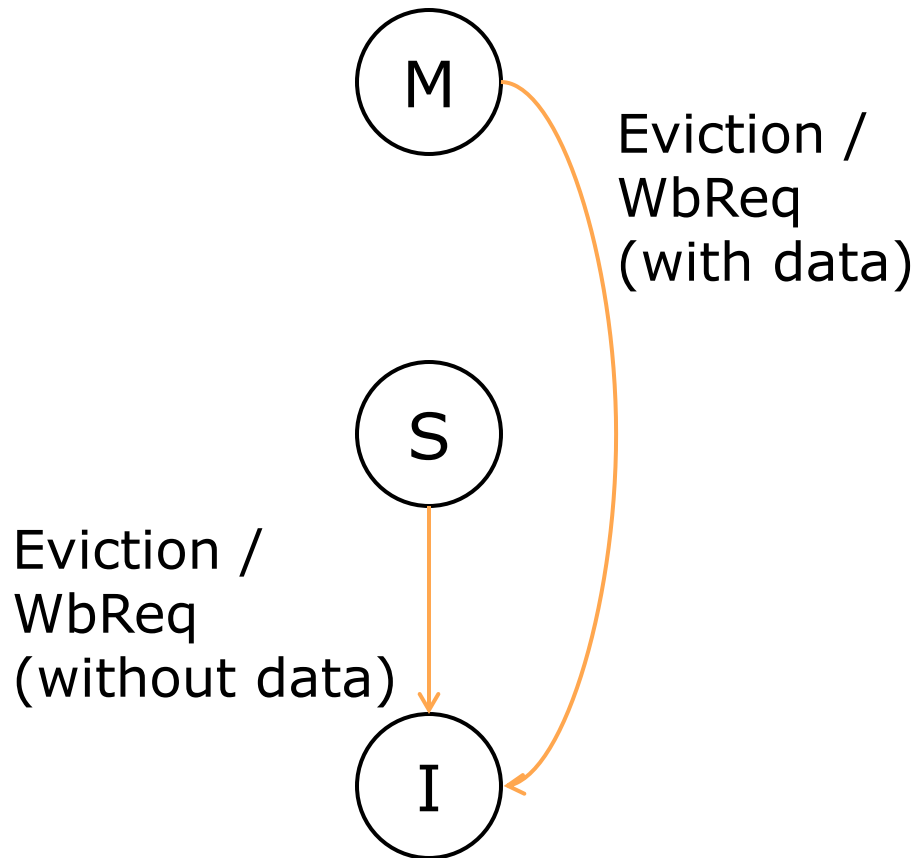


Actions
Writeback Request (WbReq)

# MSI Protocol: Caches (3/3)

---

Transitions initiated by evictions:



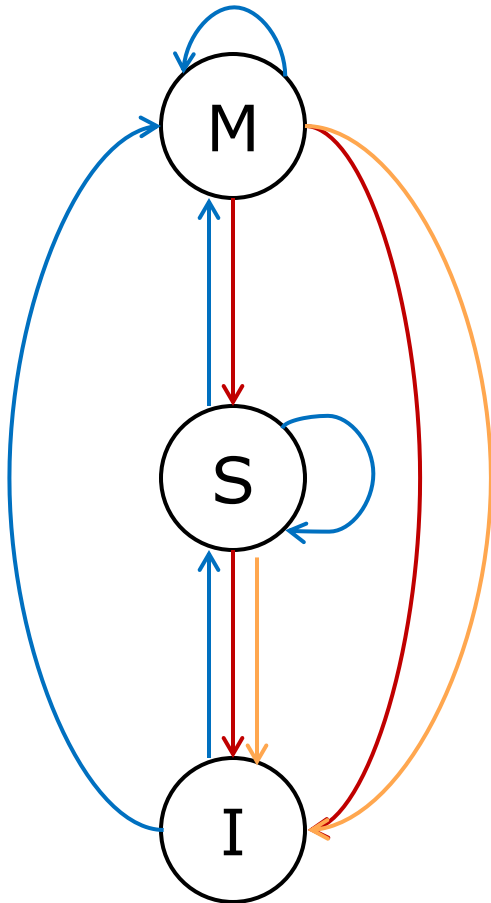
Actions
Writeback Request (WbReq)



# MSI Protocol: Caches

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- Transitions initiated by processor accesses
- Transitions initiated by directory requests
- Transitions initiated by evictions



# MSI Protocol: Directory (1/2)

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Transitions initiated by data requests:

Ex

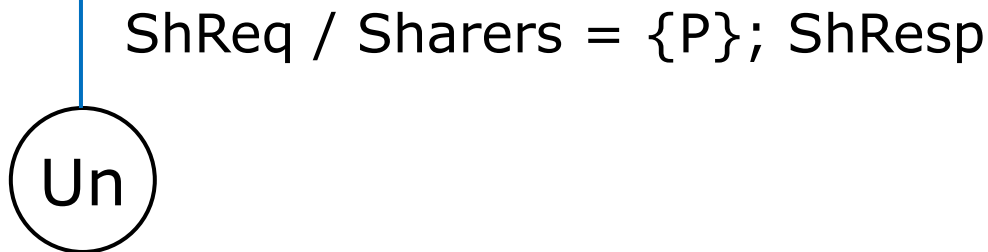
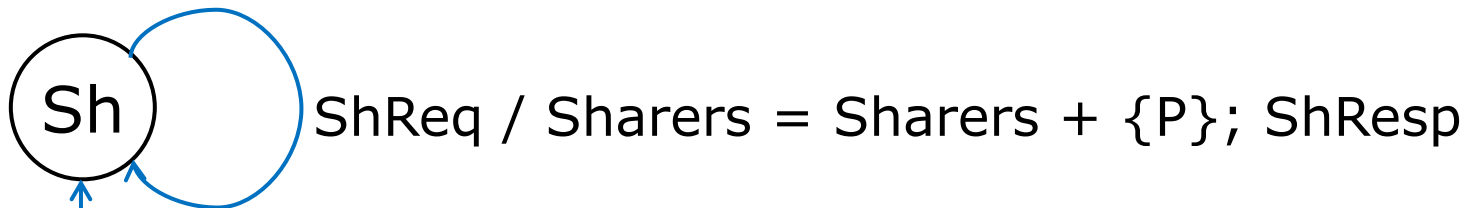
Sh

Un

# MSI Protocol: Directory (1/2)

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Transitions initiated by data requests:

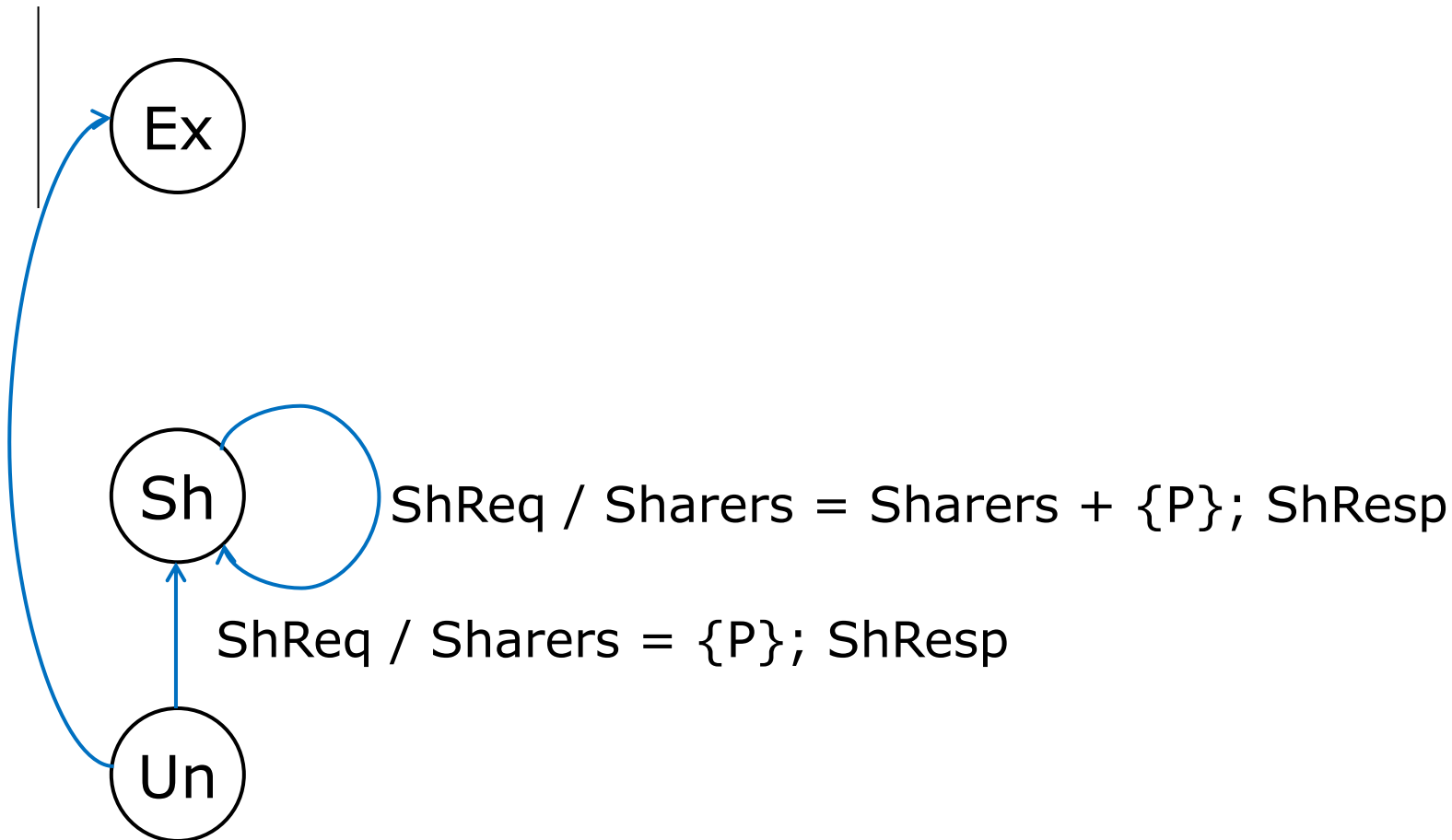


# MSI Protocol: Directory (1/2)

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Transitions initiated by data requests:

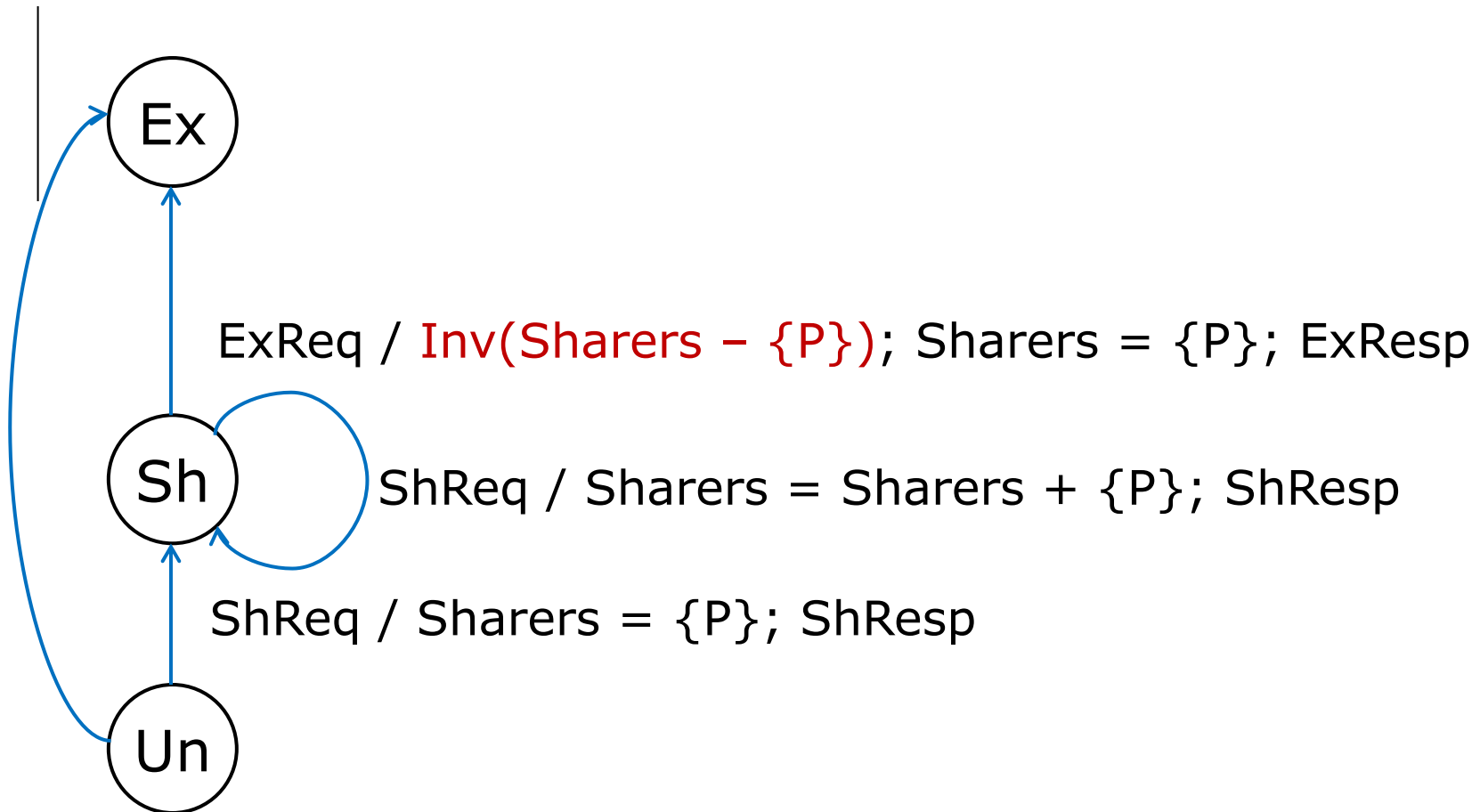
ExReq / Sharers = {P}; ExResp



# MSI Protocol: Directory (1/2)

Transitions initiated by data requests:

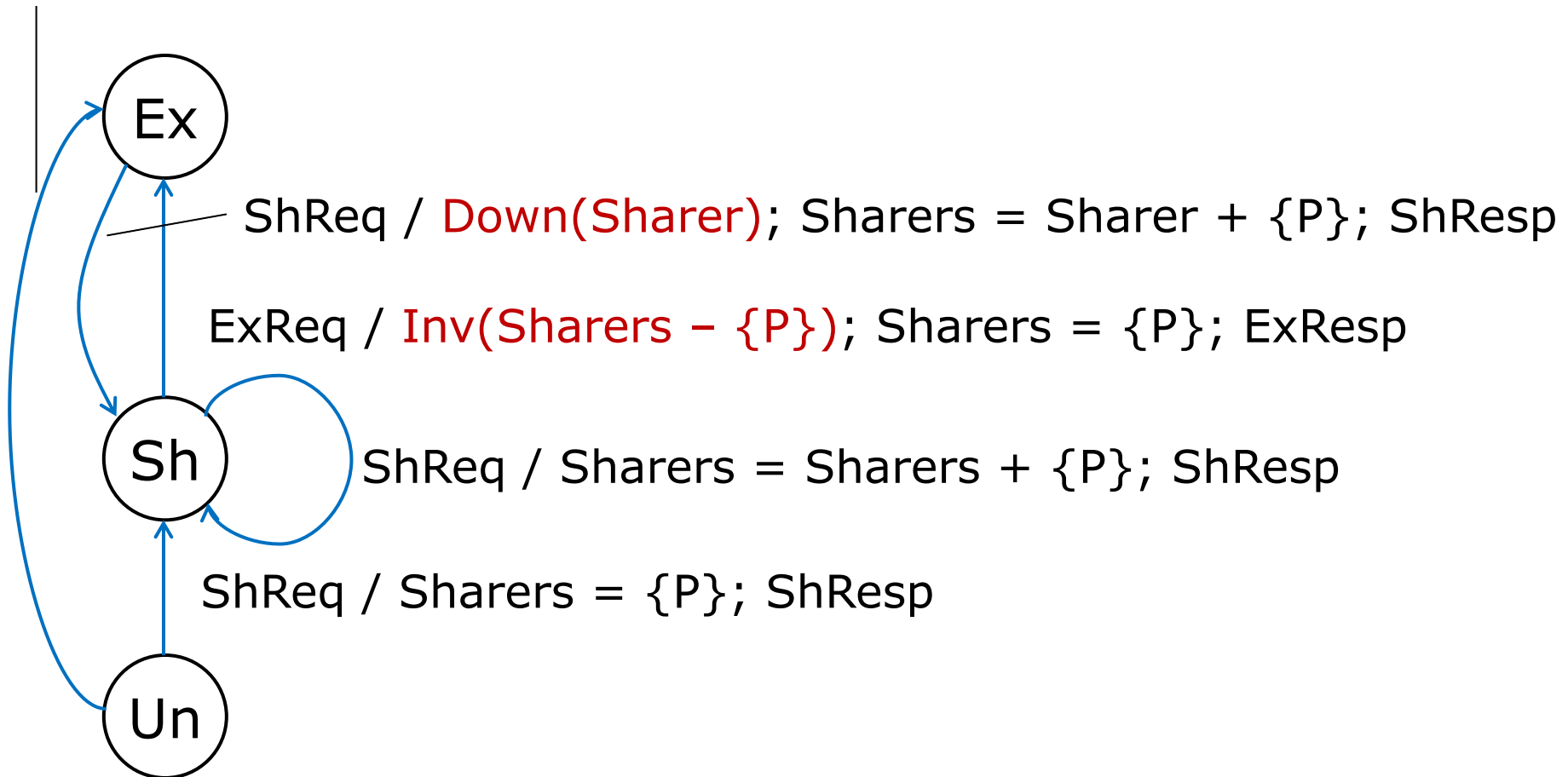
ExReq / Sharers = {P}; ExResp



# MSI Protocol: Directory (1/2)

Transitions initiated by data requests:

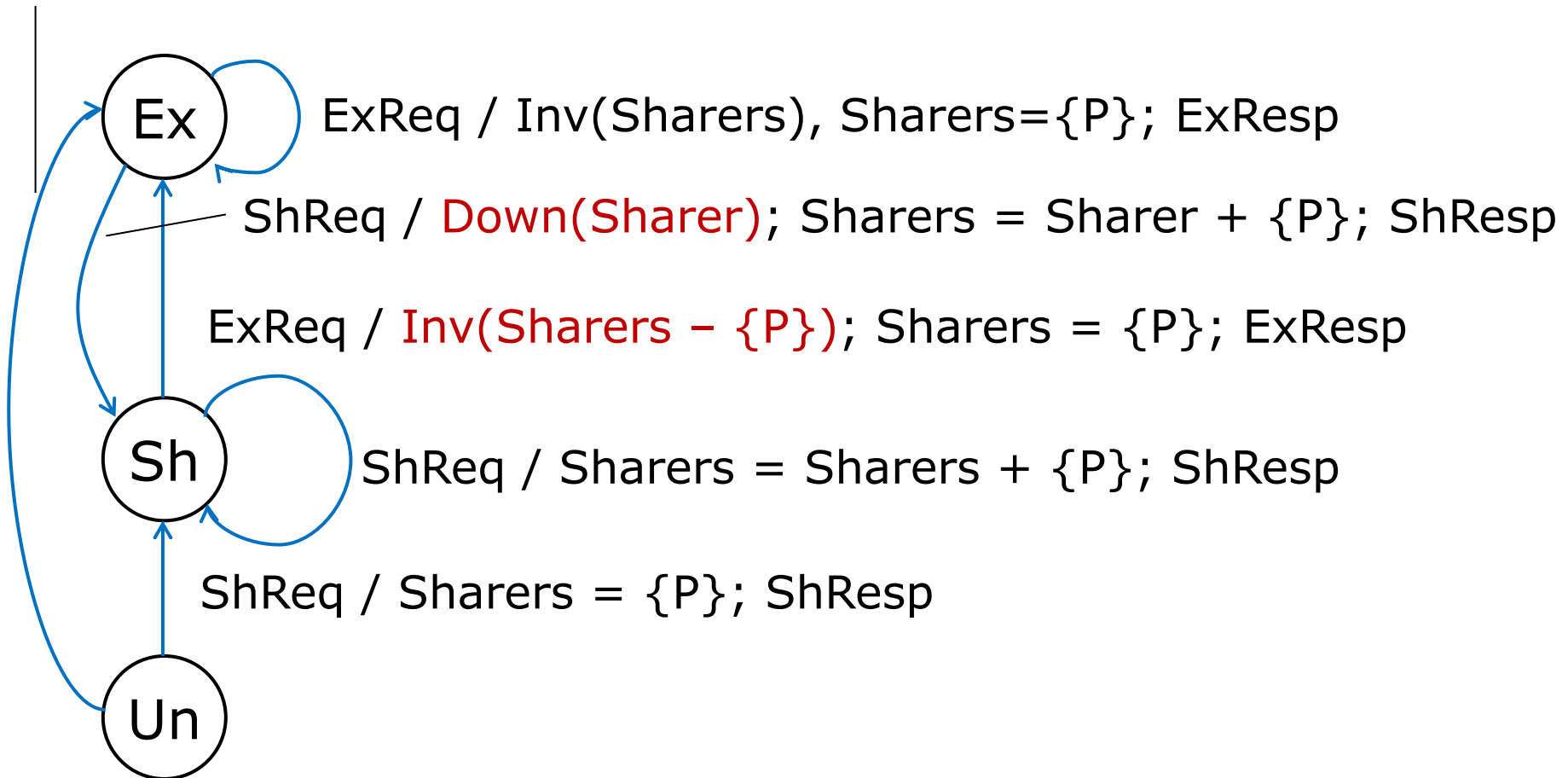
ExReq / Sharers = {P}; ExResp



# MSI Protocol: Directory (1/2)

Transitions initiated by data requests:

ExReq / Sharers = {P}; ExResp



# MSI Protocol: Directory (2/2)

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Transitions initiated by writeback requests:

Ex

Sh

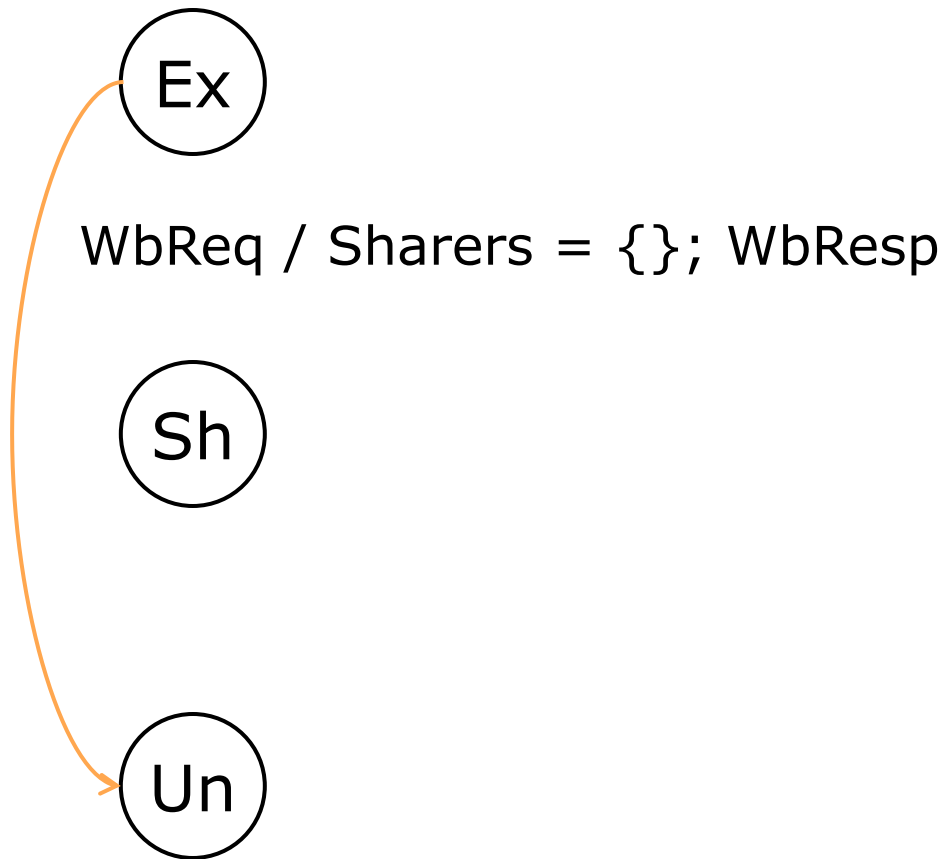
Un



# MSI Protocol: Directory (2/2)

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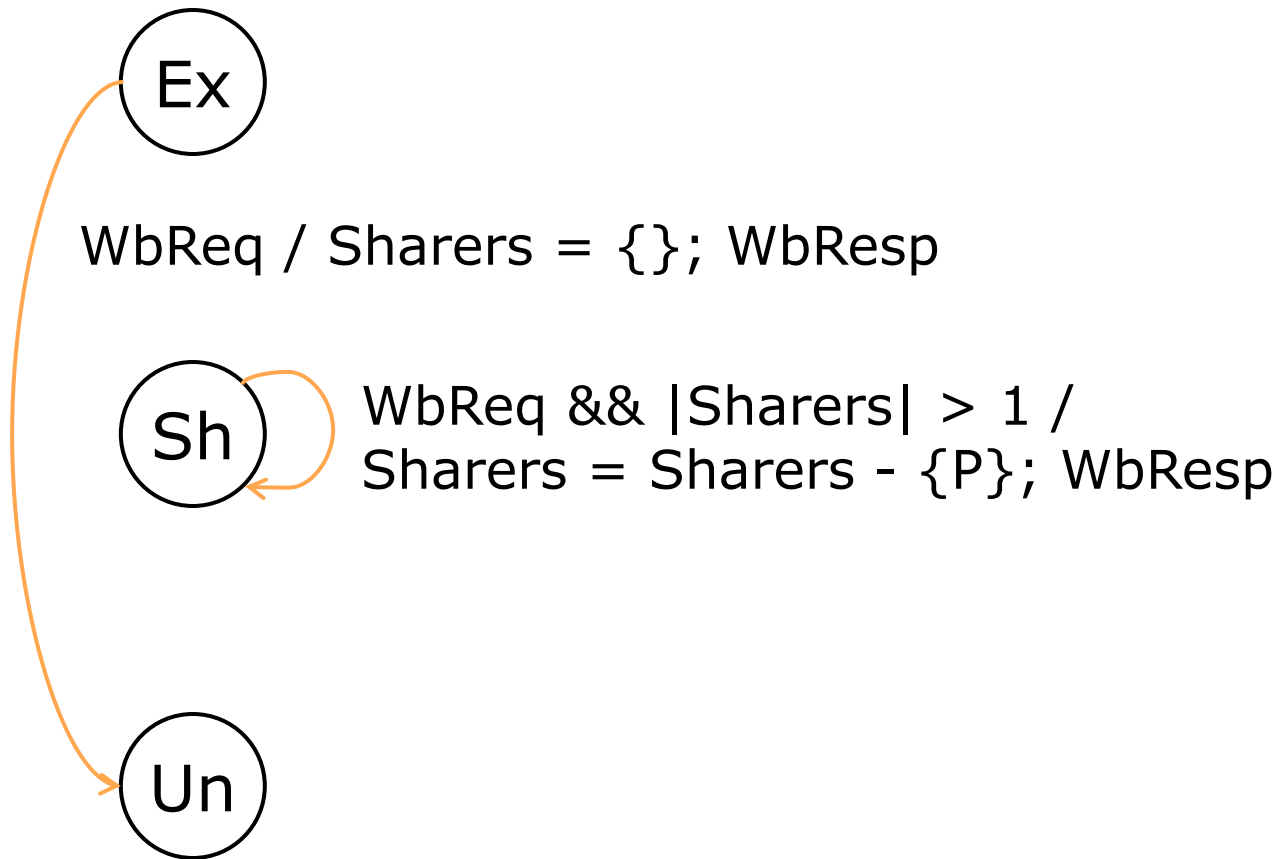
Transitions initiated by writeback requests:



# MSI Protocol: Directory (2/2)

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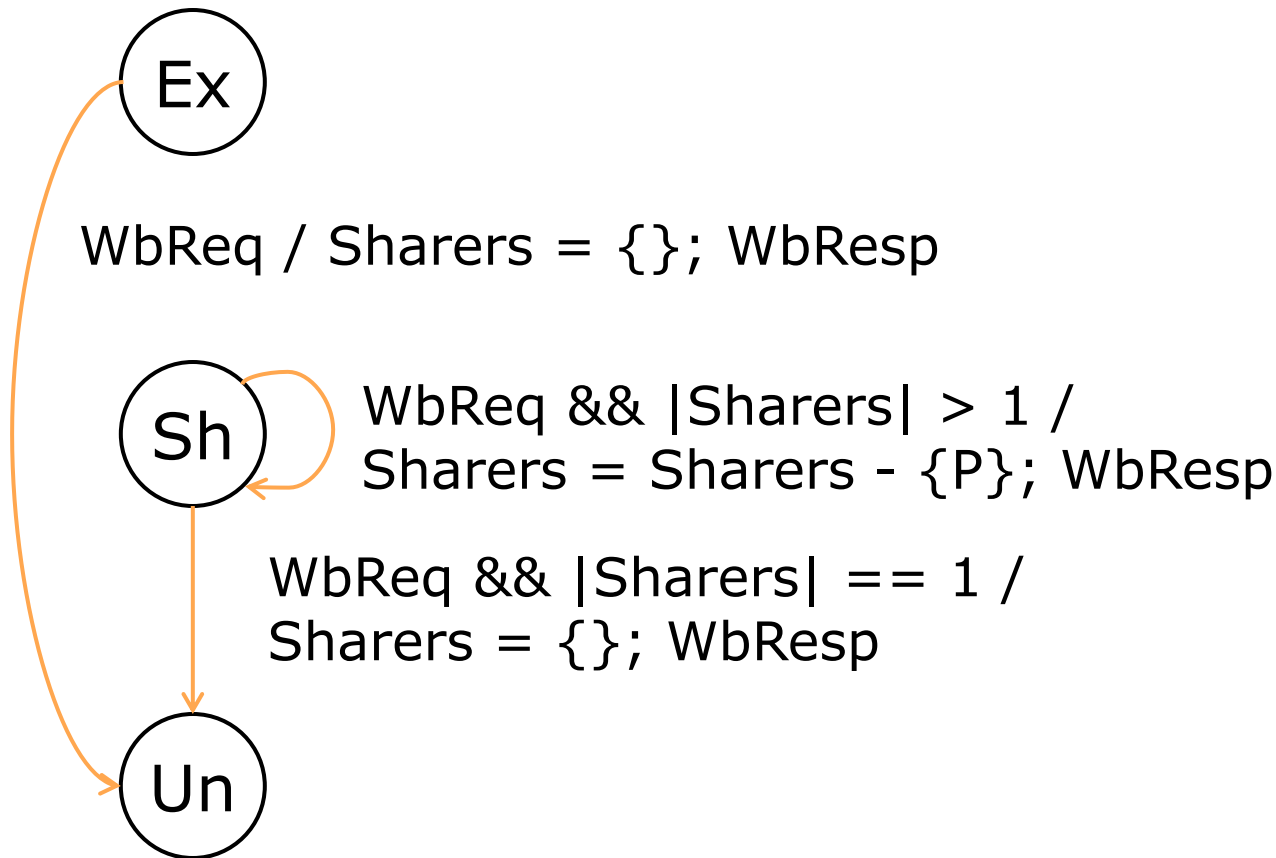
Transitions initiated by writeback requests:



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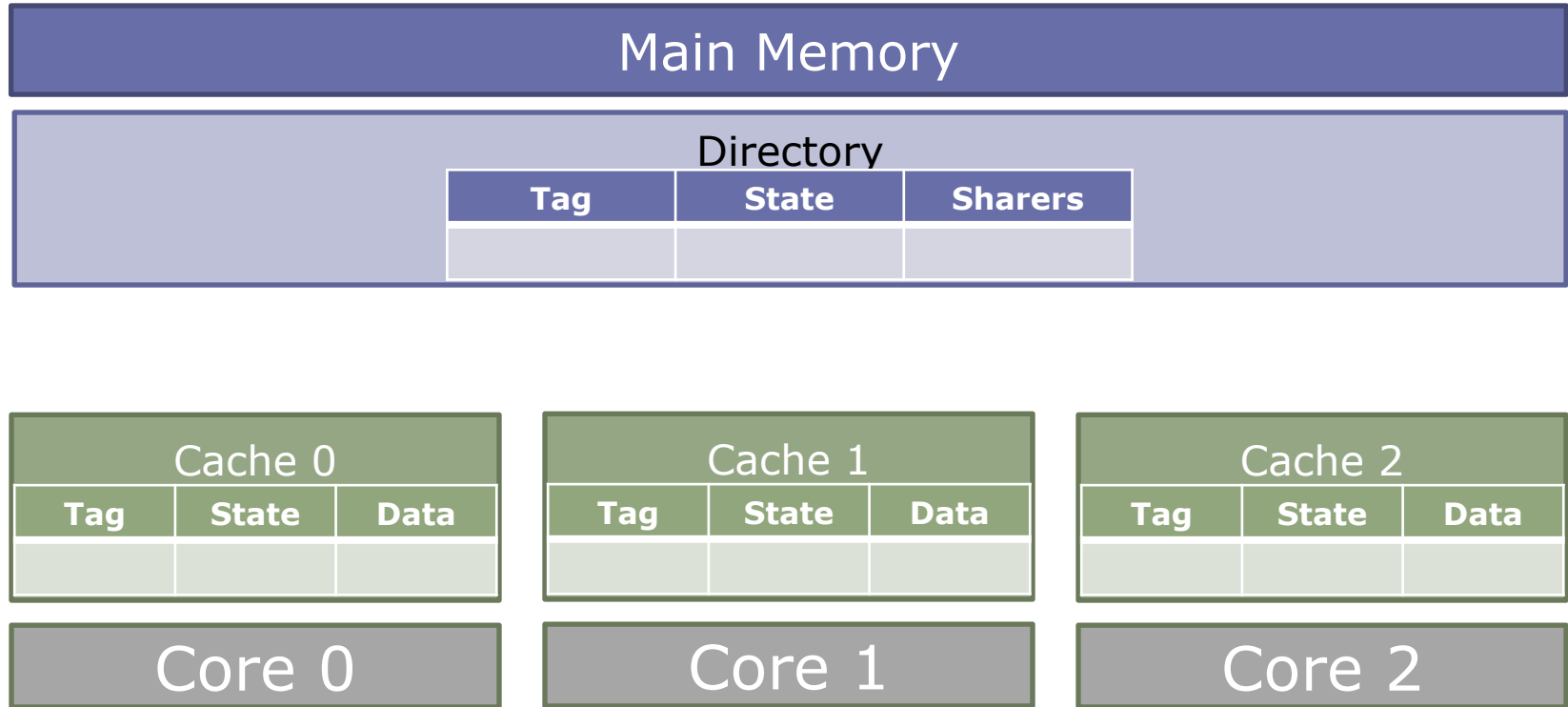
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Transitions initiated by writeback requests:

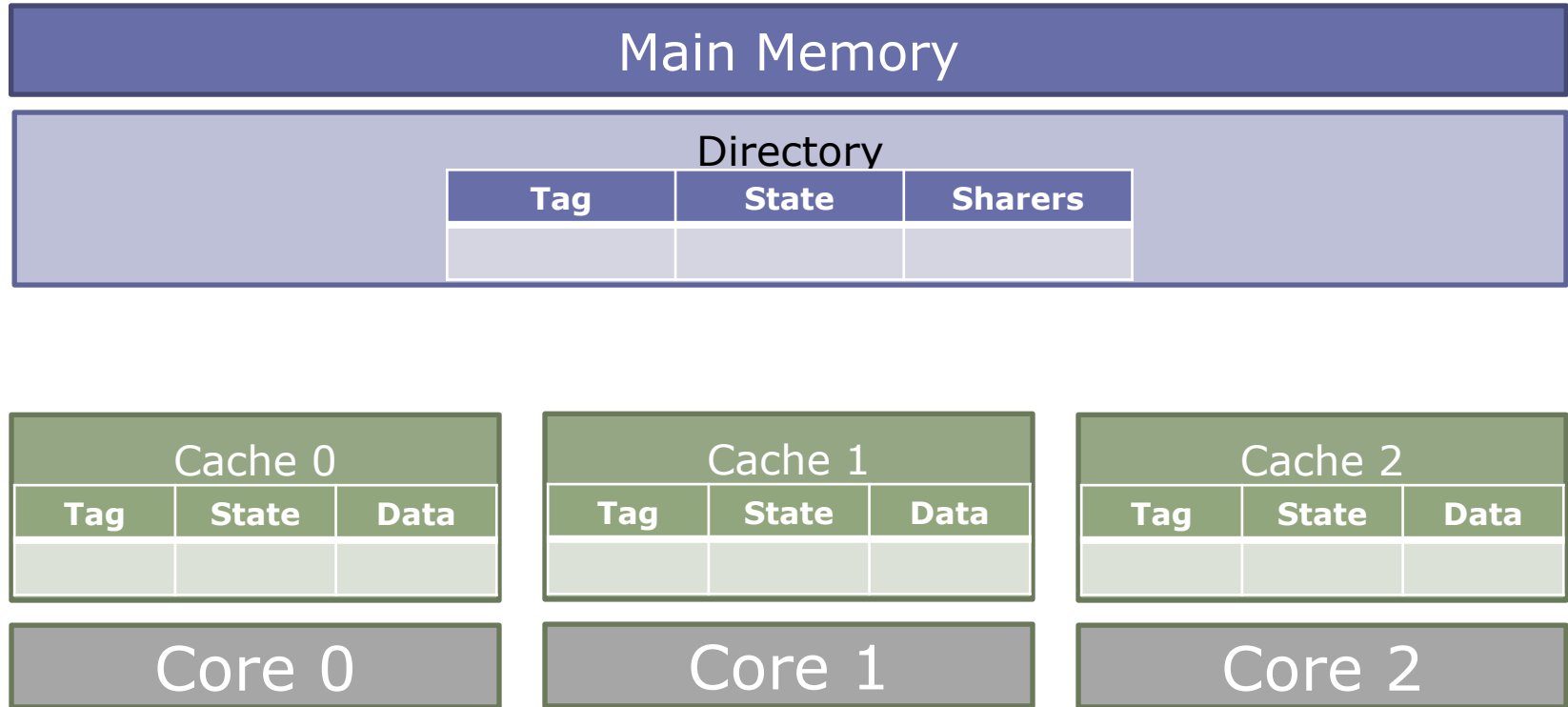


# MSI Directory Protocol Example

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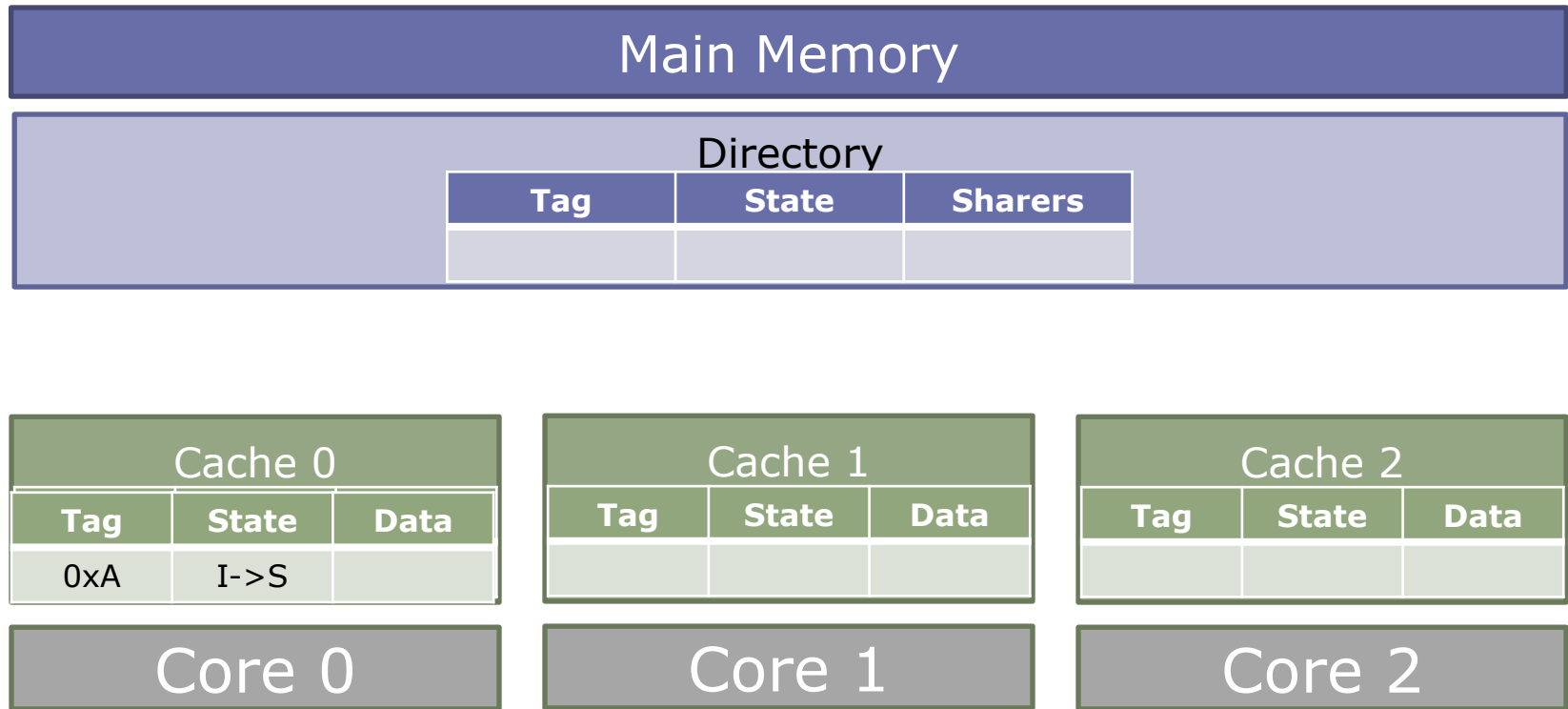


# MSI Directory Protocol Example



**1** LD 0xA

# MSI Directory Protocol Example

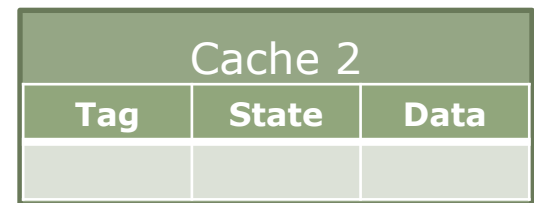
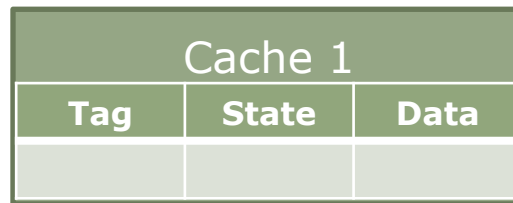
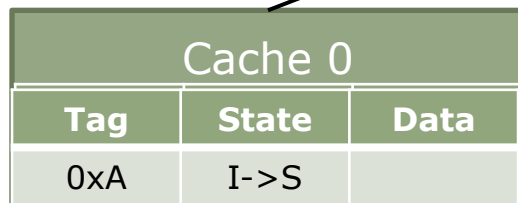


**1** LD 0xA

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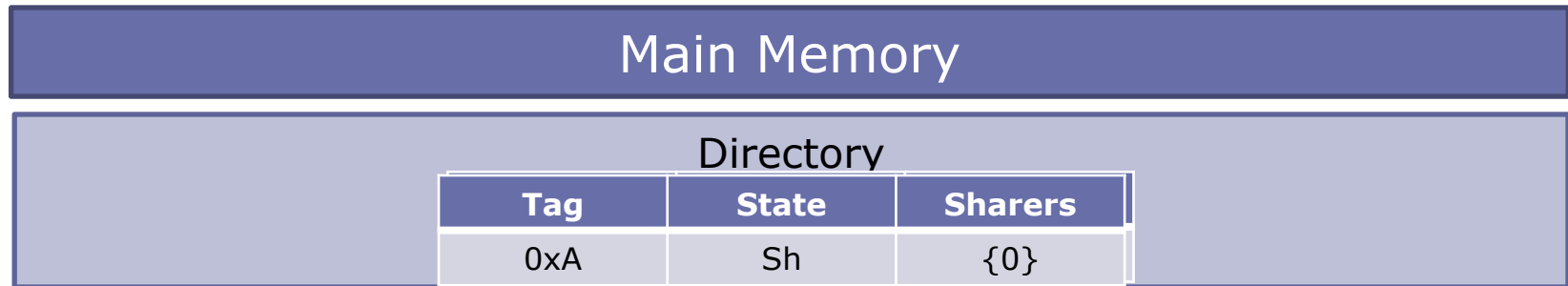


**2** ShReq 0xA

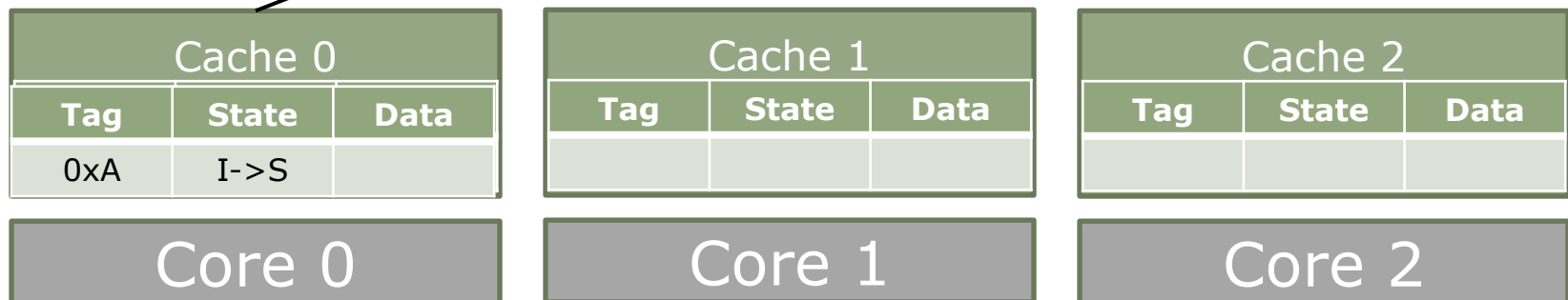


**1** LD 0xA

# MSI Directory Protocol Example



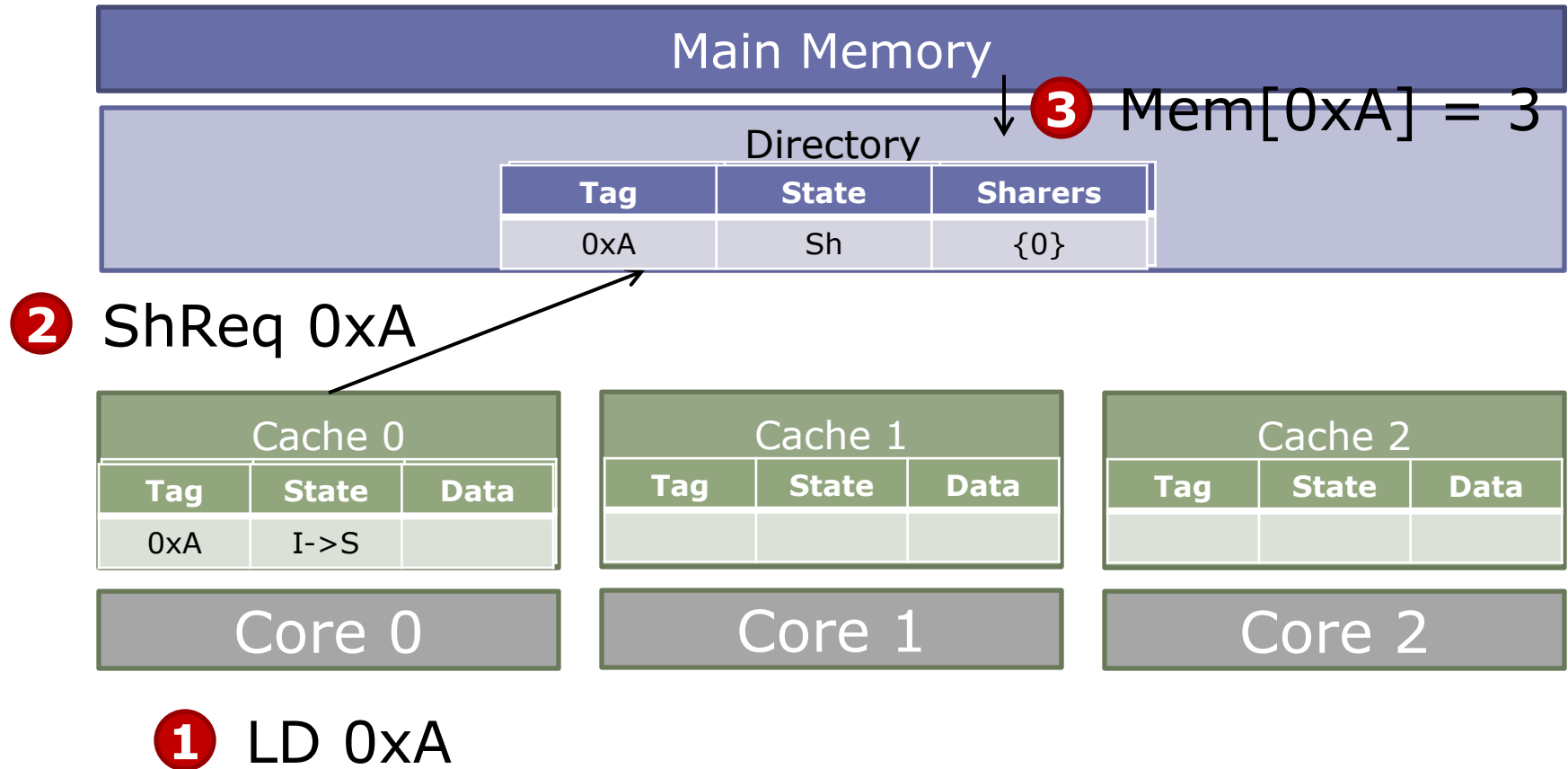
**2** ShReq 0xA



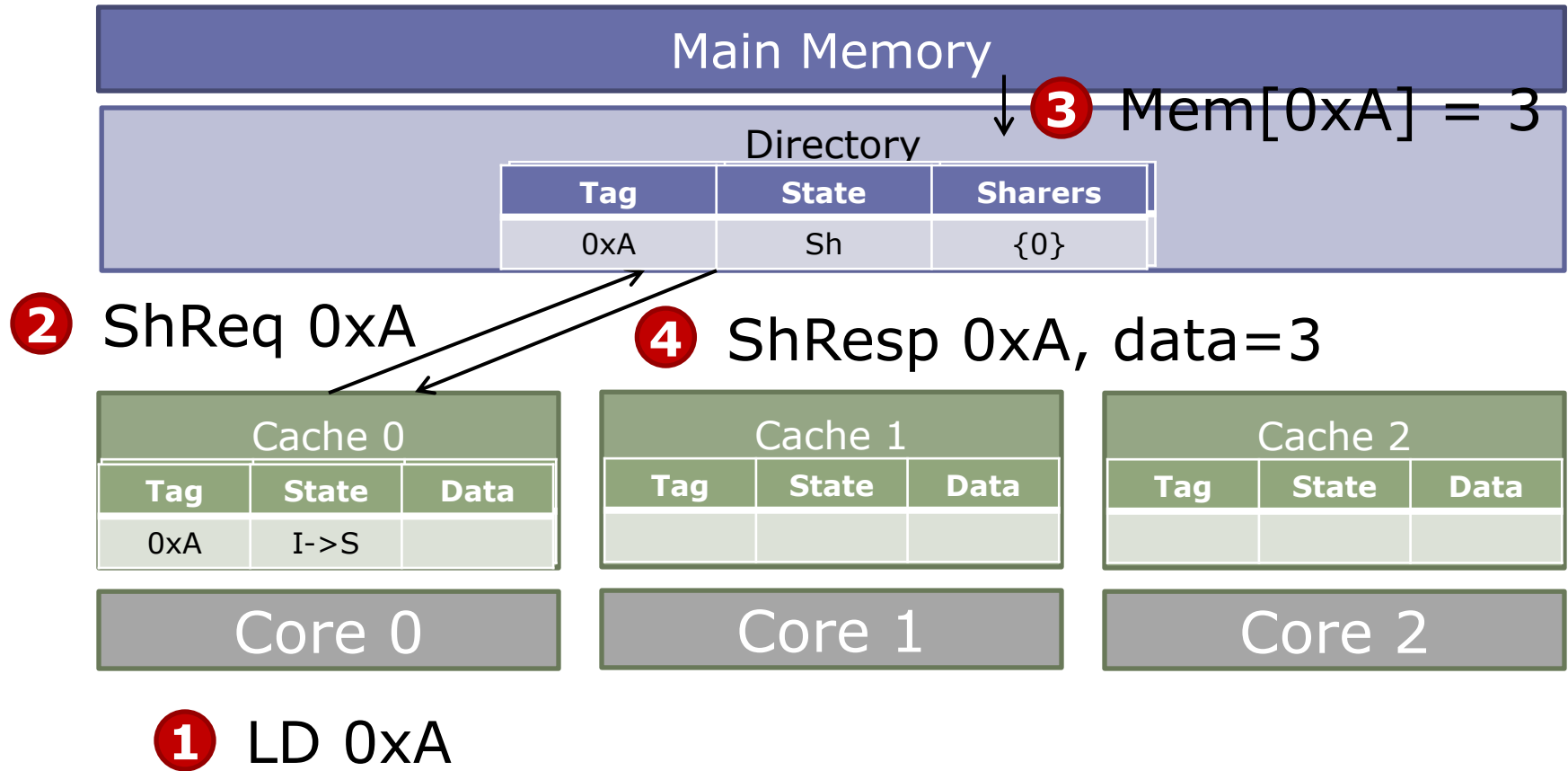
**1** LD 0xA



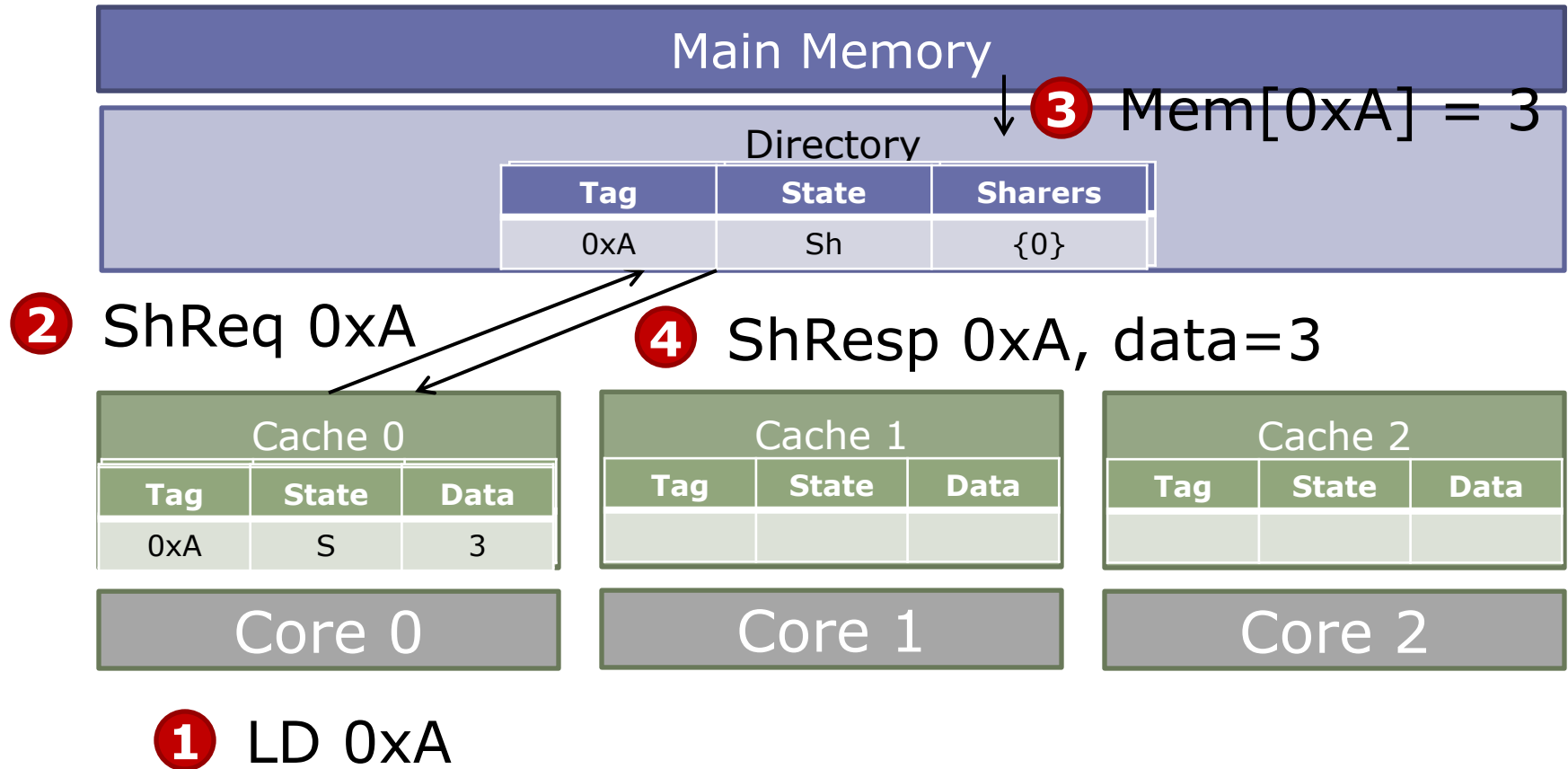
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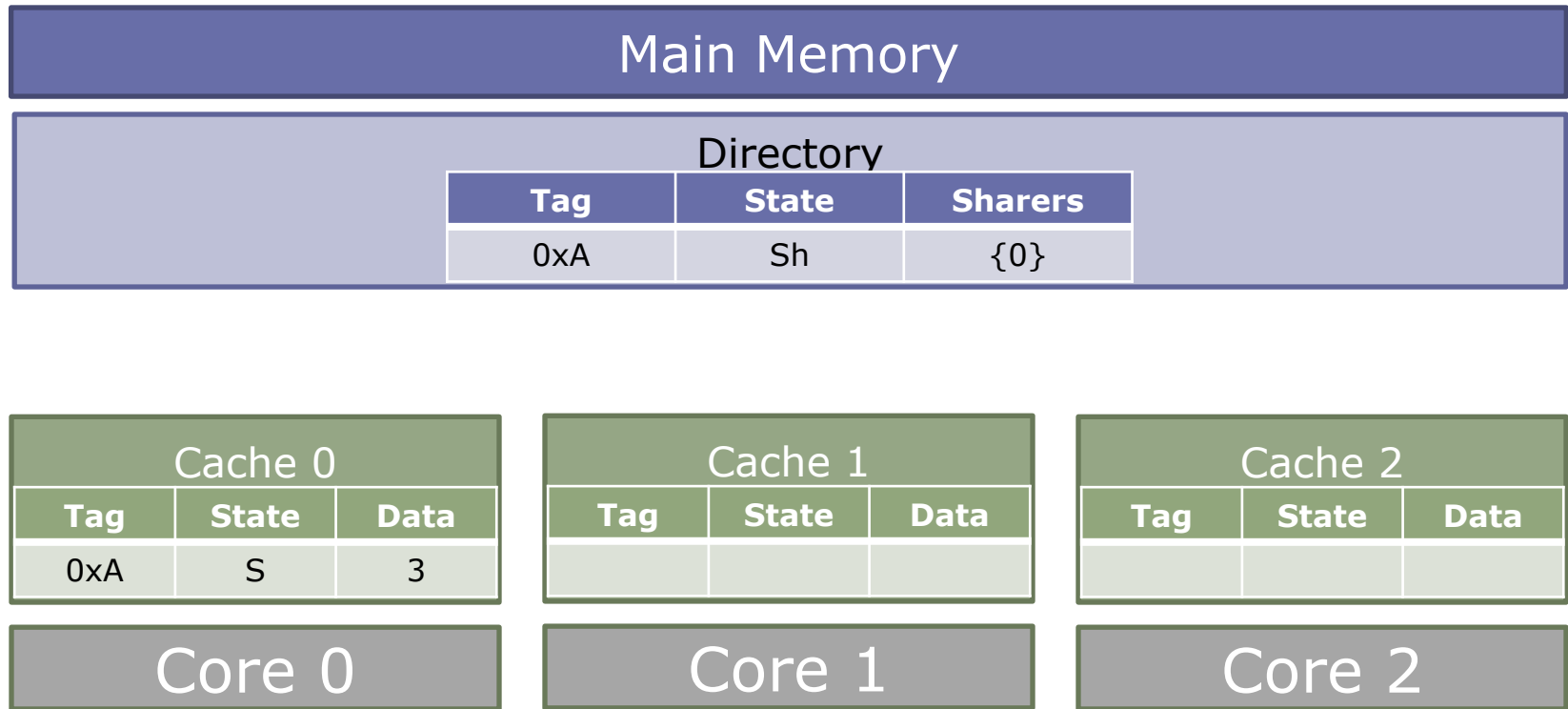
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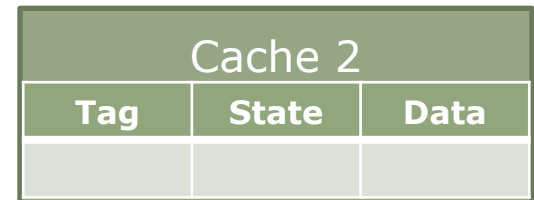
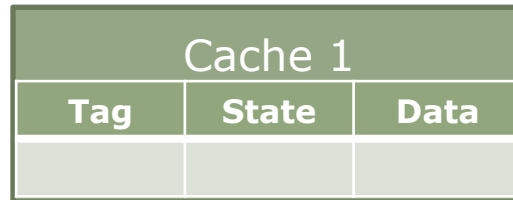
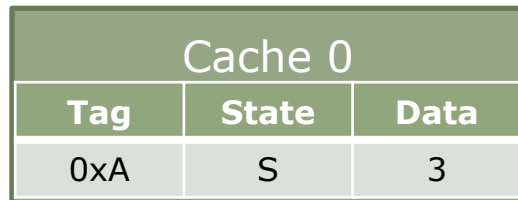
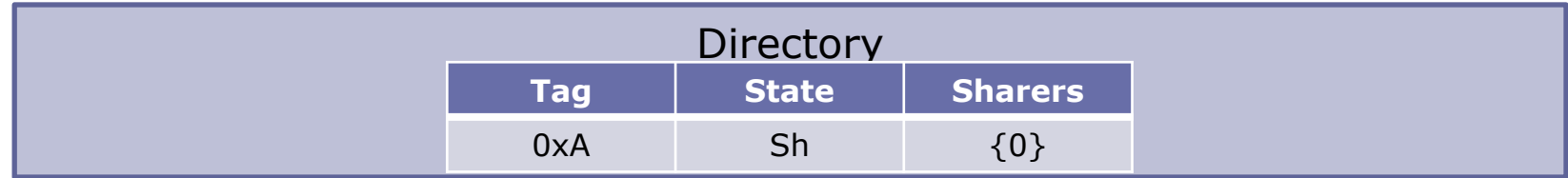
# MSI Directory Protocol Example



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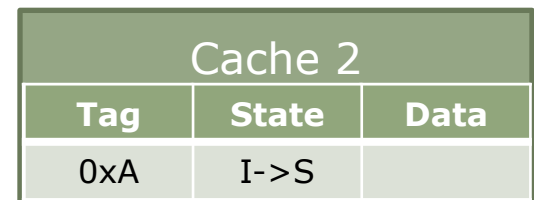
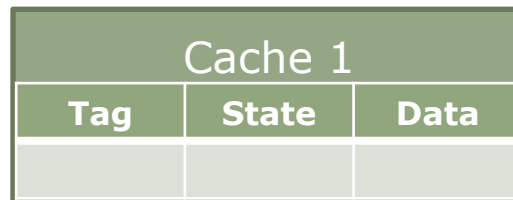
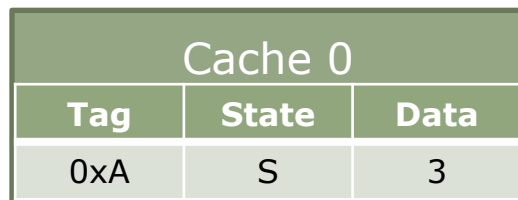
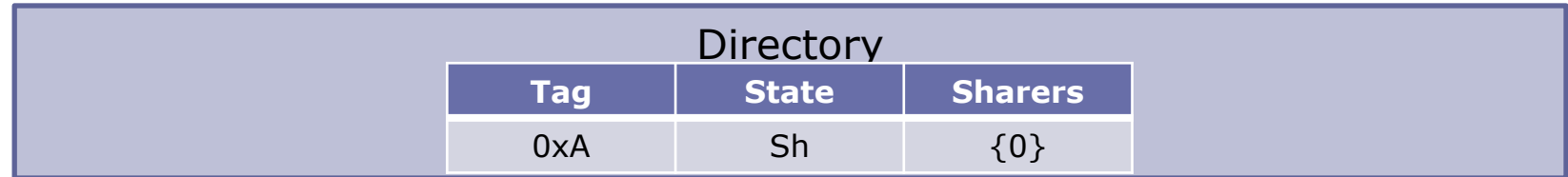


# MSI Directory Protocol Example



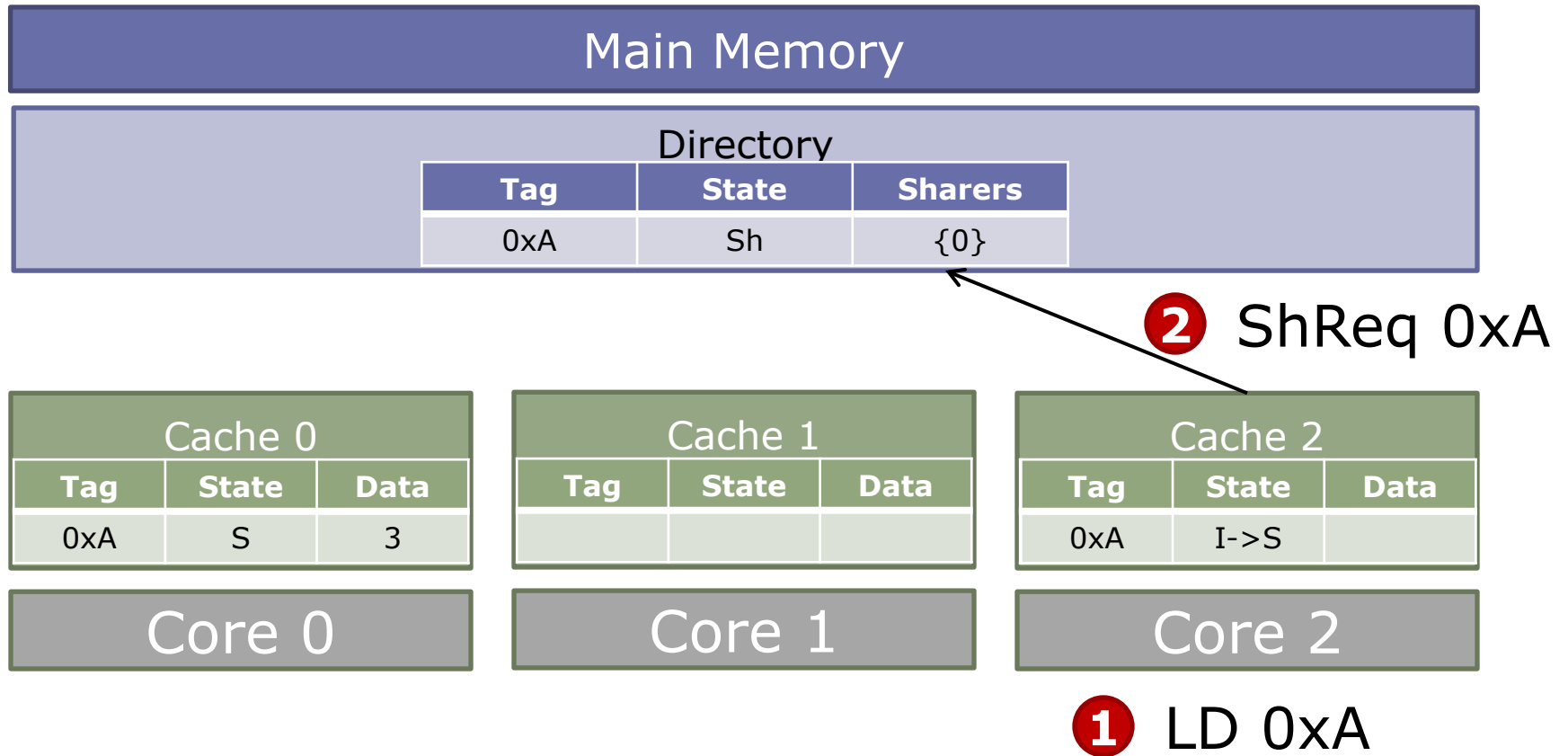
**1** LD 0xA

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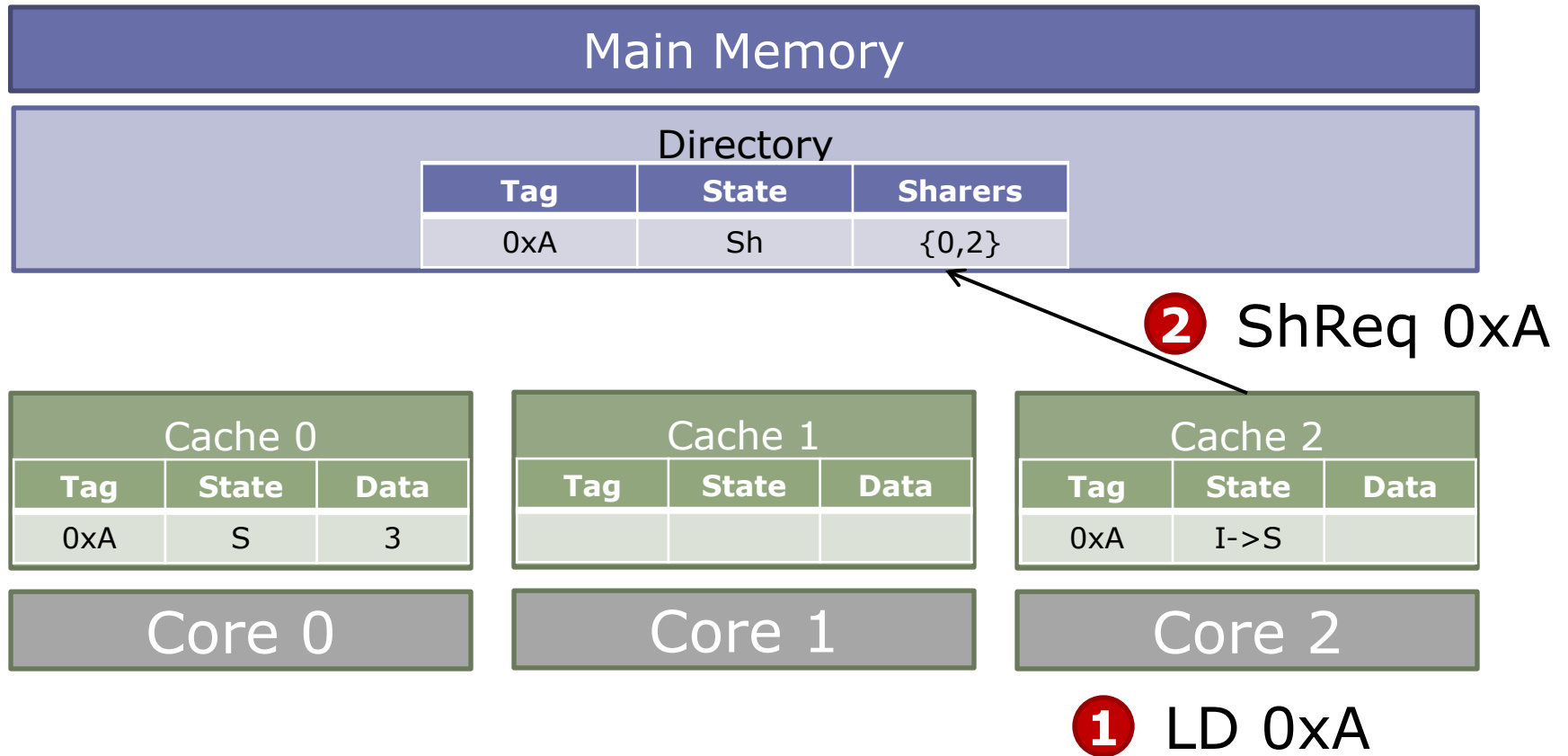


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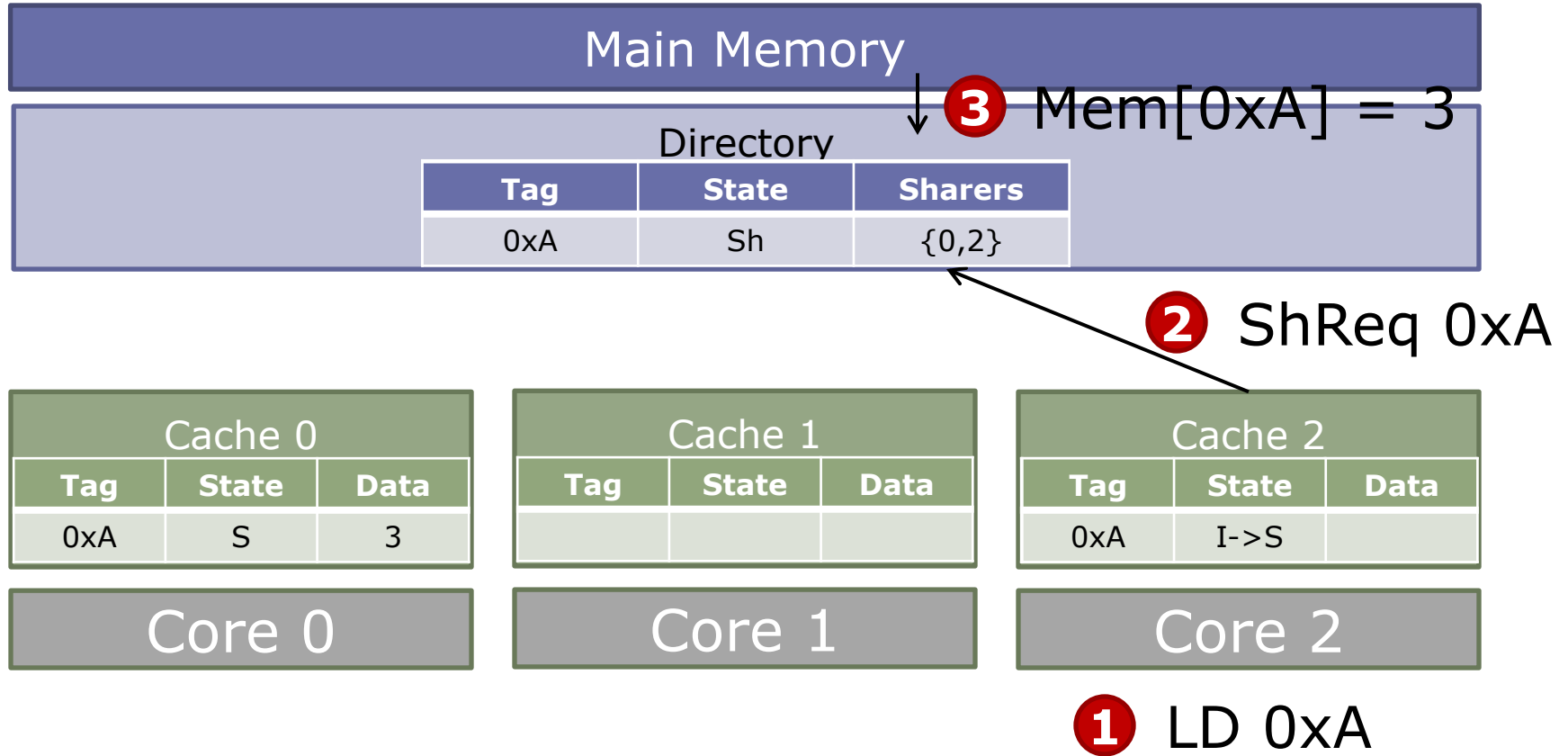


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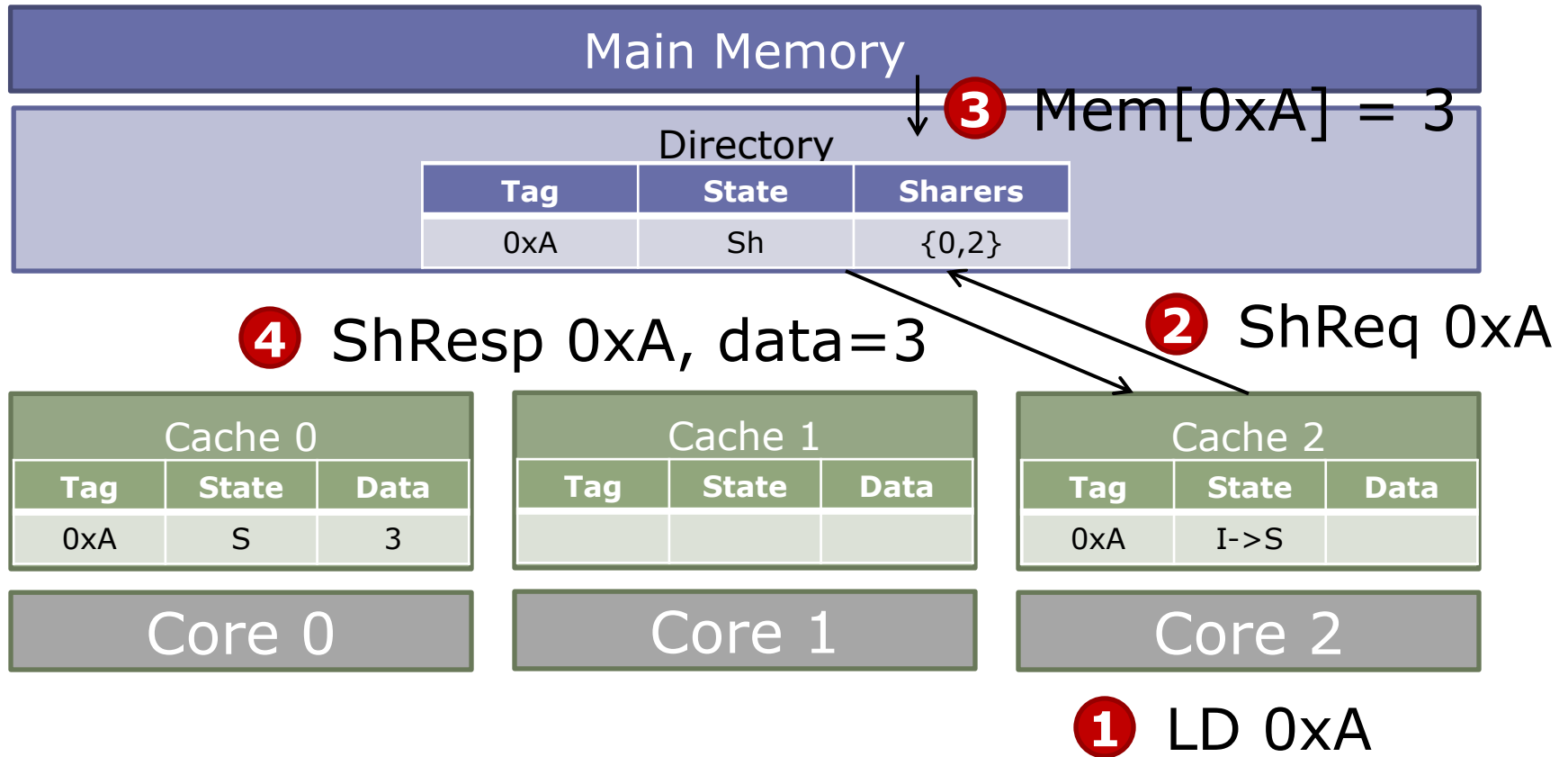




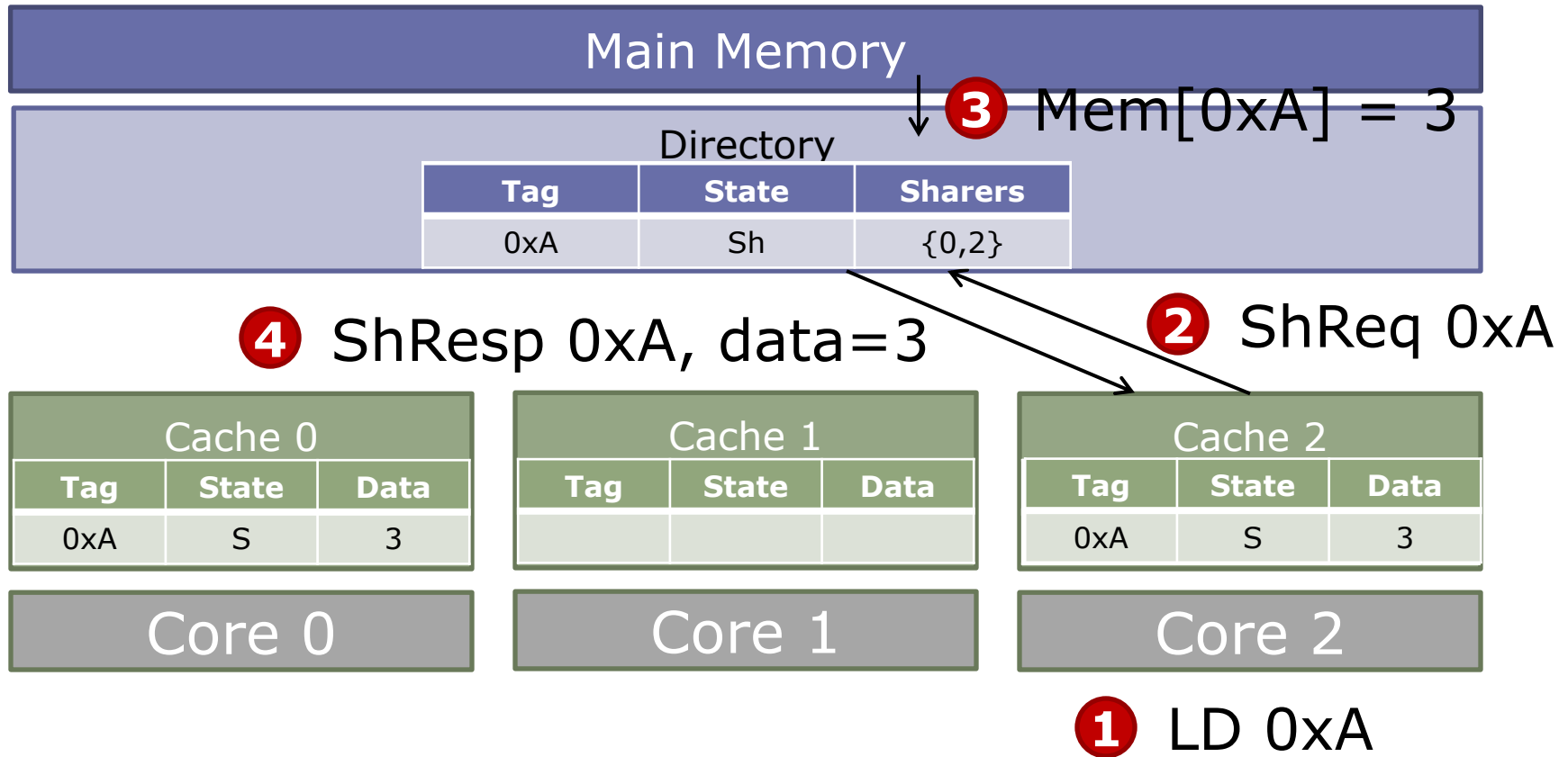
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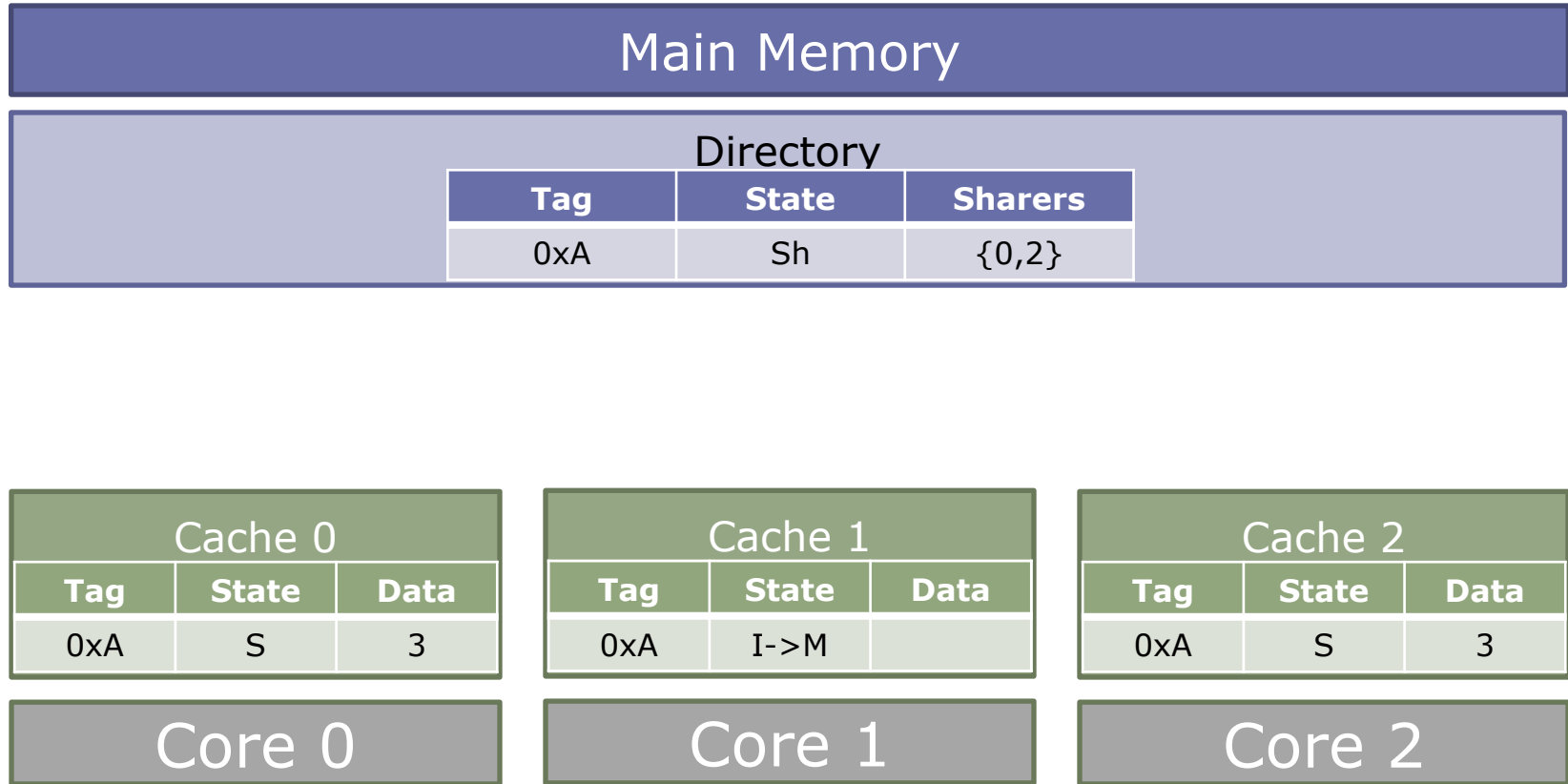
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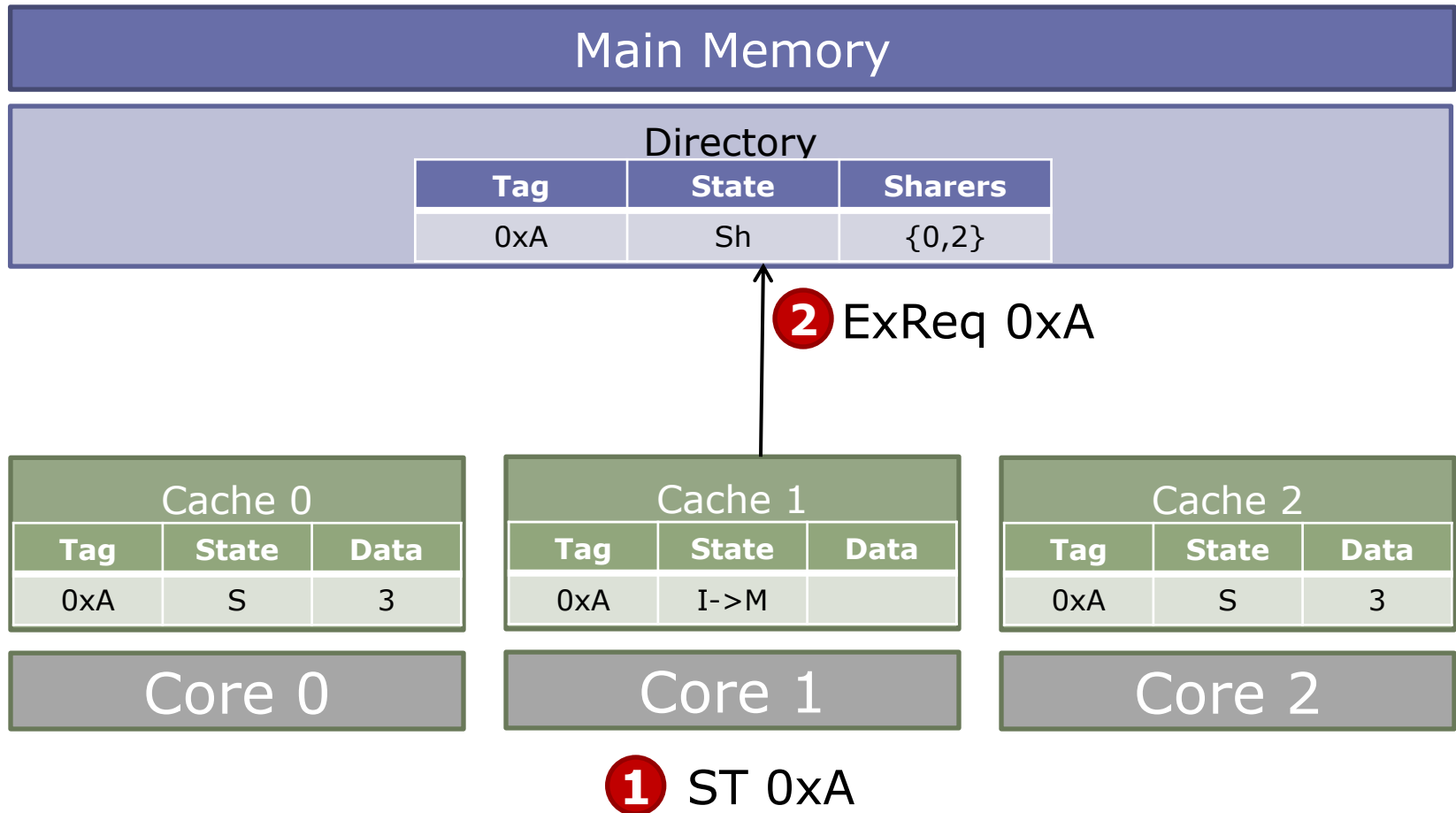


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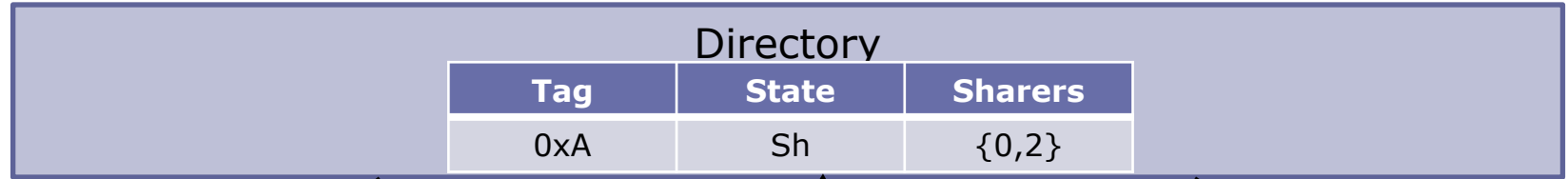


**1** ST 0xA

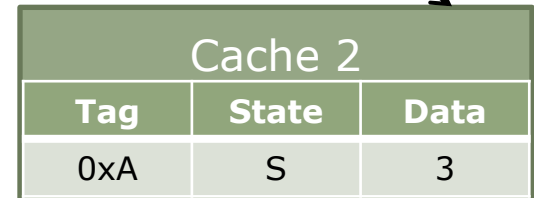
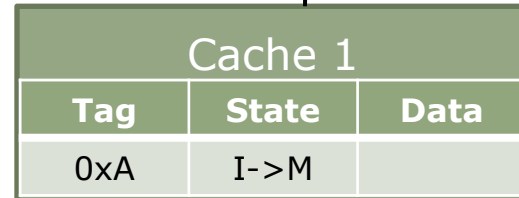
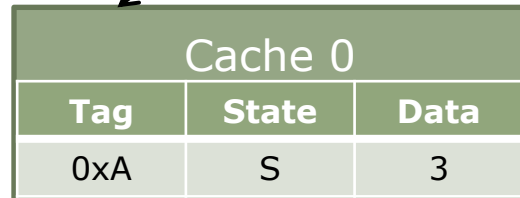
# MSI Directory Protocol Example



# MSI Directory Protocol Example

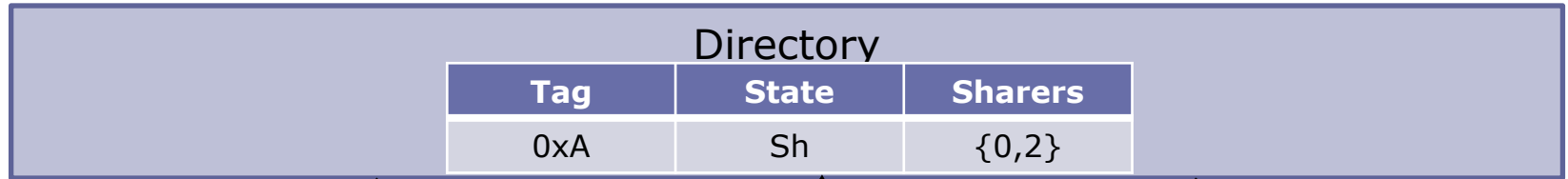


**3** InvReq 0xA      **2** ExReq 0xA      **3** InvReq 0xA



**1** ST 0xA

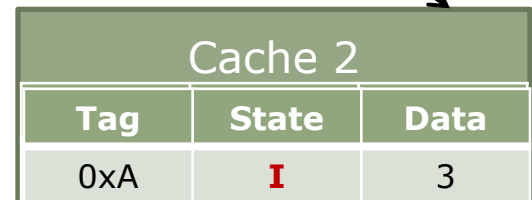
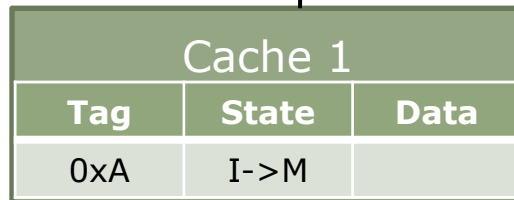
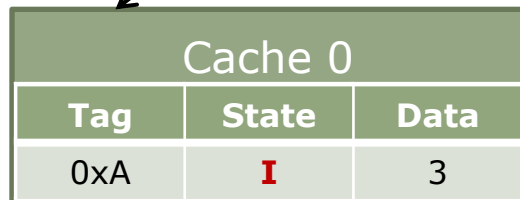
# MSI Directory Protocol Example



**3** InvReq 0xA

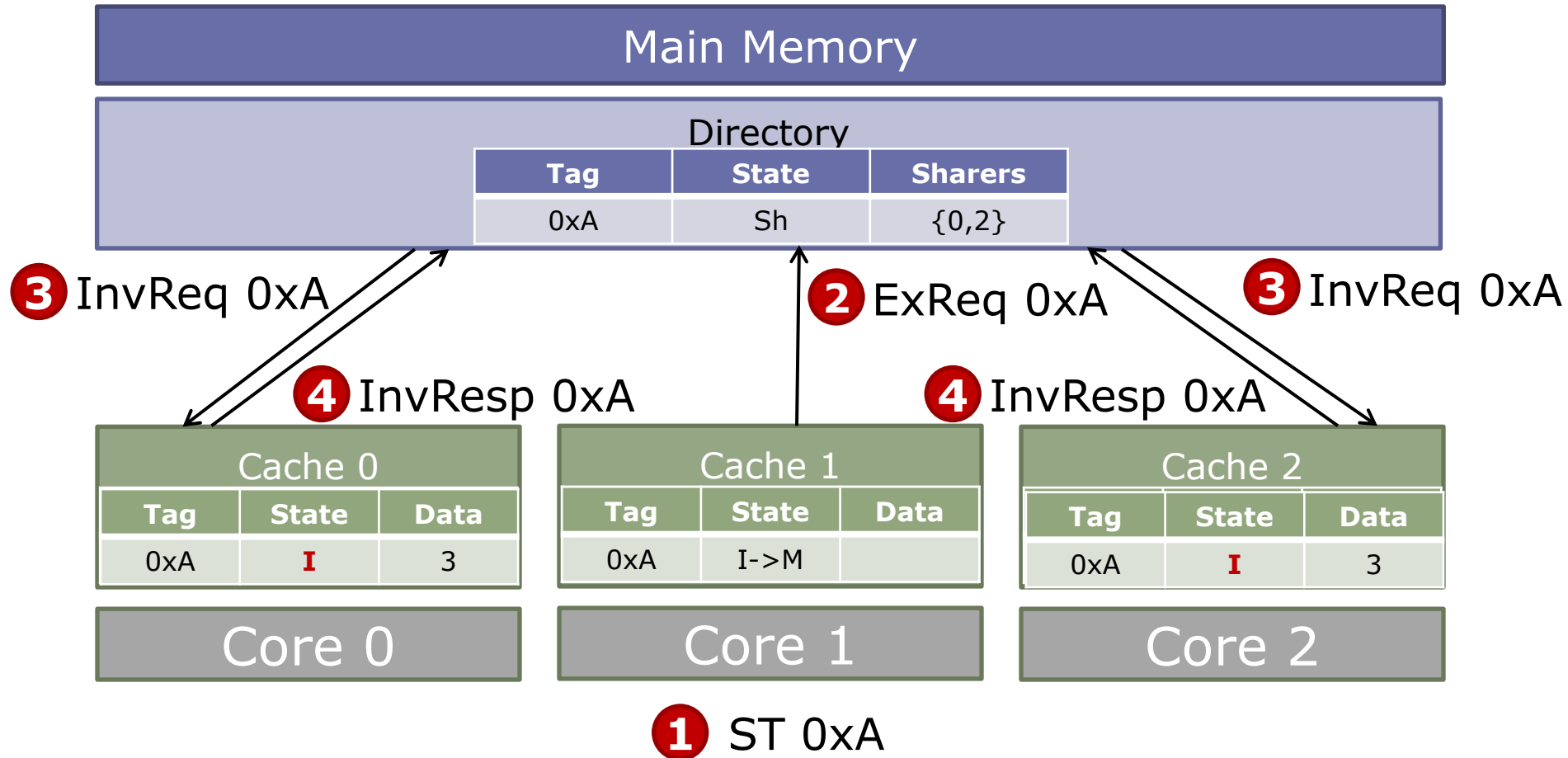
**2** ExReq 0xA

**3** InvReq 0xA



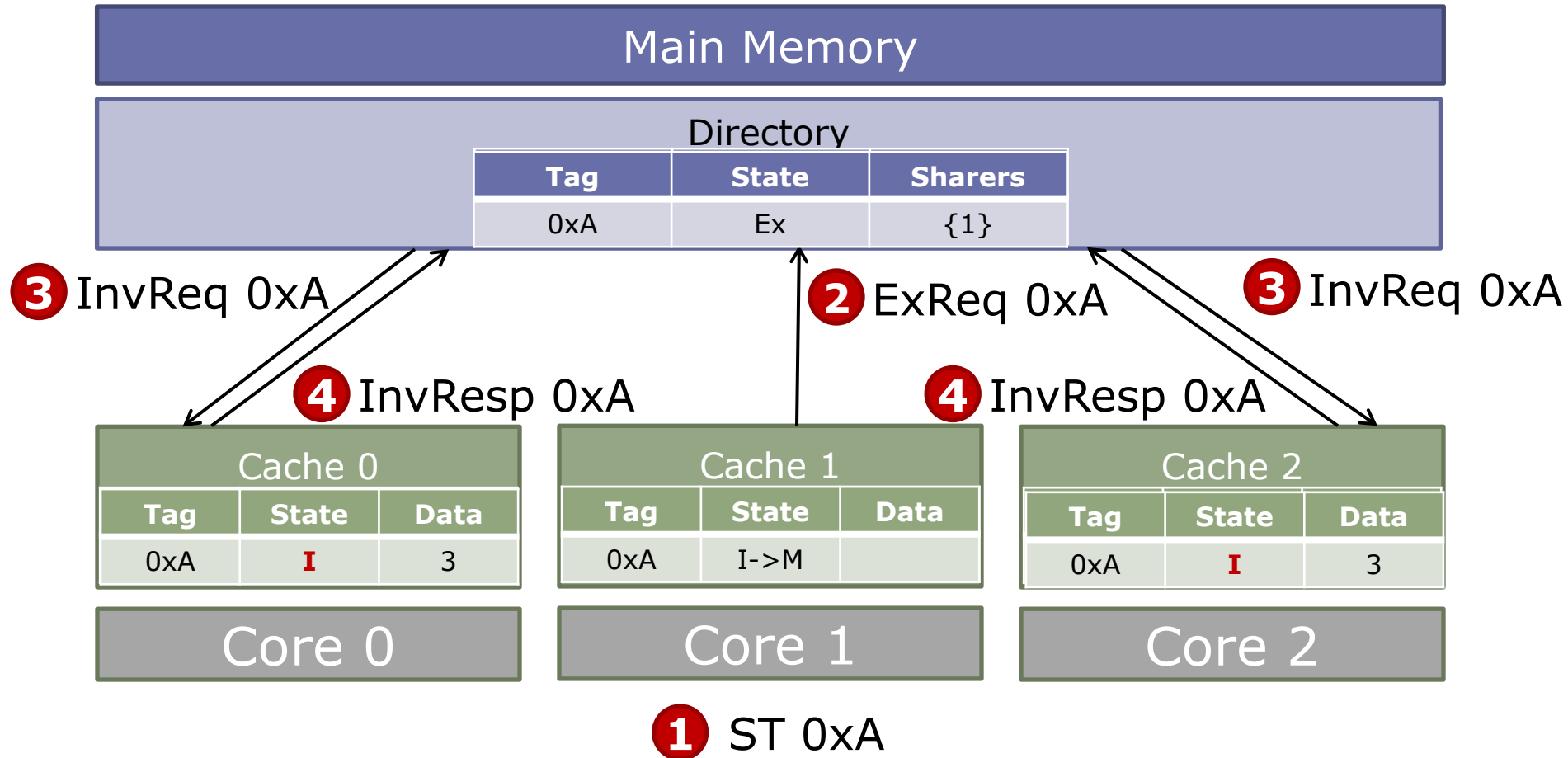
**1** ST 0xA

# MSI Directory Protocol Example

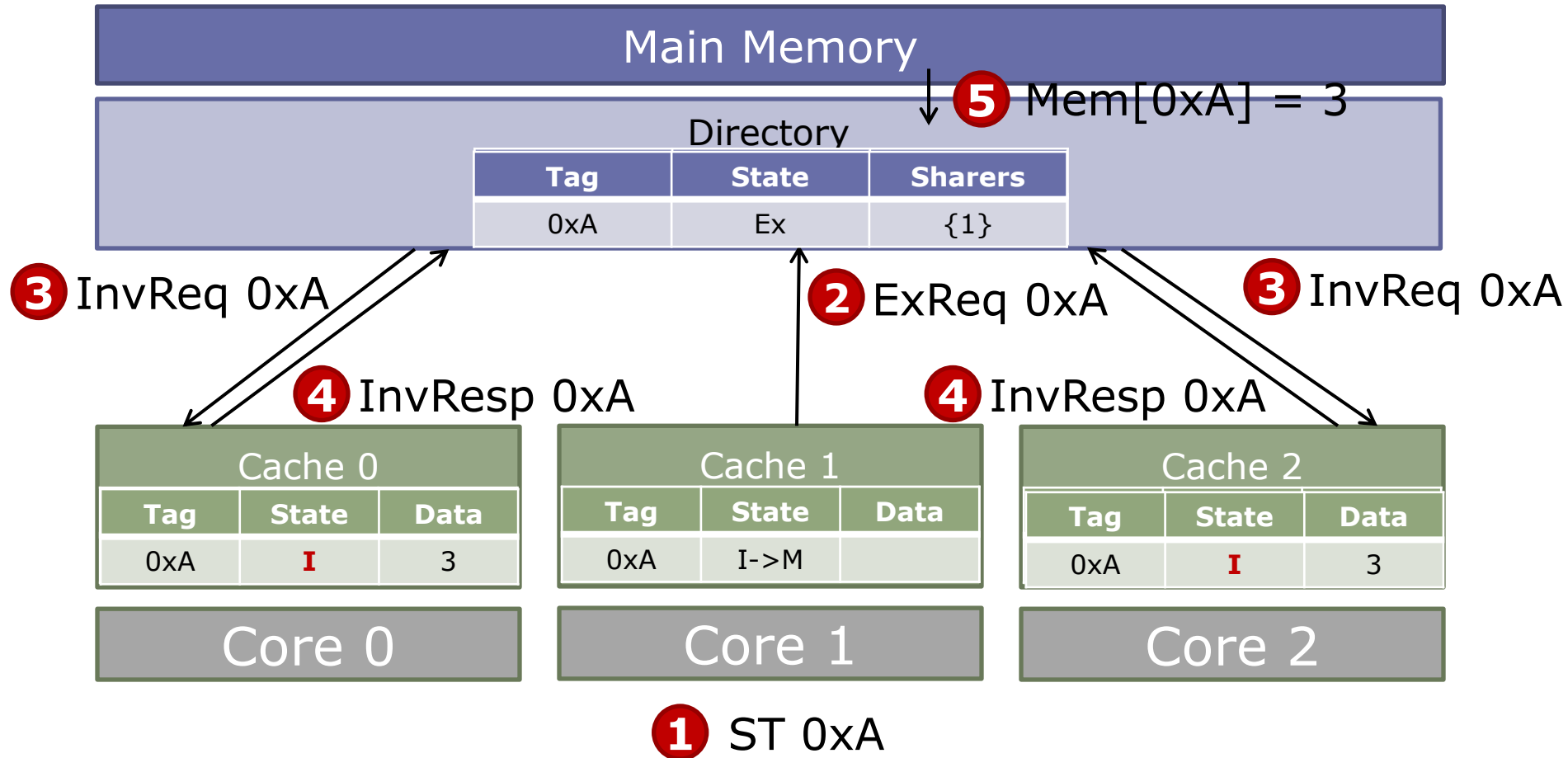




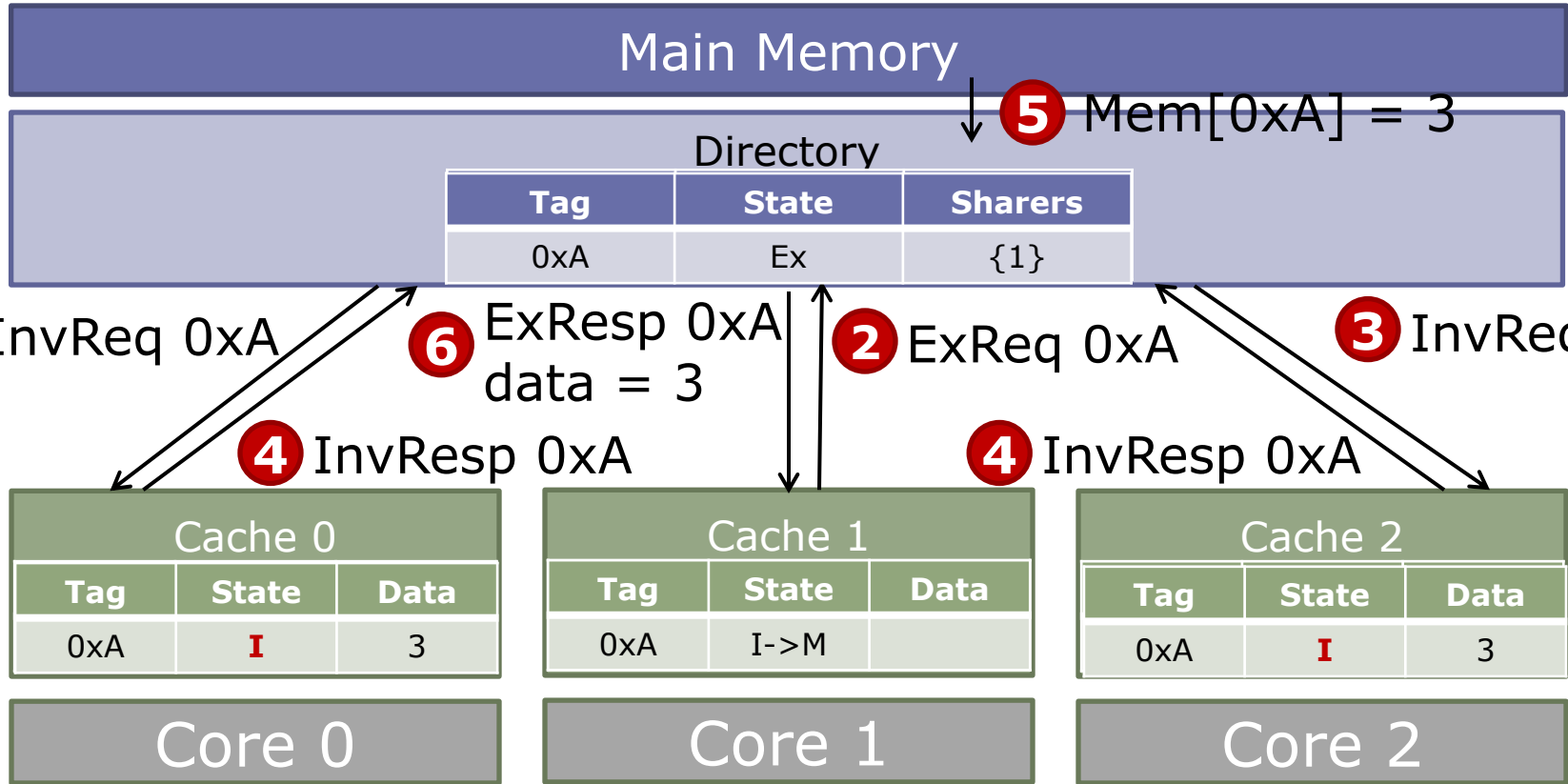
# MSI Directory Protocol Example



# MSI Directory Protocol Example



# MSI Directory Protocol Example



3 InvReq 0xA

6 ExResp 0xA data = 3

2 ExReq 0xA

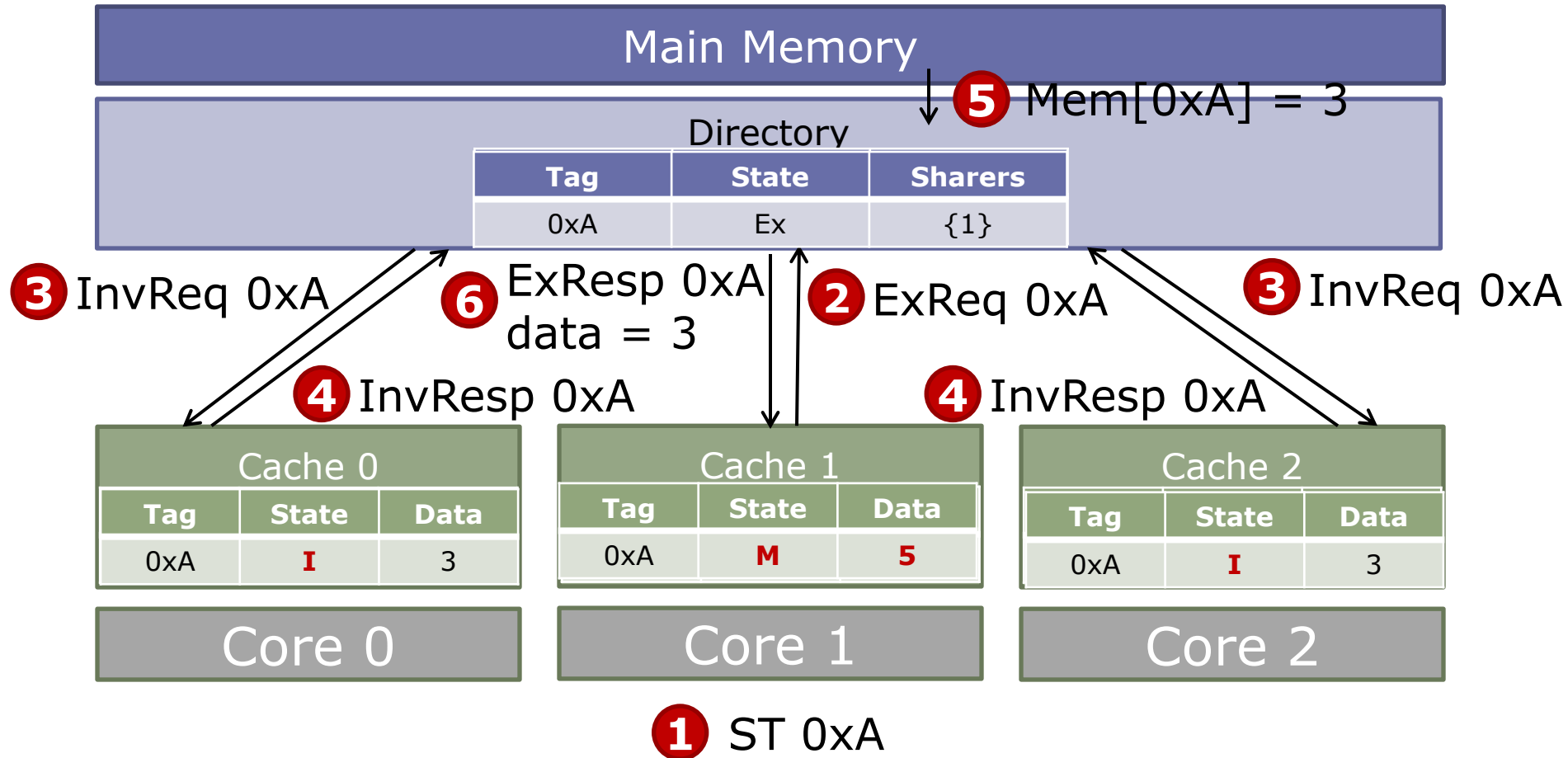
3 InvReq 0xA

4 InvResp 0xA

4 InvResp 0xA

1 ST 0xA

# MSI Directory Protocol Example

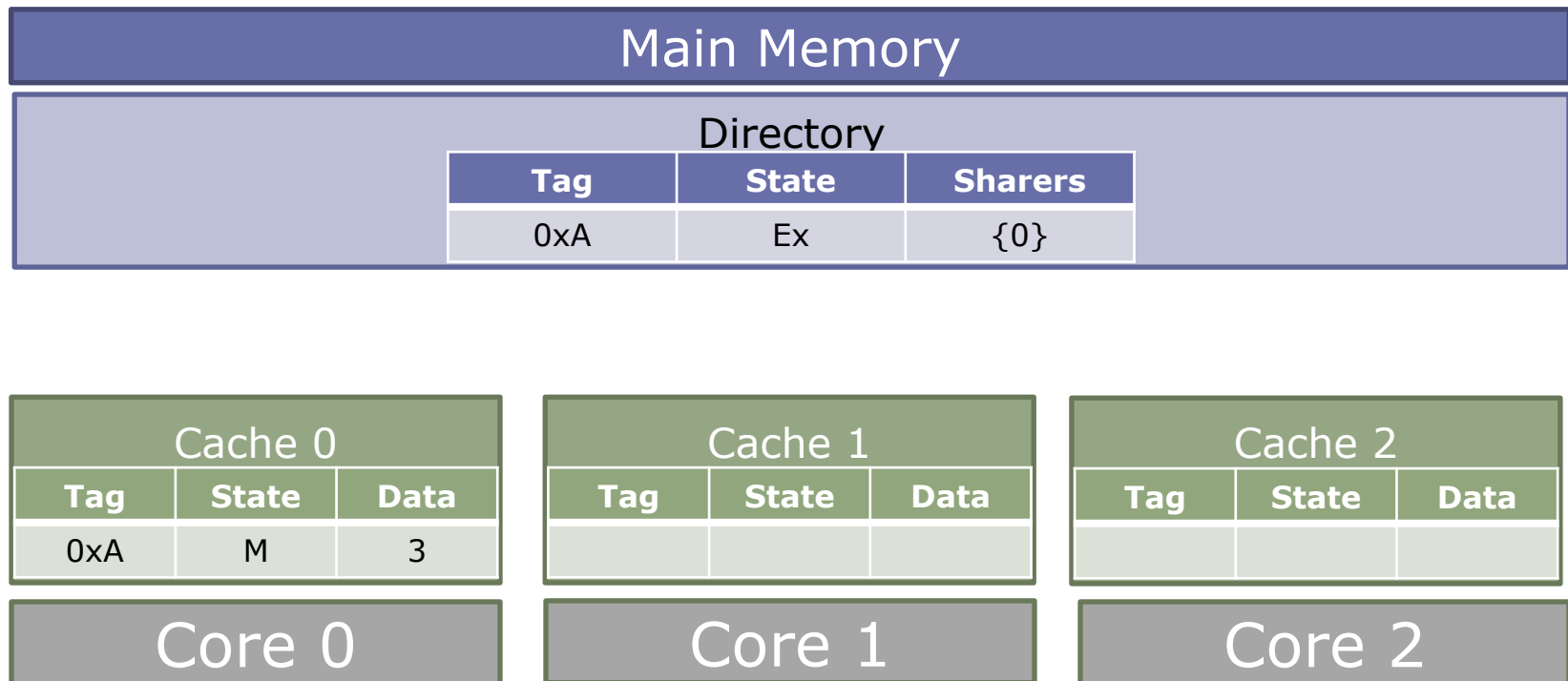


# Extra Hops and 3-Hop Protocols

## Reducing Protocol Latency

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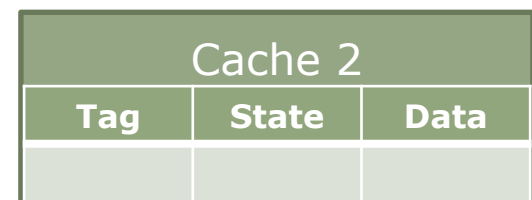
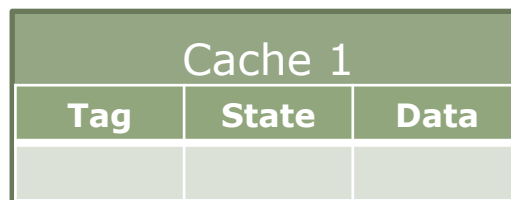
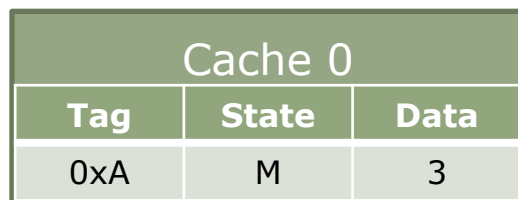
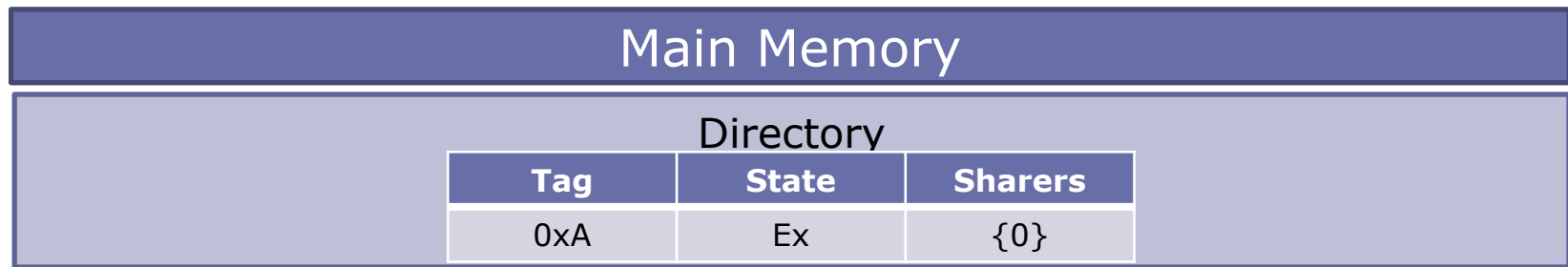


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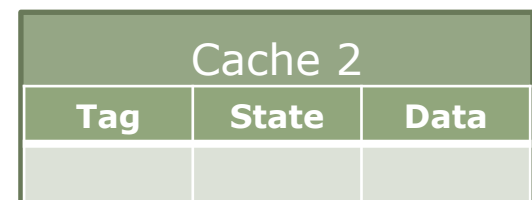
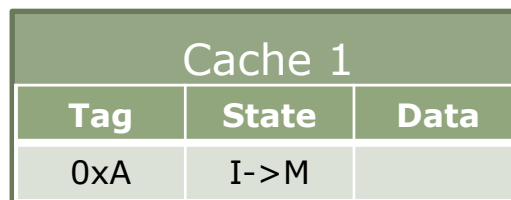
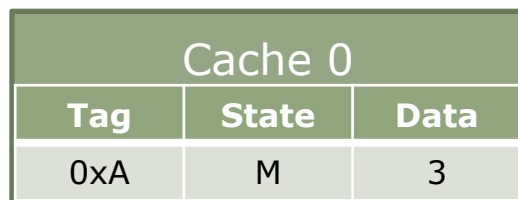
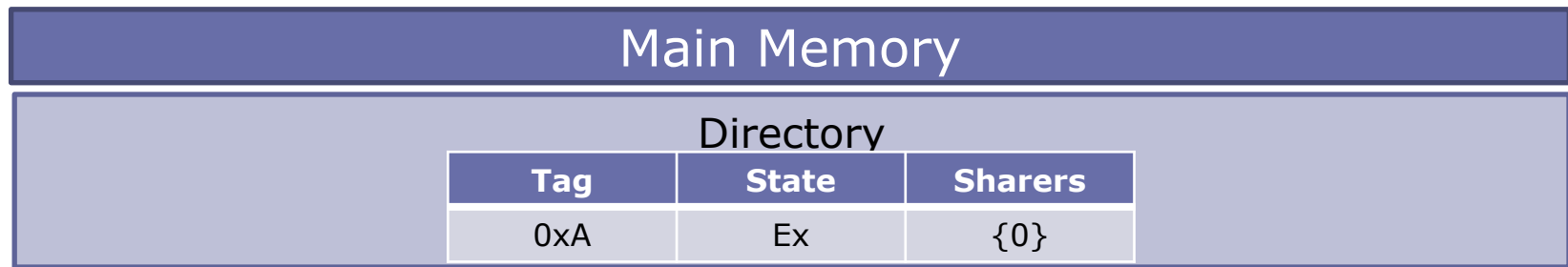
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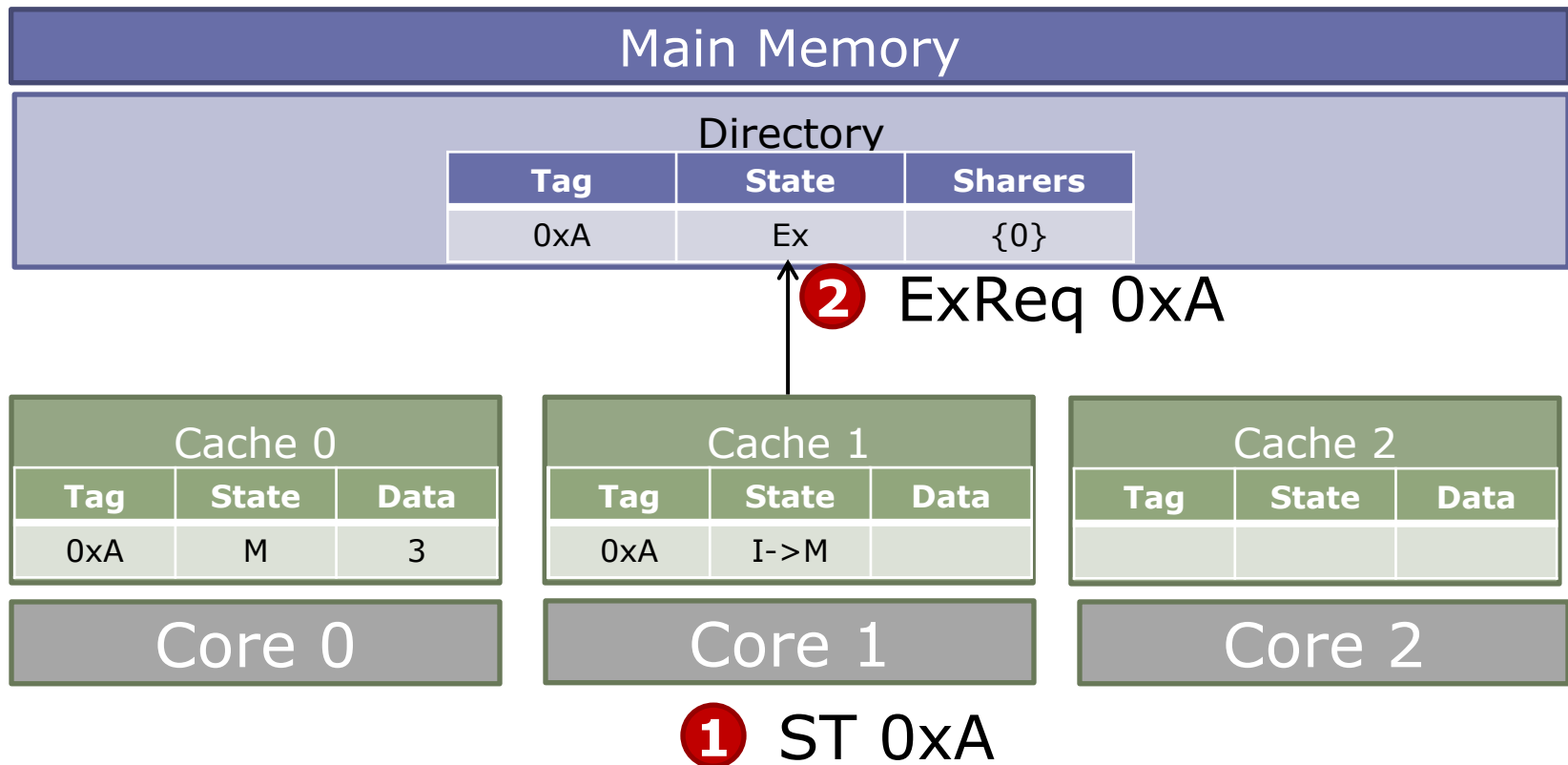


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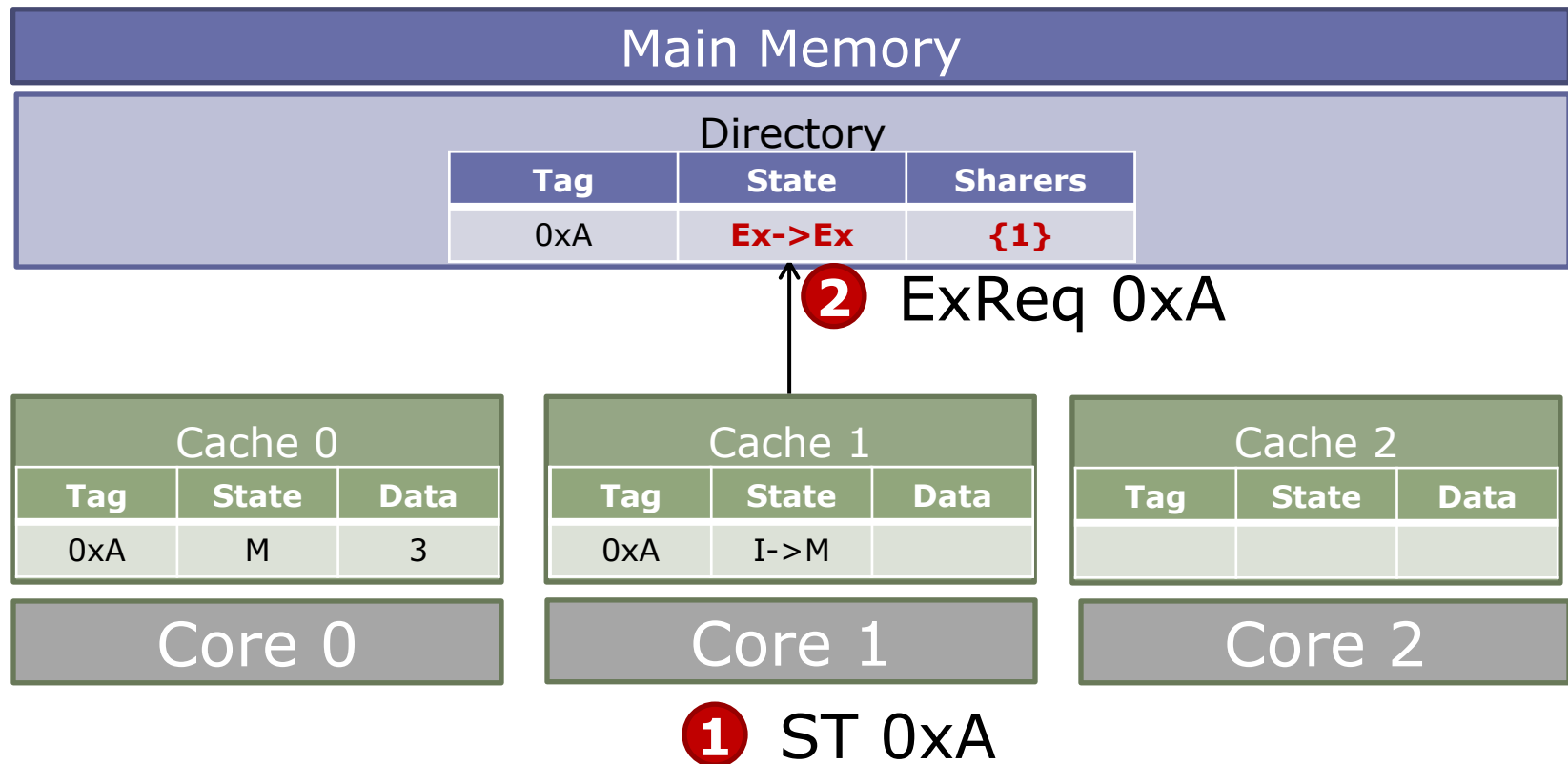




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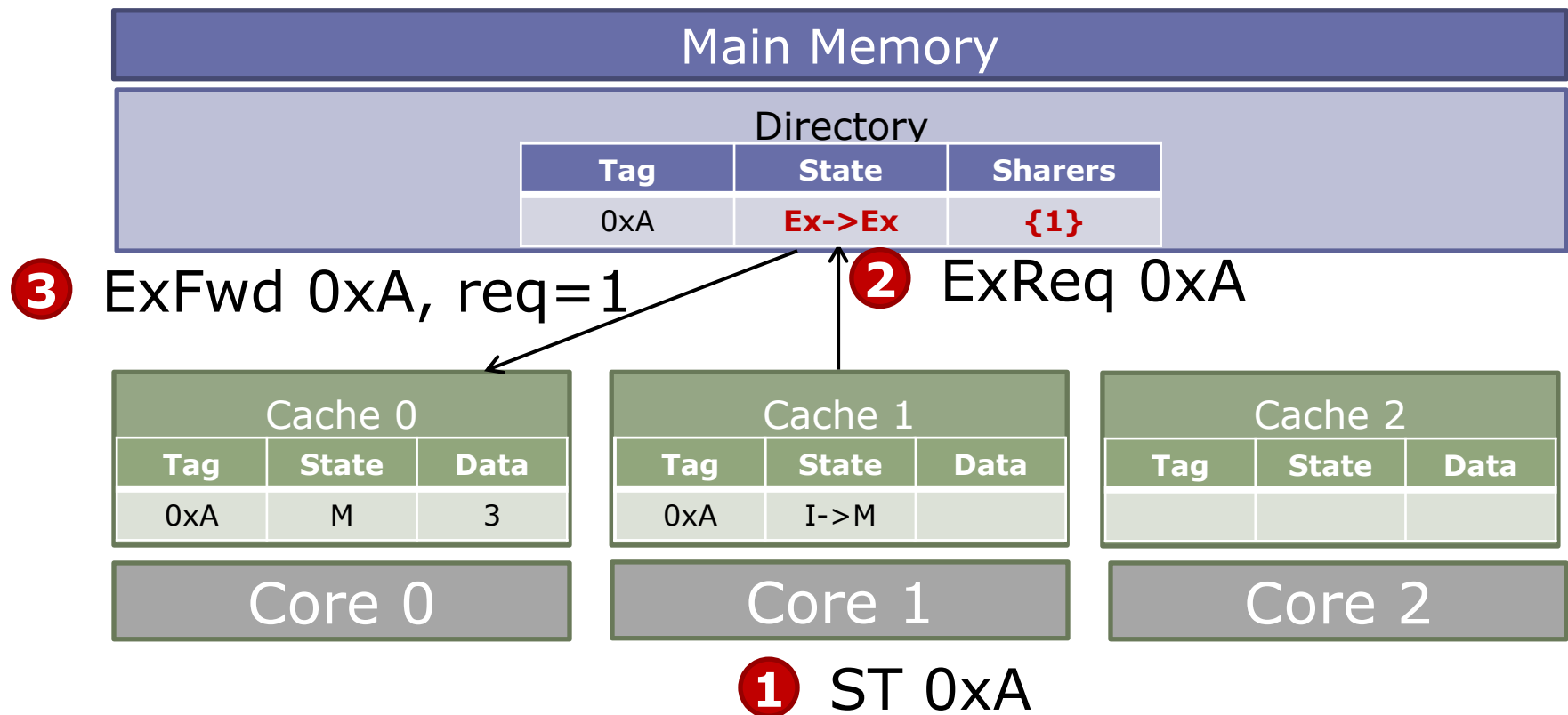
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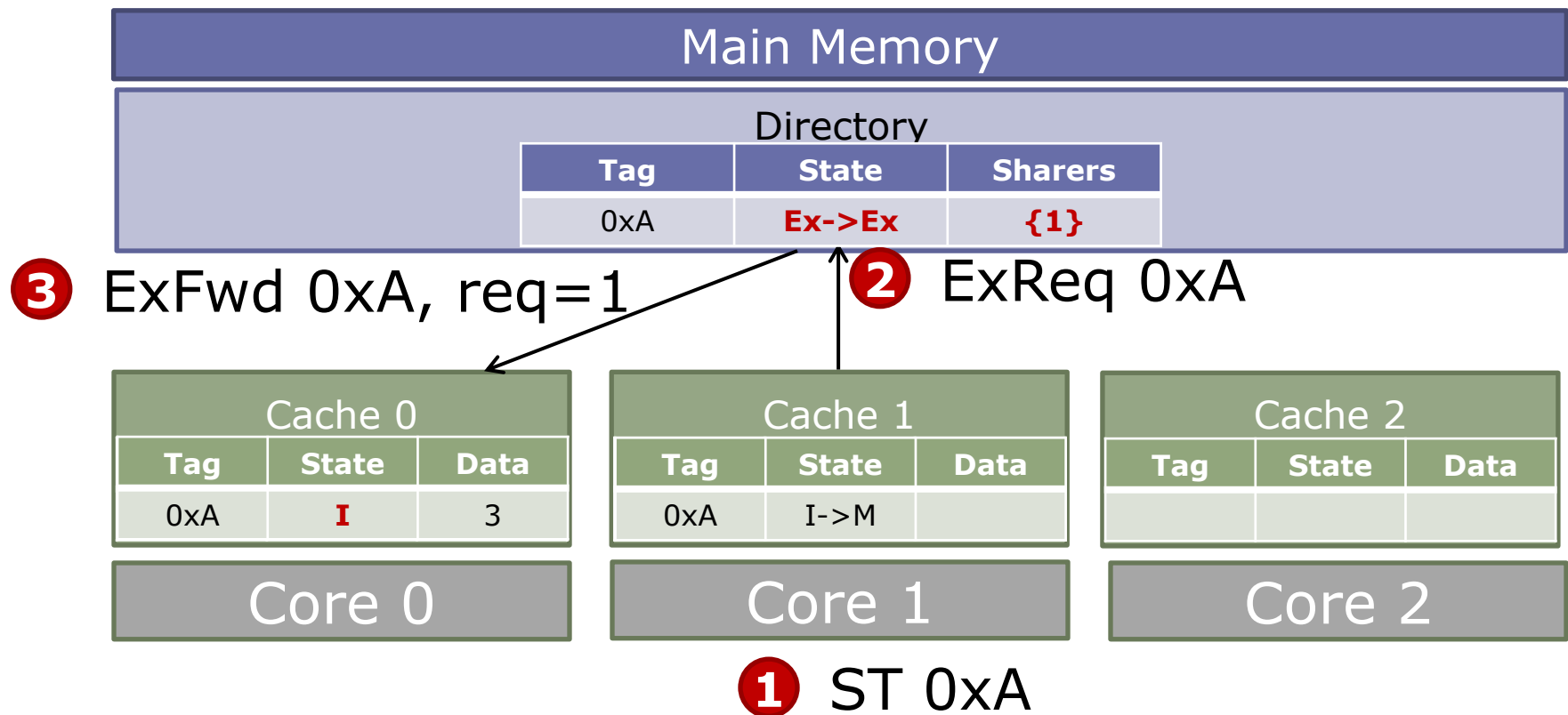
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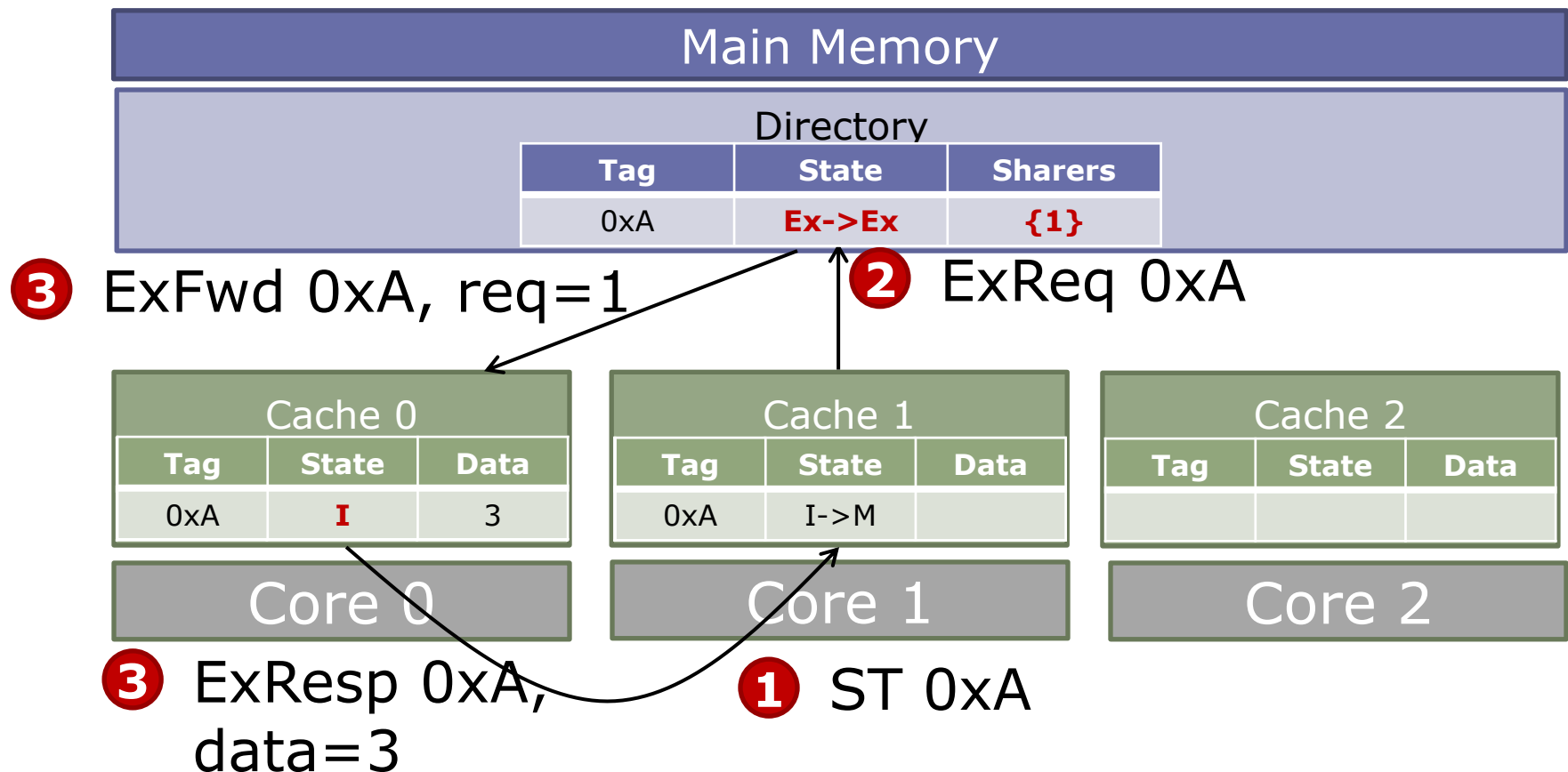
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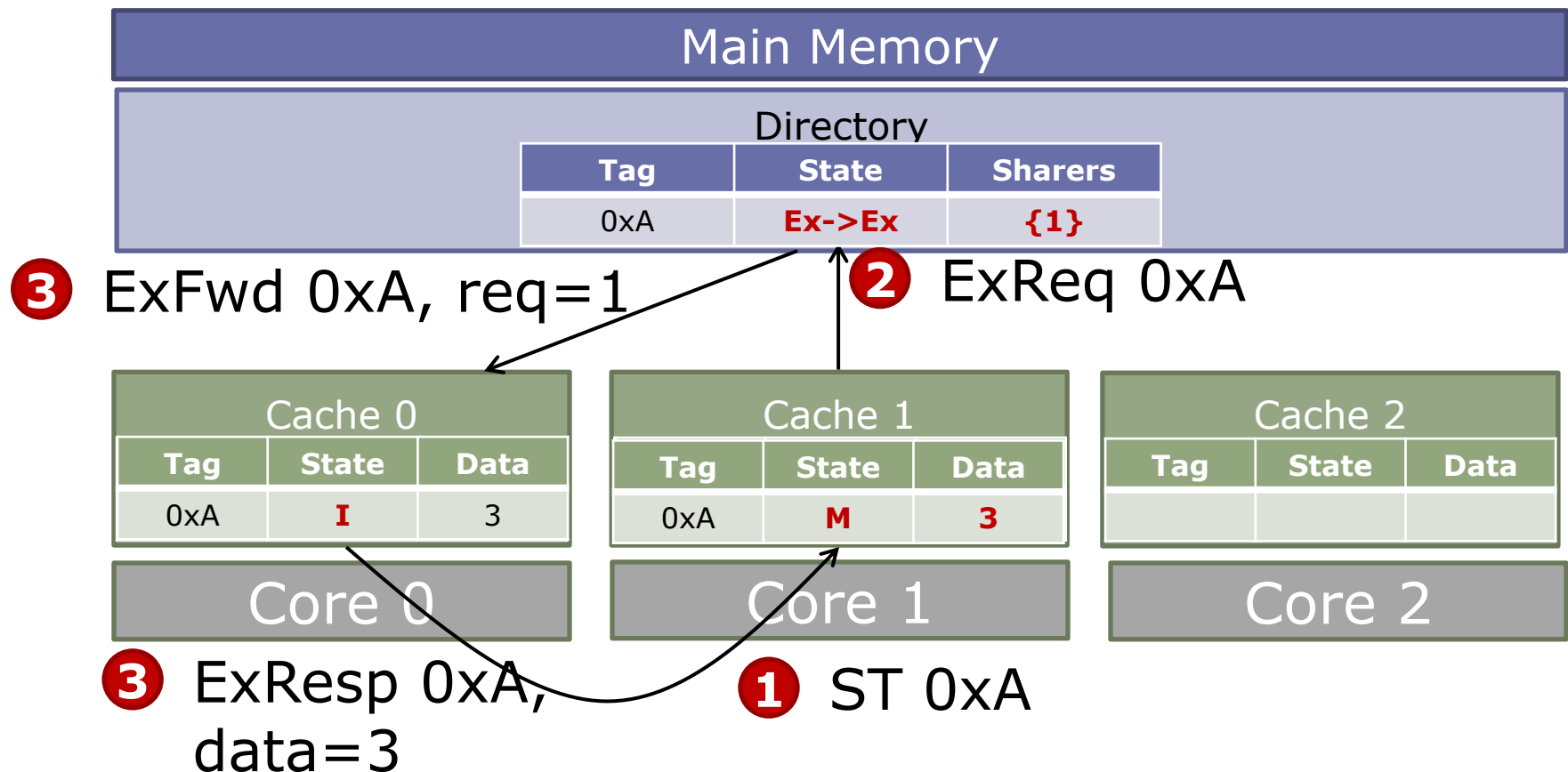
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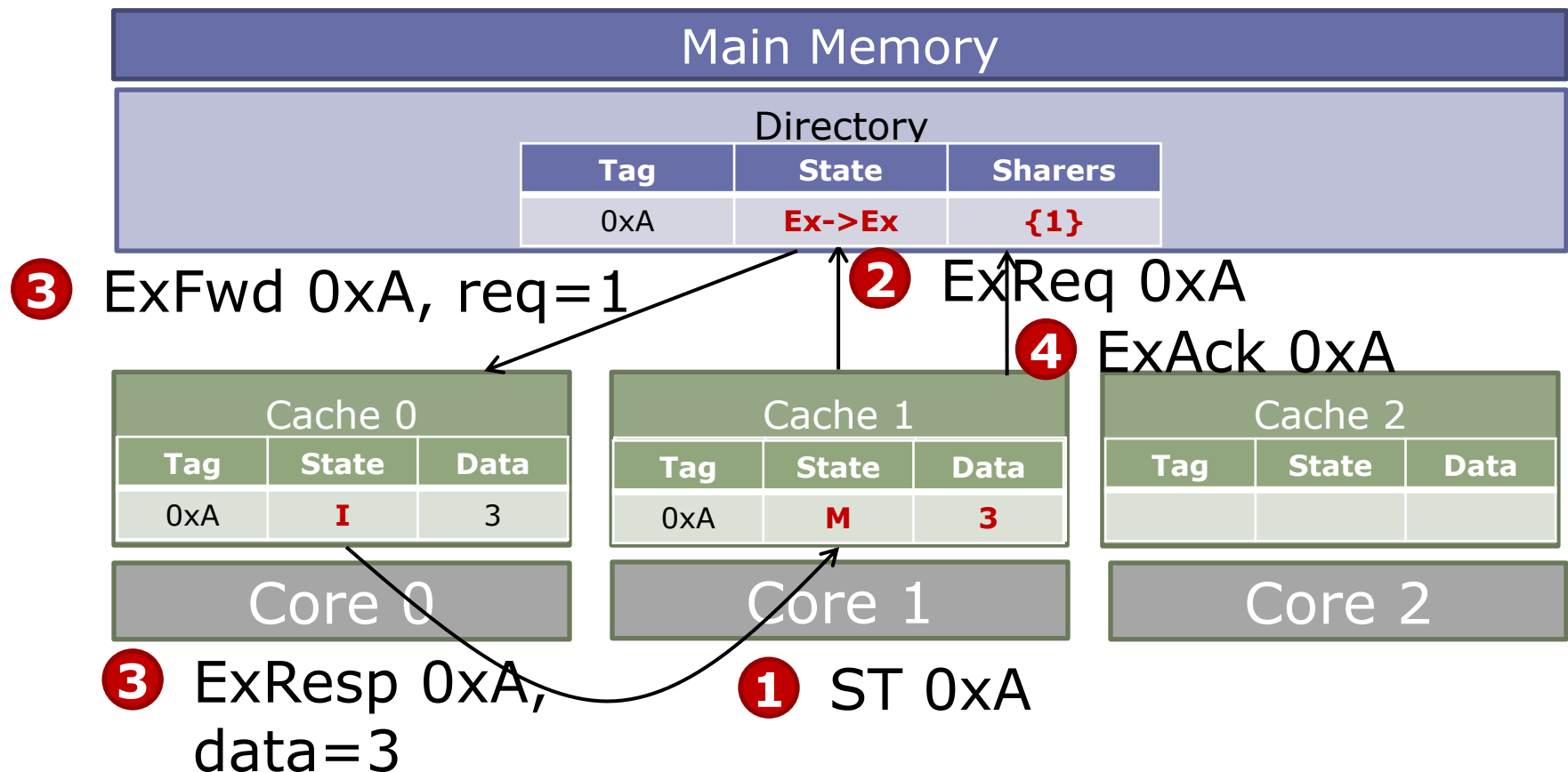
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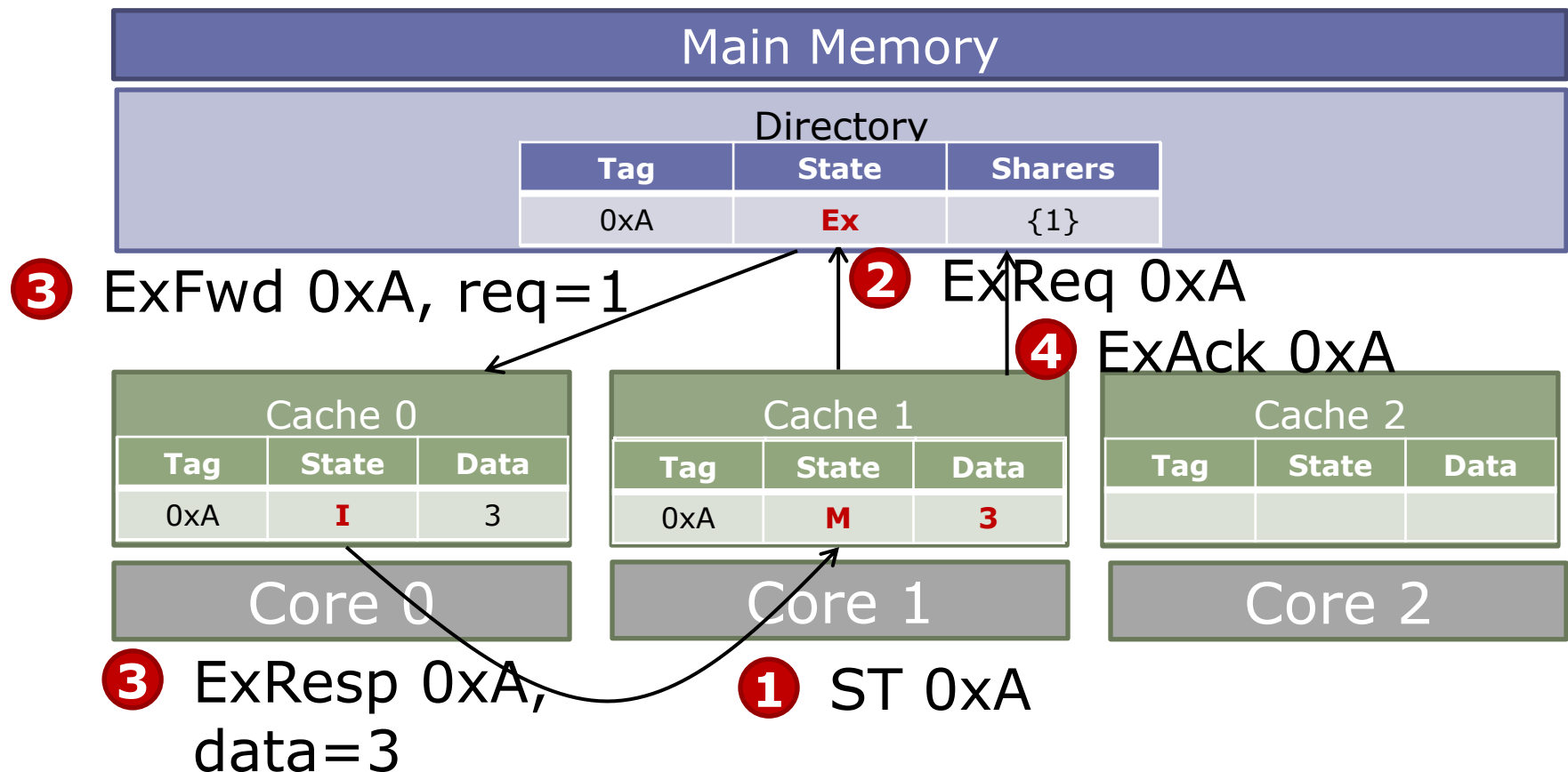
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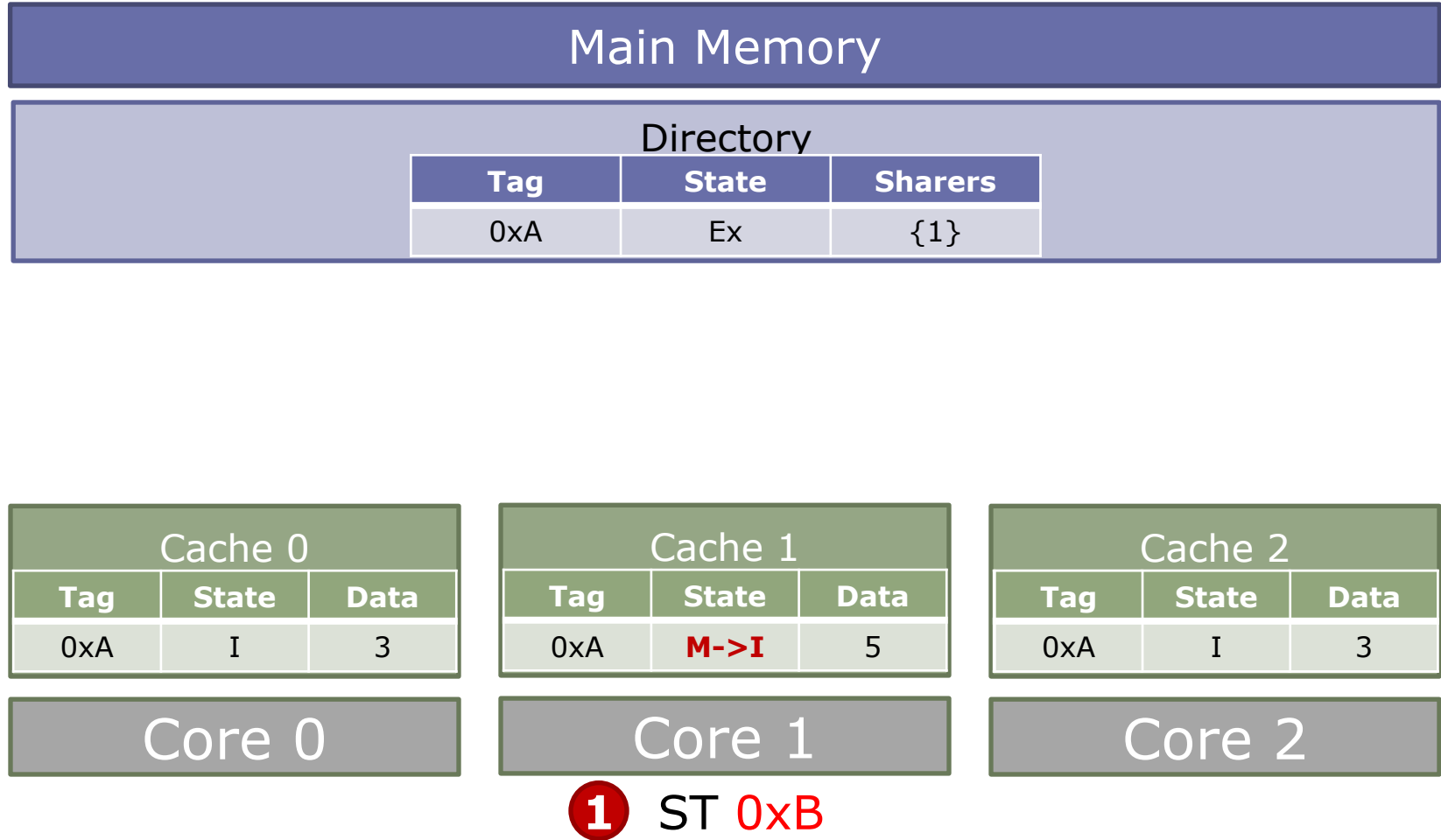
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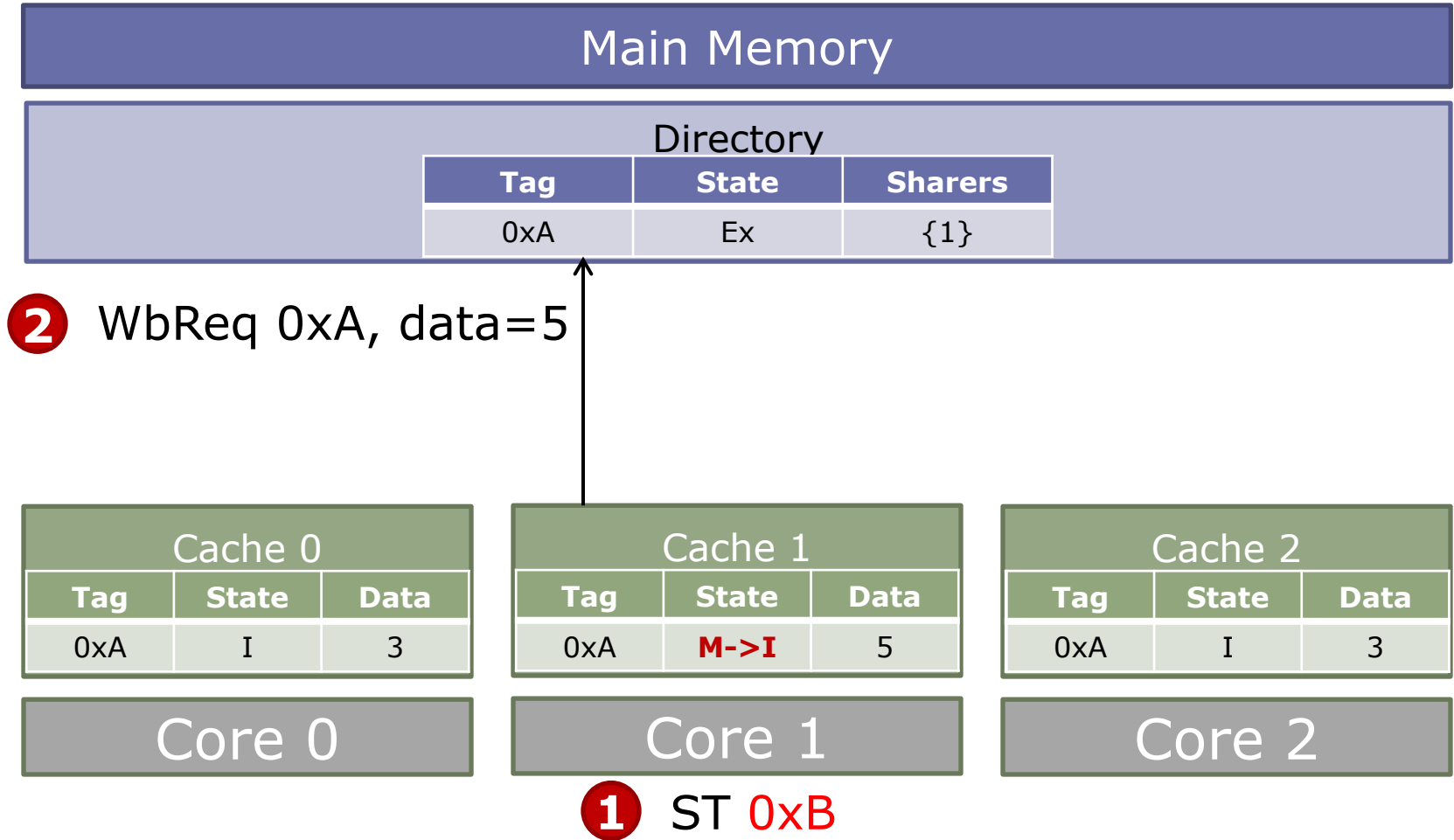


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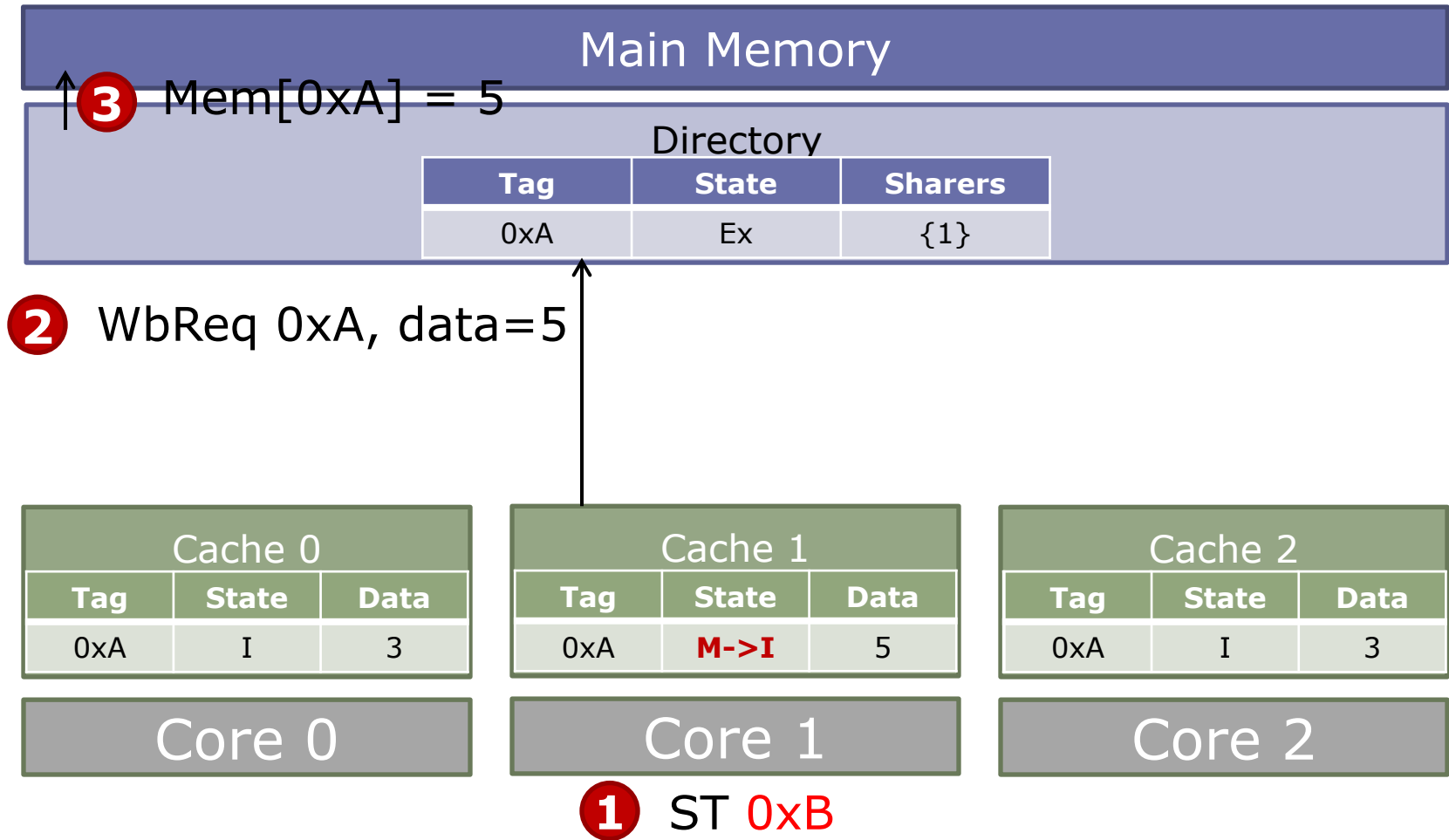




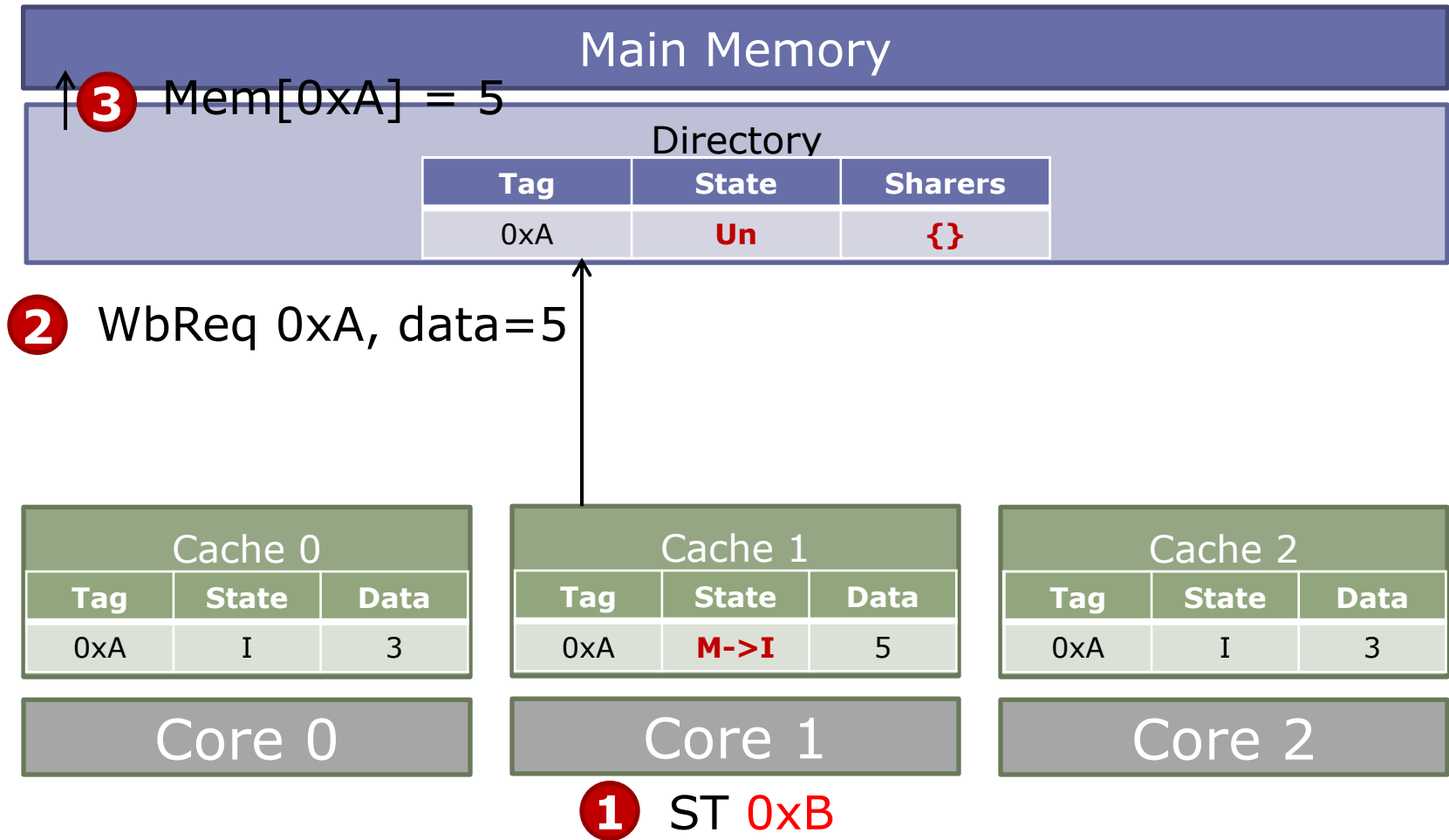
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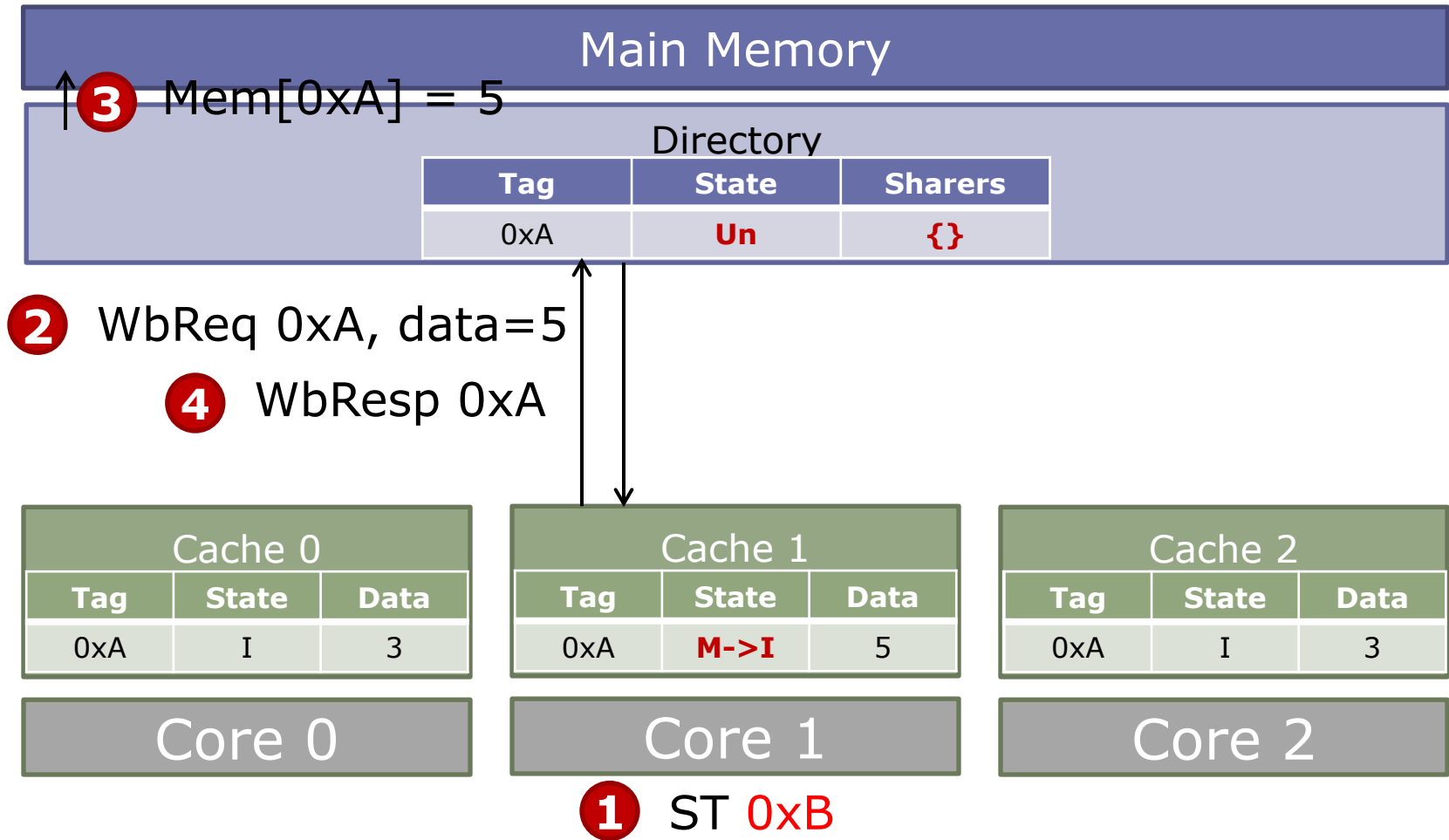
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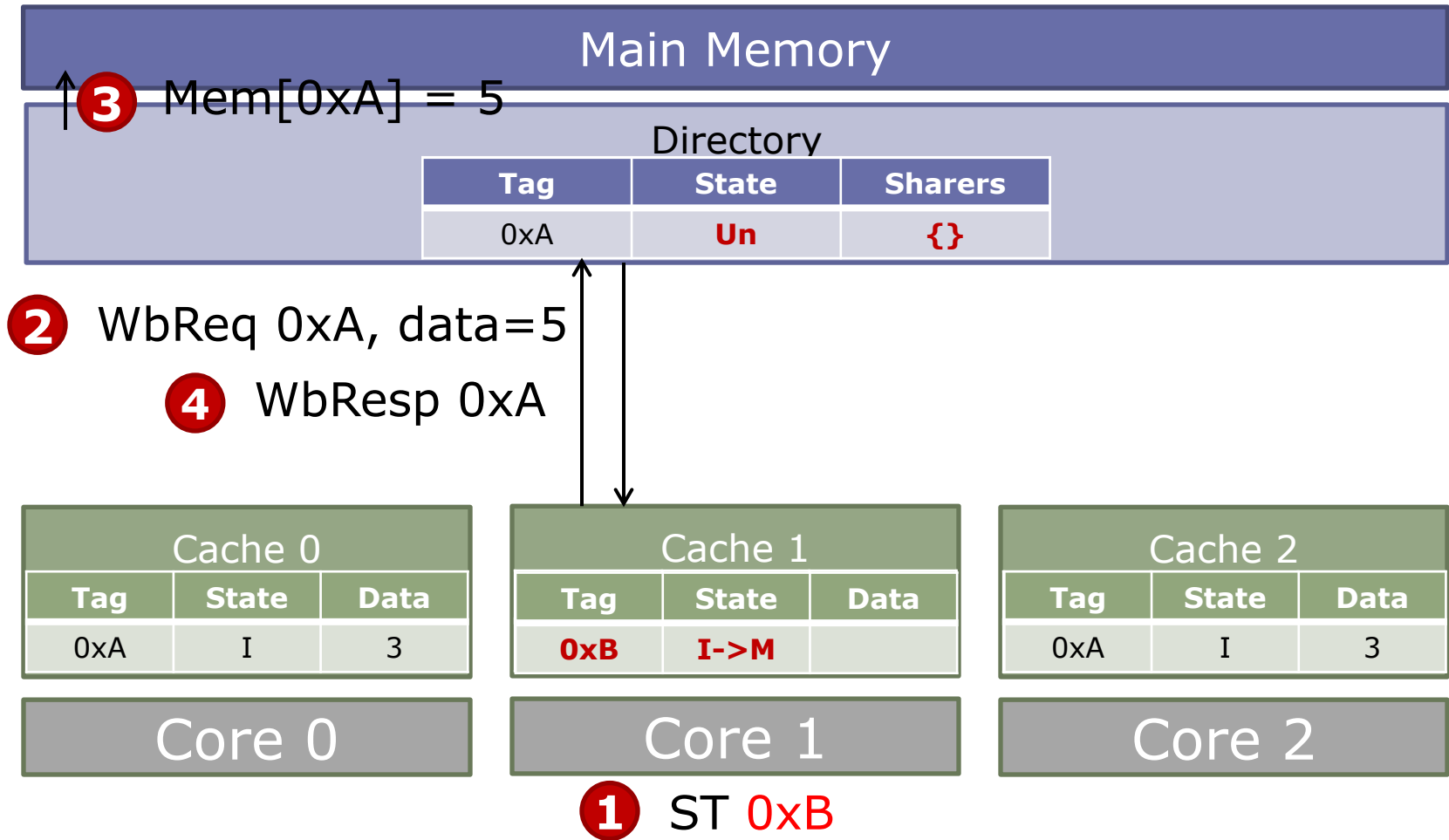
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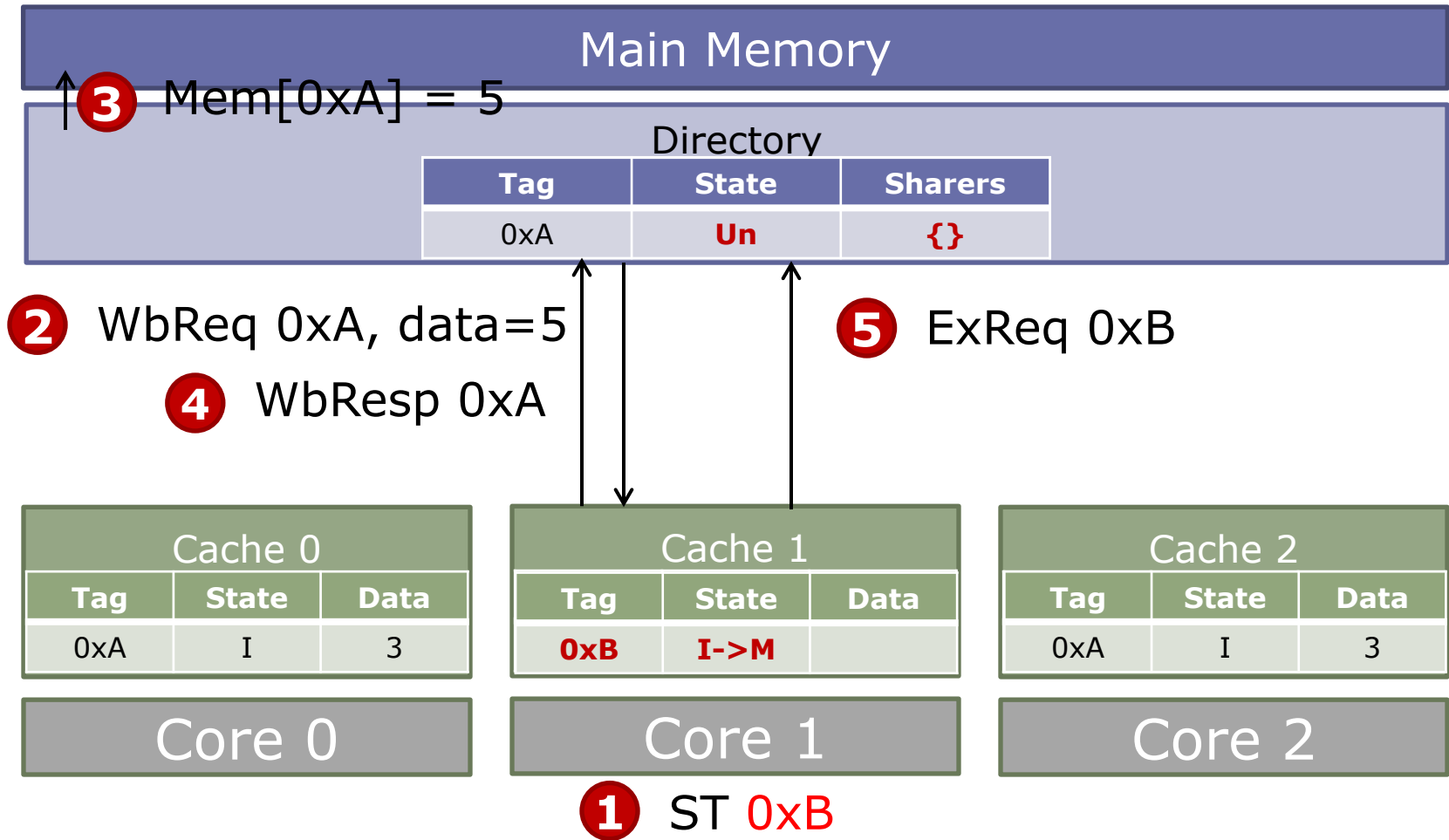
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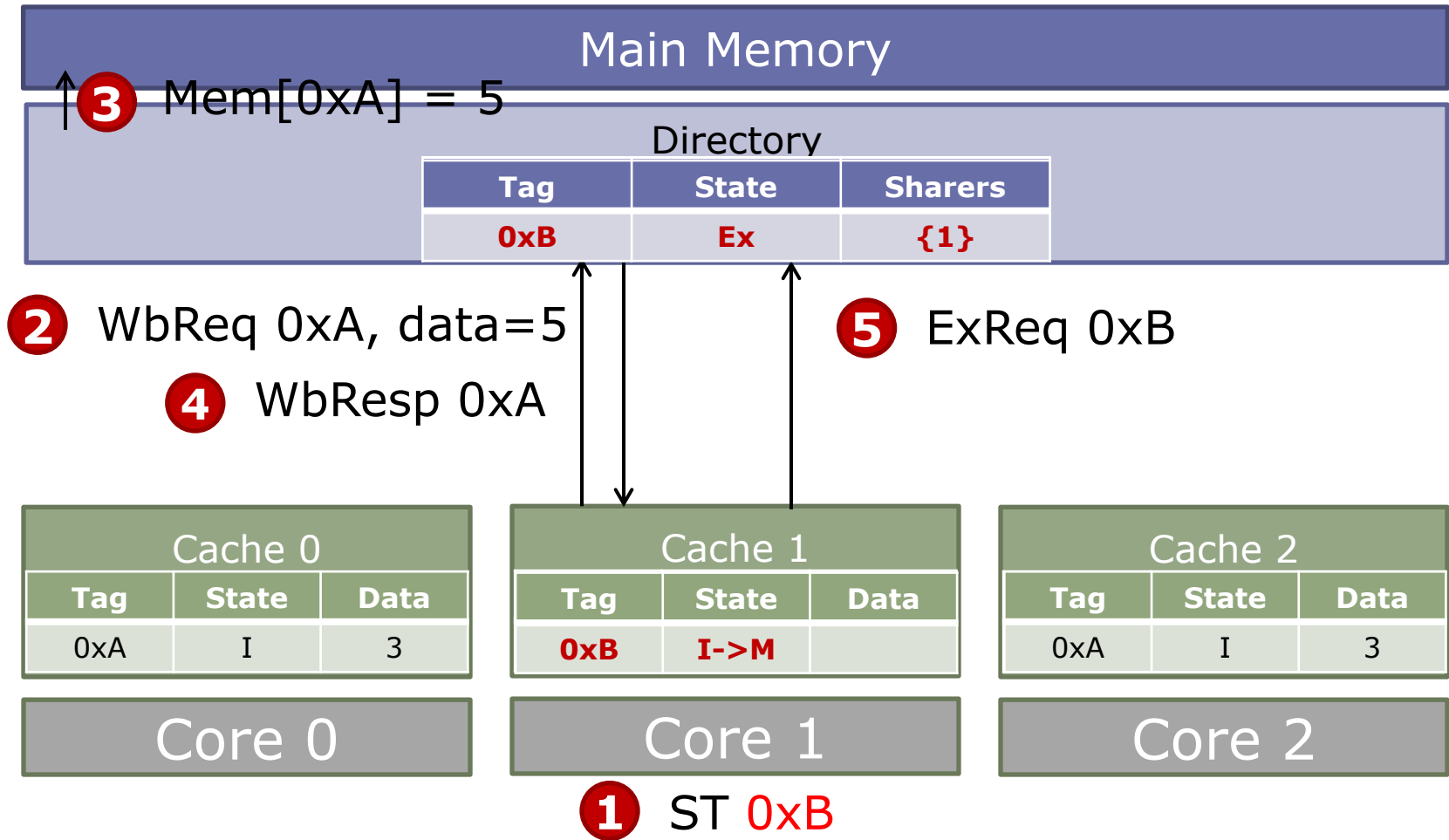
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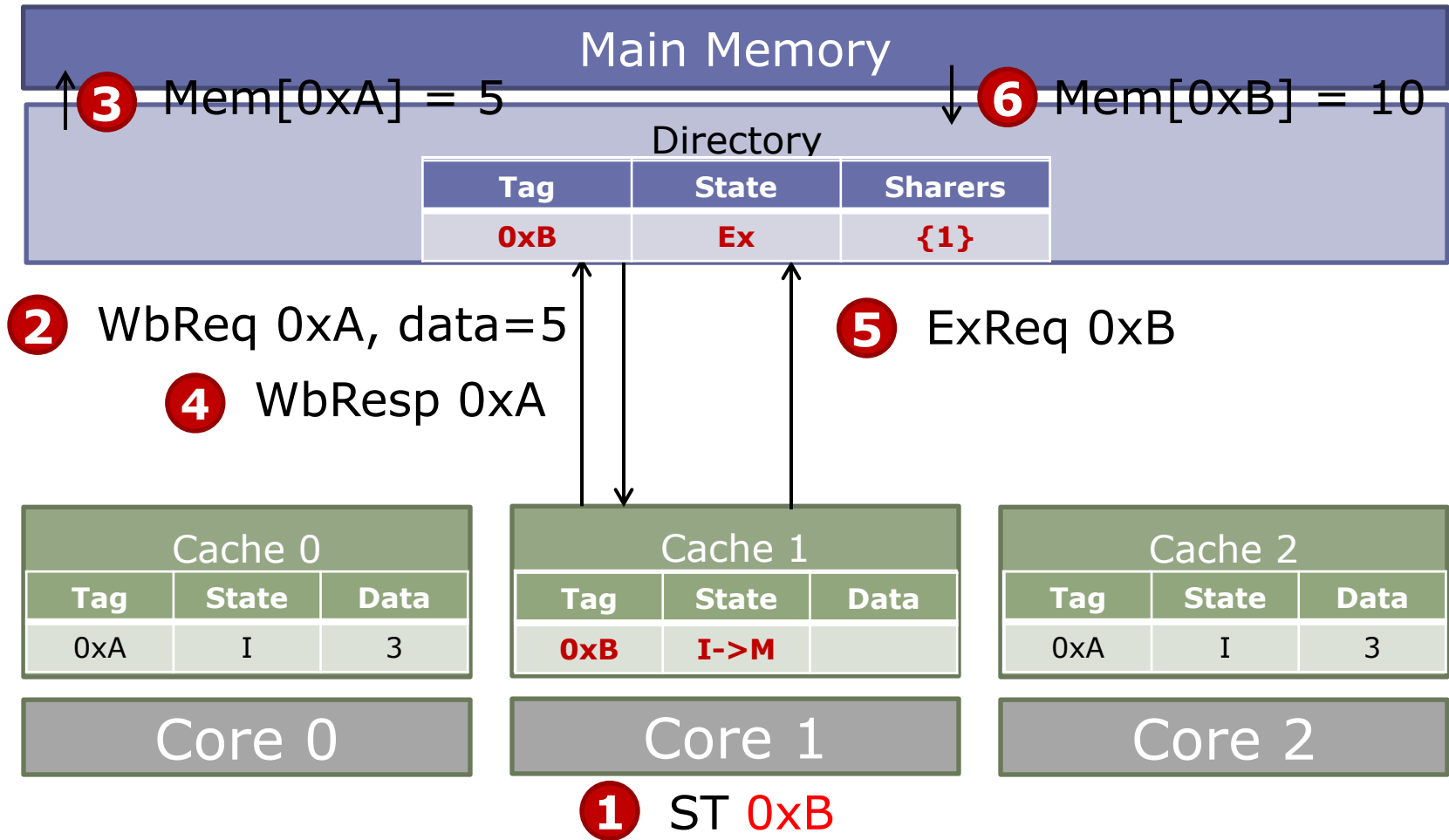
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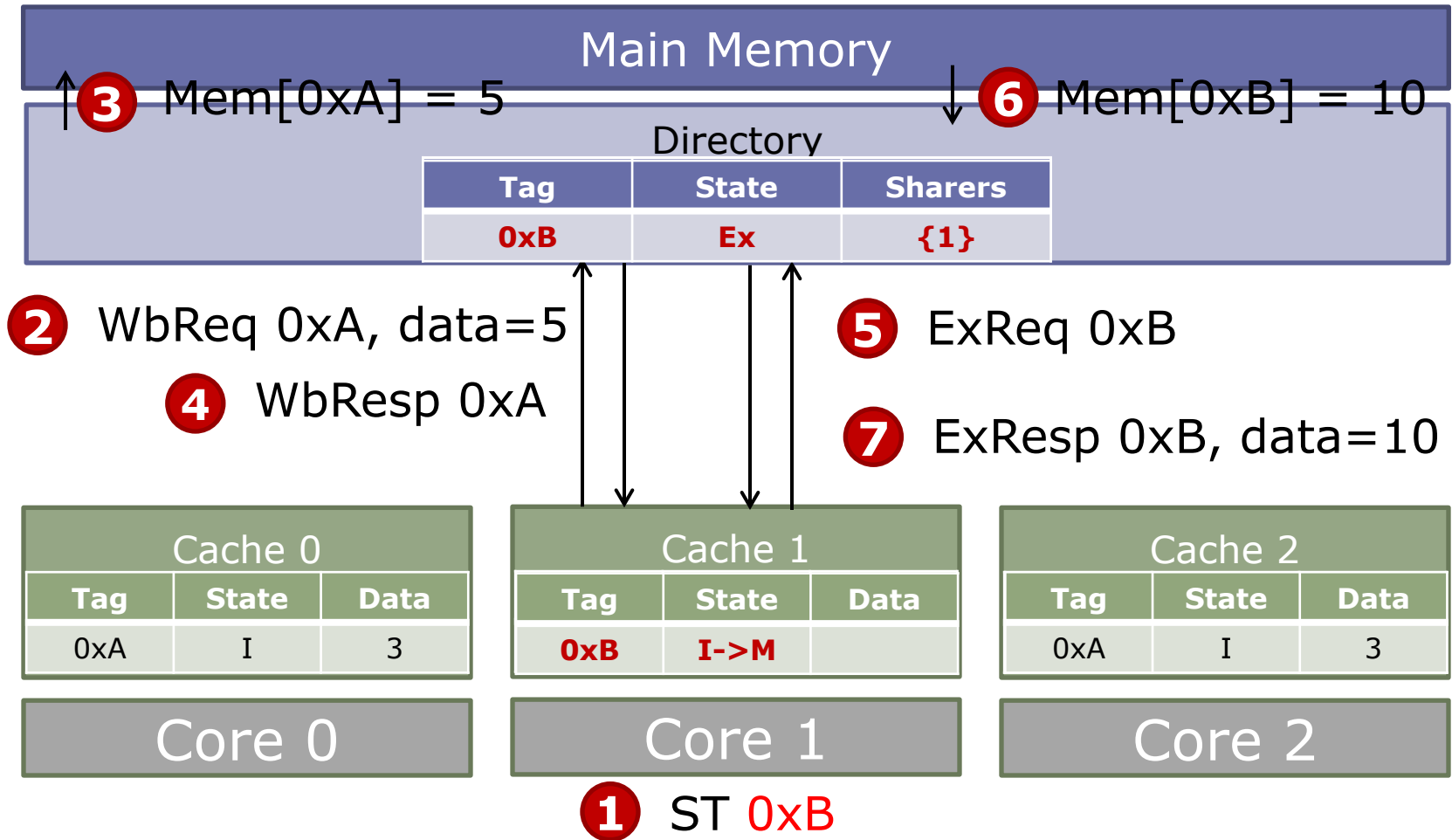


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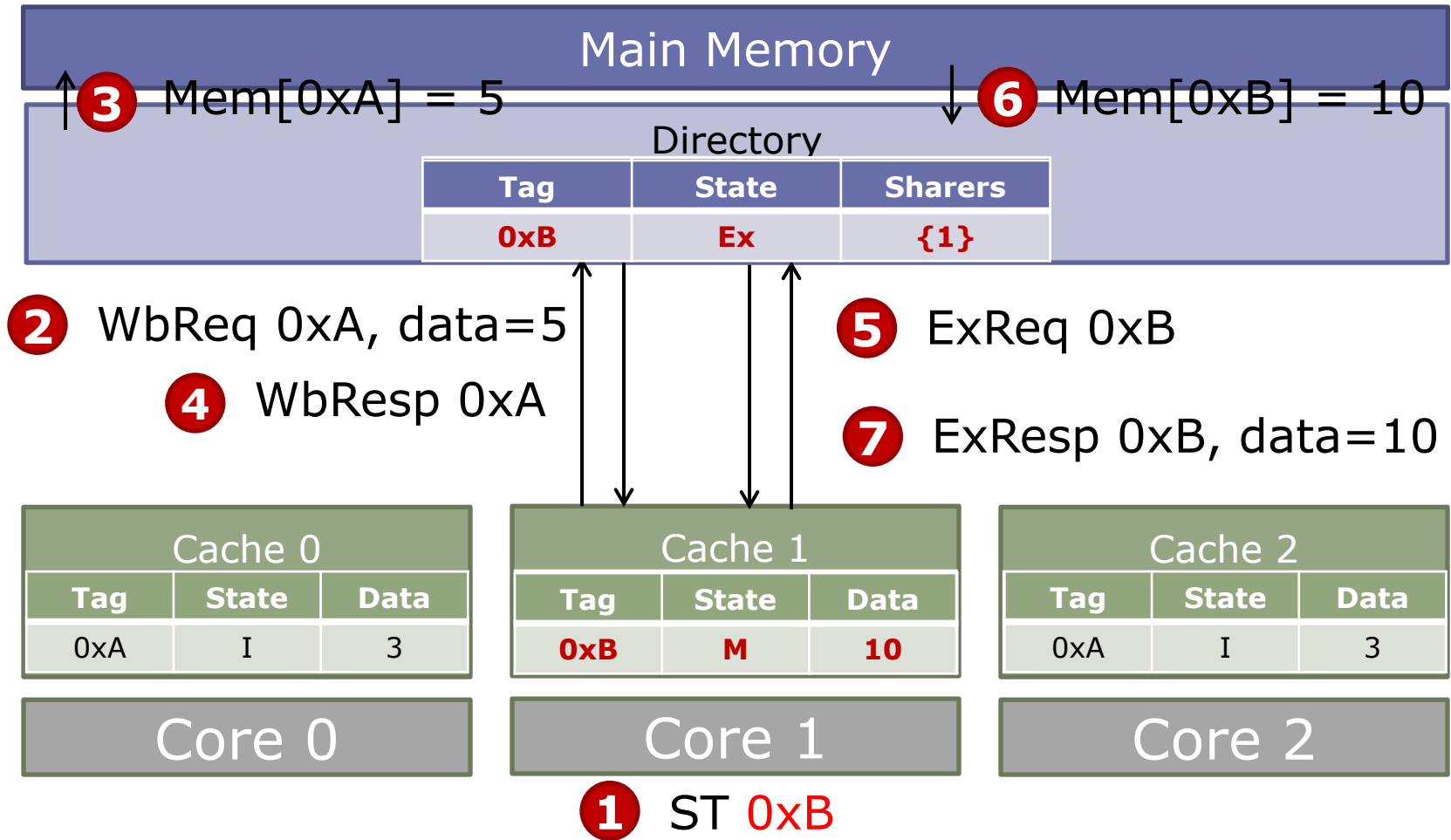




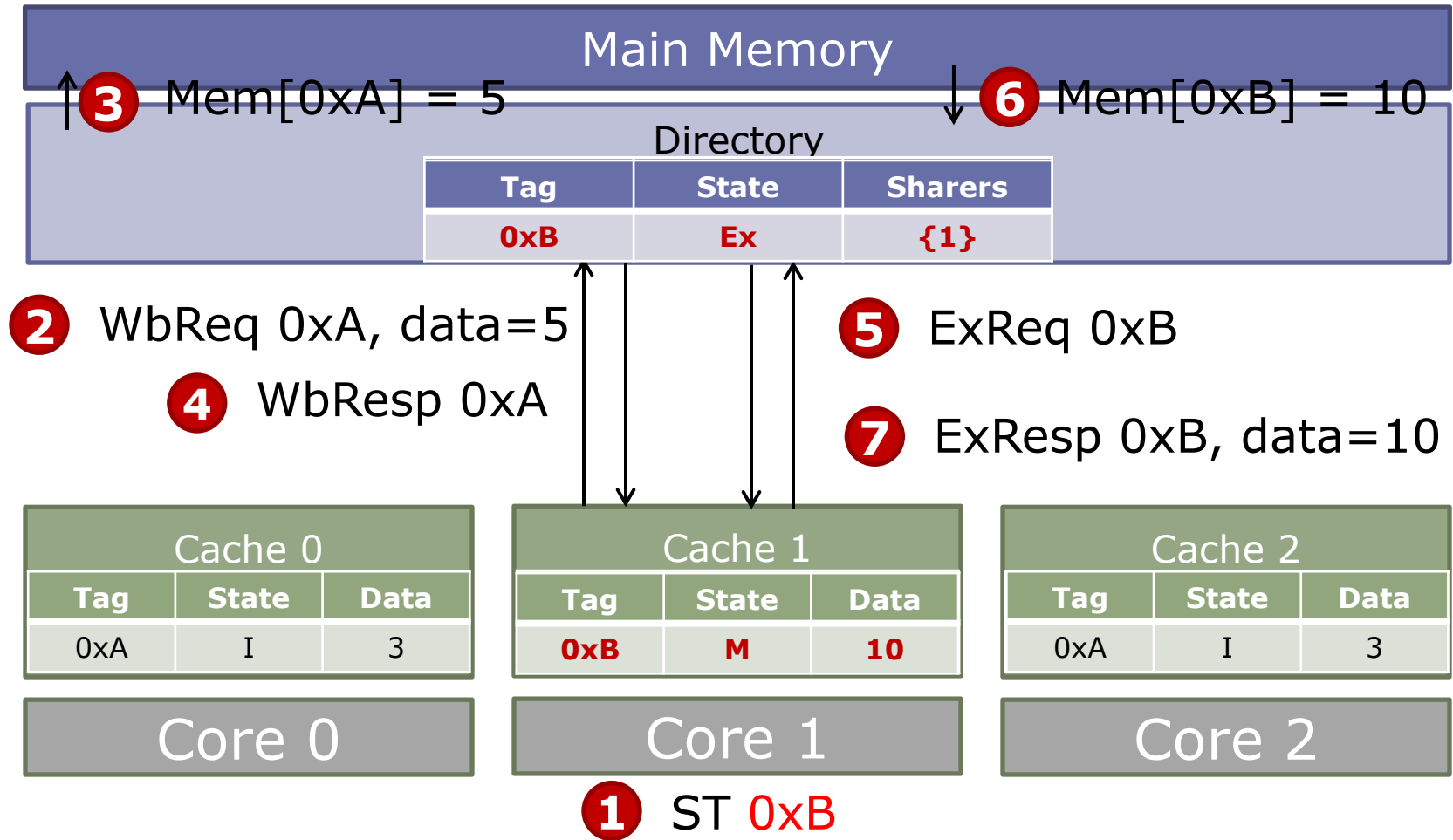
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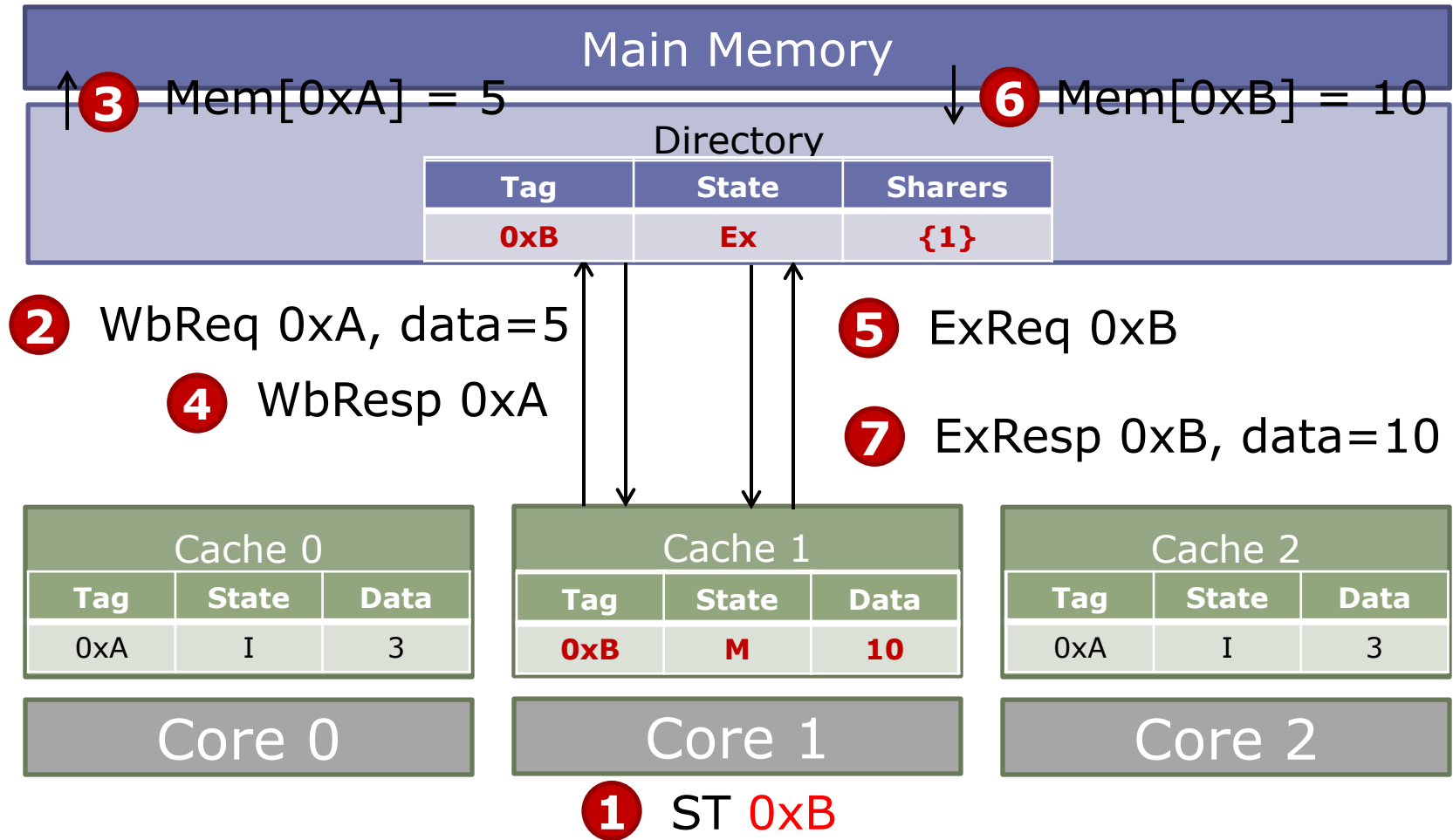


# MSI Directory Protocol Example



Why are 0xA's wb and 0xB's req serialized?

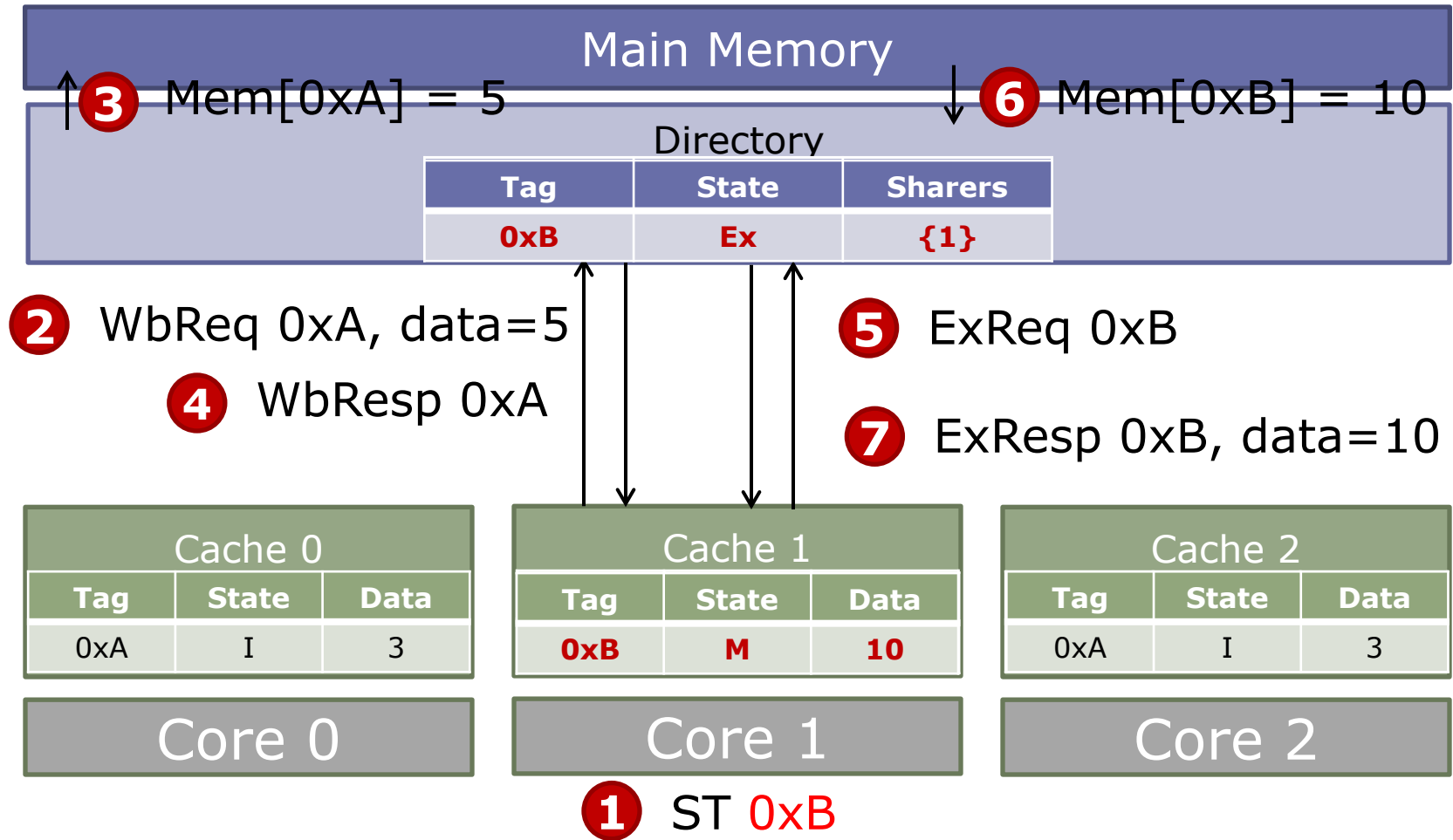
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Structural dependence

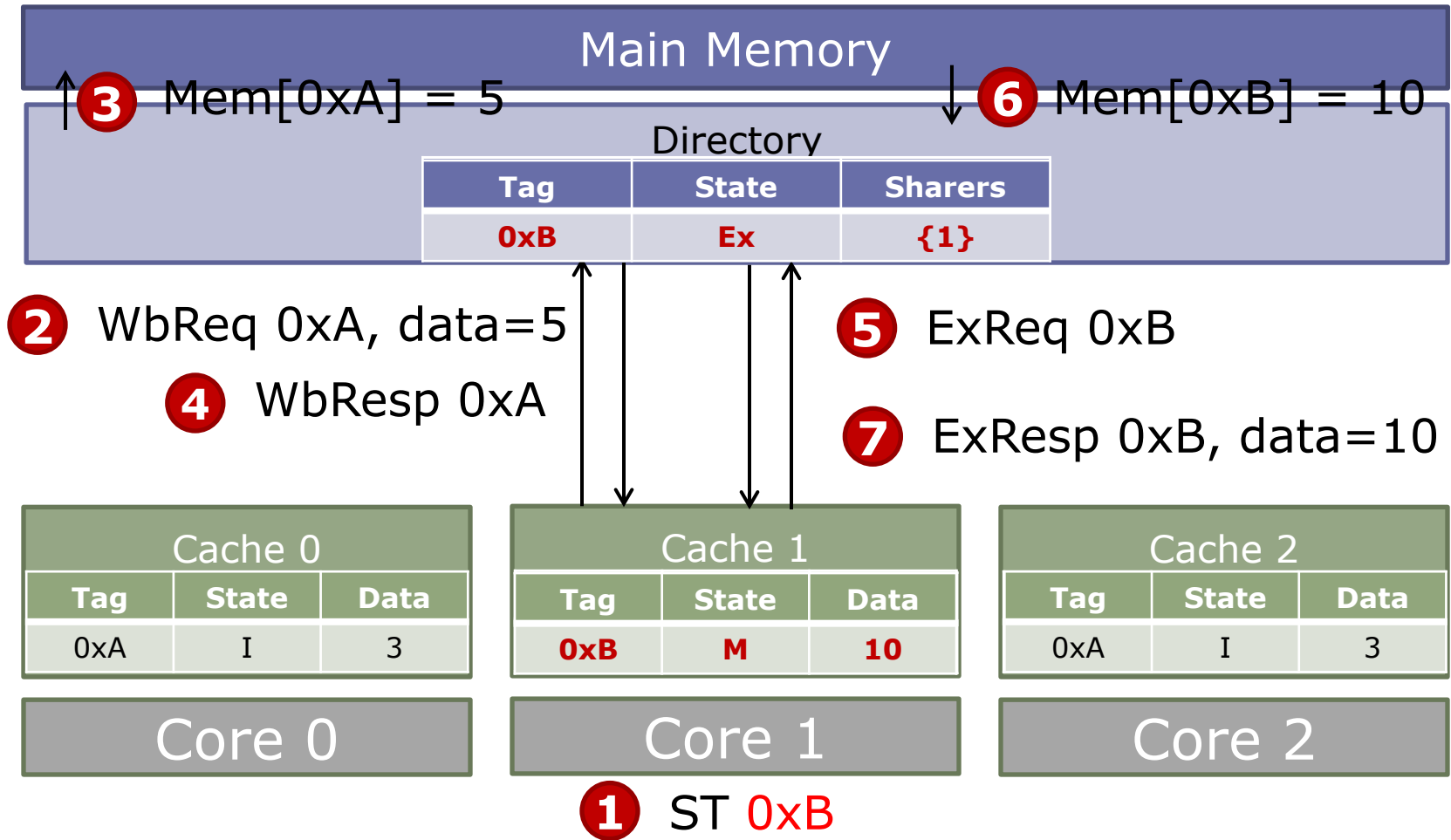
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# MSI Directory Protocol Example



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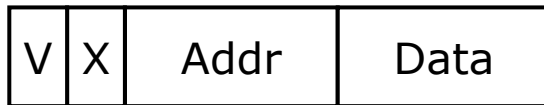
Possible solutions?      **Buffer outside of cache to hold write data**

# Miss Status Holding Register

---

MSHR – Holds load misses and writes outside of cache

MSHR entry

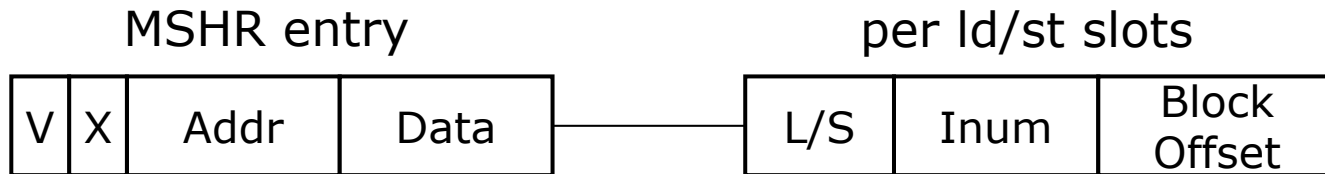


- On eviction/writeback
  - No free MSHR entry: stall
  - Allocate new MSHR entry
  - When channel available send WBReq and data
  - Deallocate entry on WBResp

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MSHR – Holds load misses and writes outside of cache



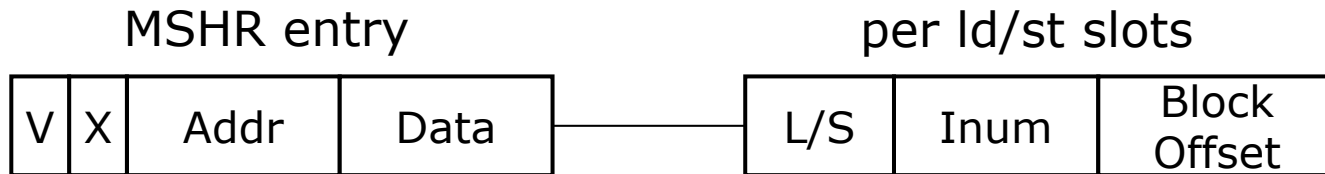
- On cache load miss
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  - Send ShReq (or ExReq)
  - On \*Resp forward data to CPU and cache
  - Deallocate MSHR



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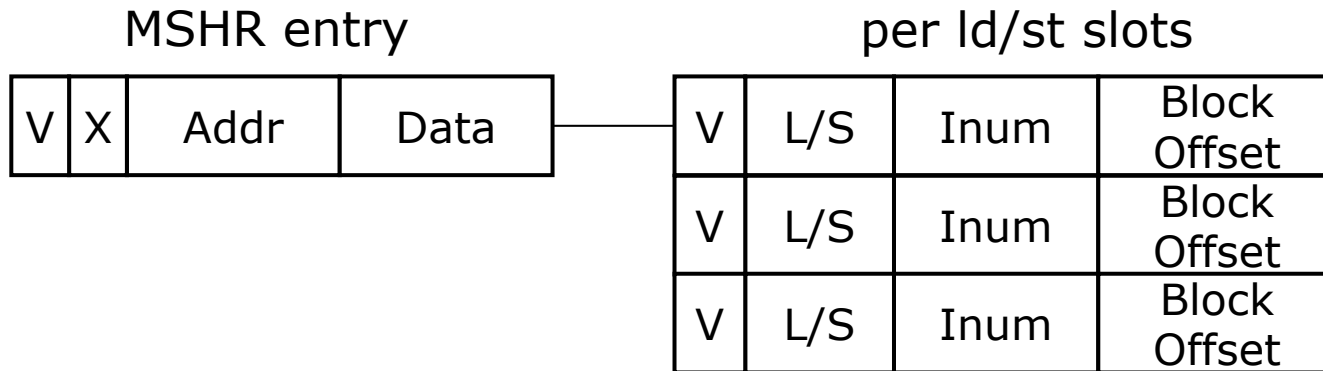
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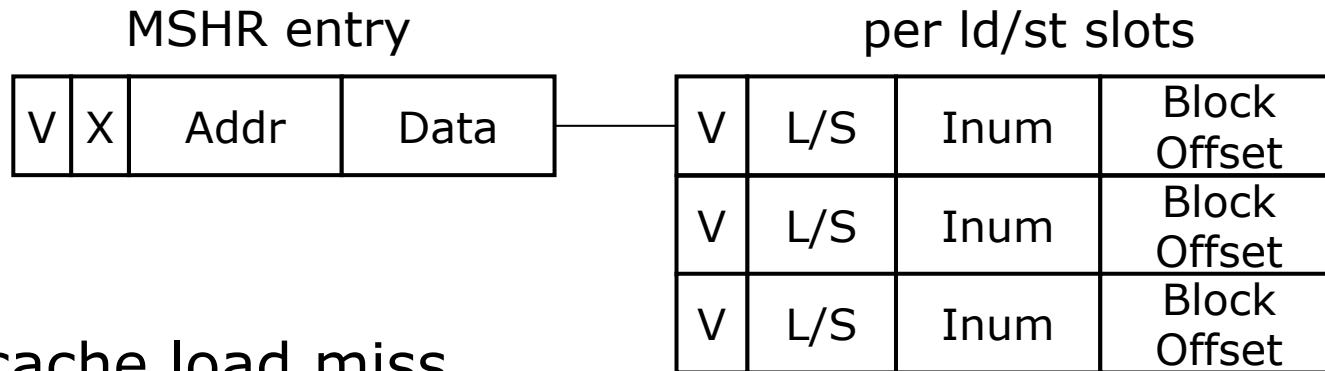
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Per Id/st slots allow servicing multiple requests with one entry

# Miss Status Holding Register

MSHR – Holds load misses and writes outside of cache



- On cache load miss
  - Look for matching address in MSHRs
    - If not found
      - If no free MSHR entry: stall
      - Allocate new MSHR entry and fill in
    - If found, just fill in per Id/st slot
  - Send ShReq (or ExReq)
  - On \*Resp forward data to CPU and cache
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Per Id/st slots allow servicing multiple requests with one entry

# Directory Organization

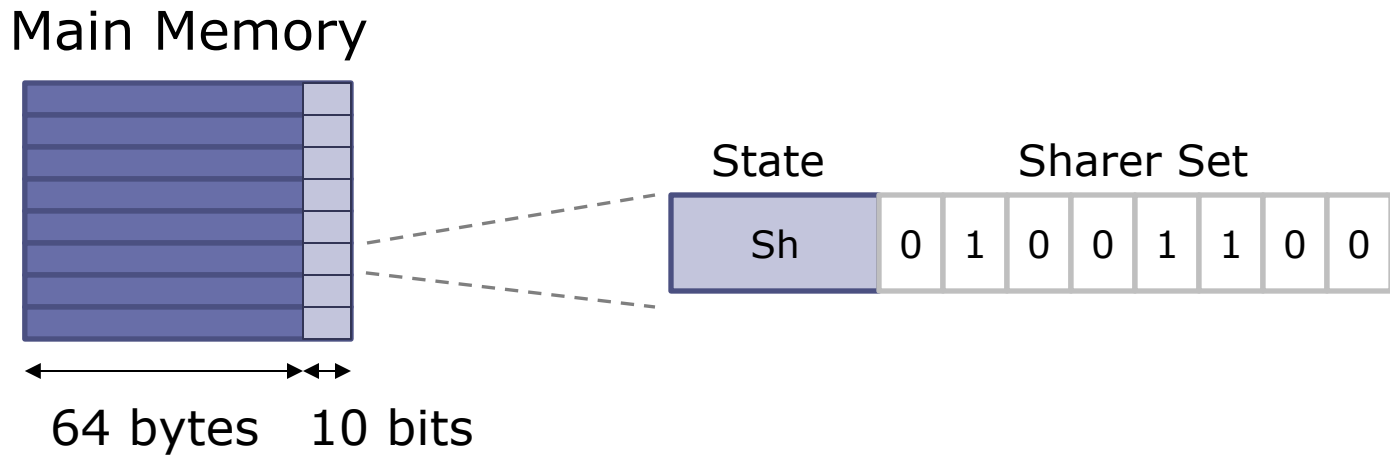
---

- Requirement: Directory needs to keep track of all the cores that are sharing a cache block
- Challenge: For each block, the space needed to hold the list of sharers grows with number of possible sharers...

# Flat, Memory-based Directories

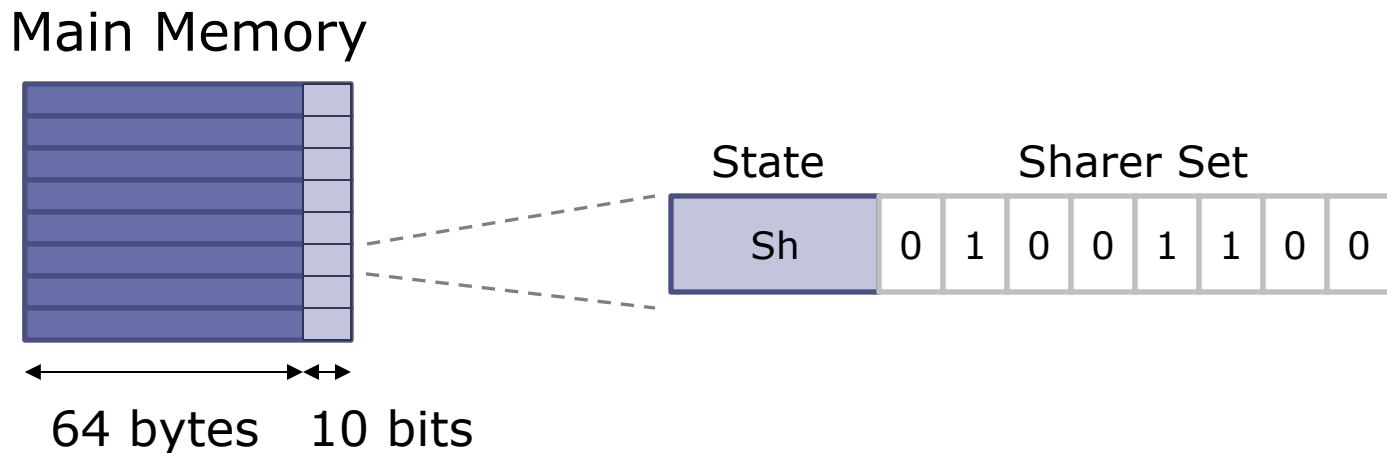
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- Encode sharers using a bit-vector



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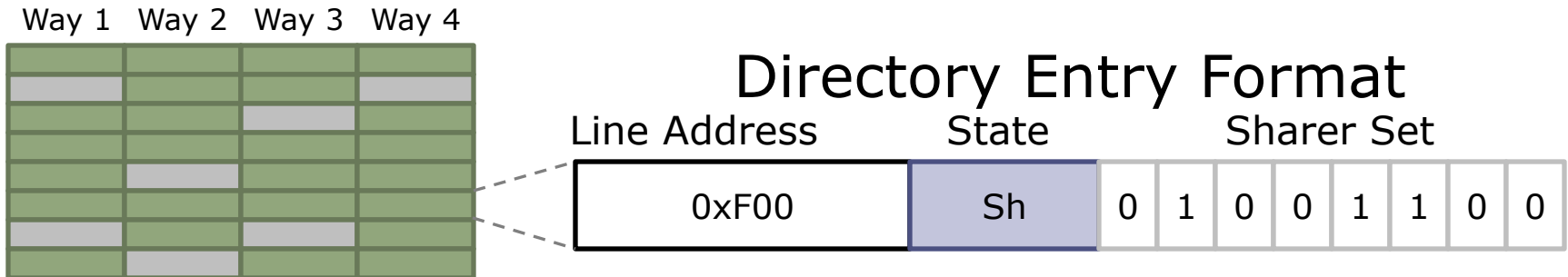
✓ Simple

✗ Slow

✗ Very inefficient with many processors ( $\sim P$  bits/line)

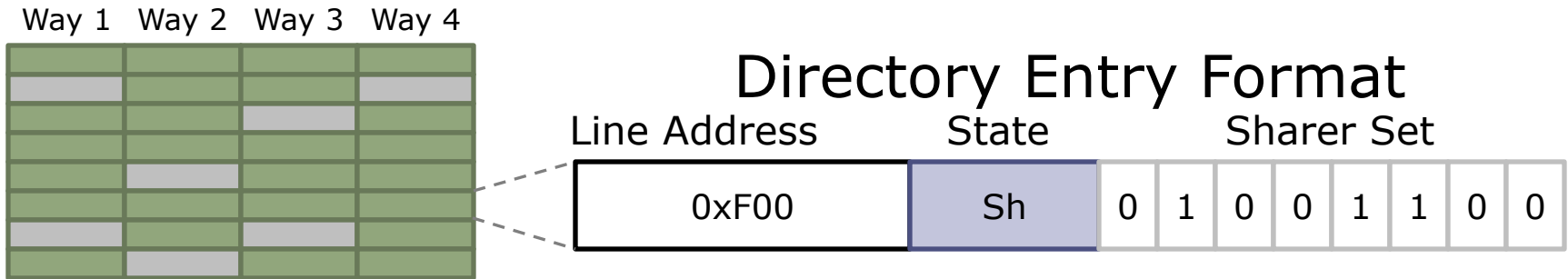
# Sparse Full-Map Directories

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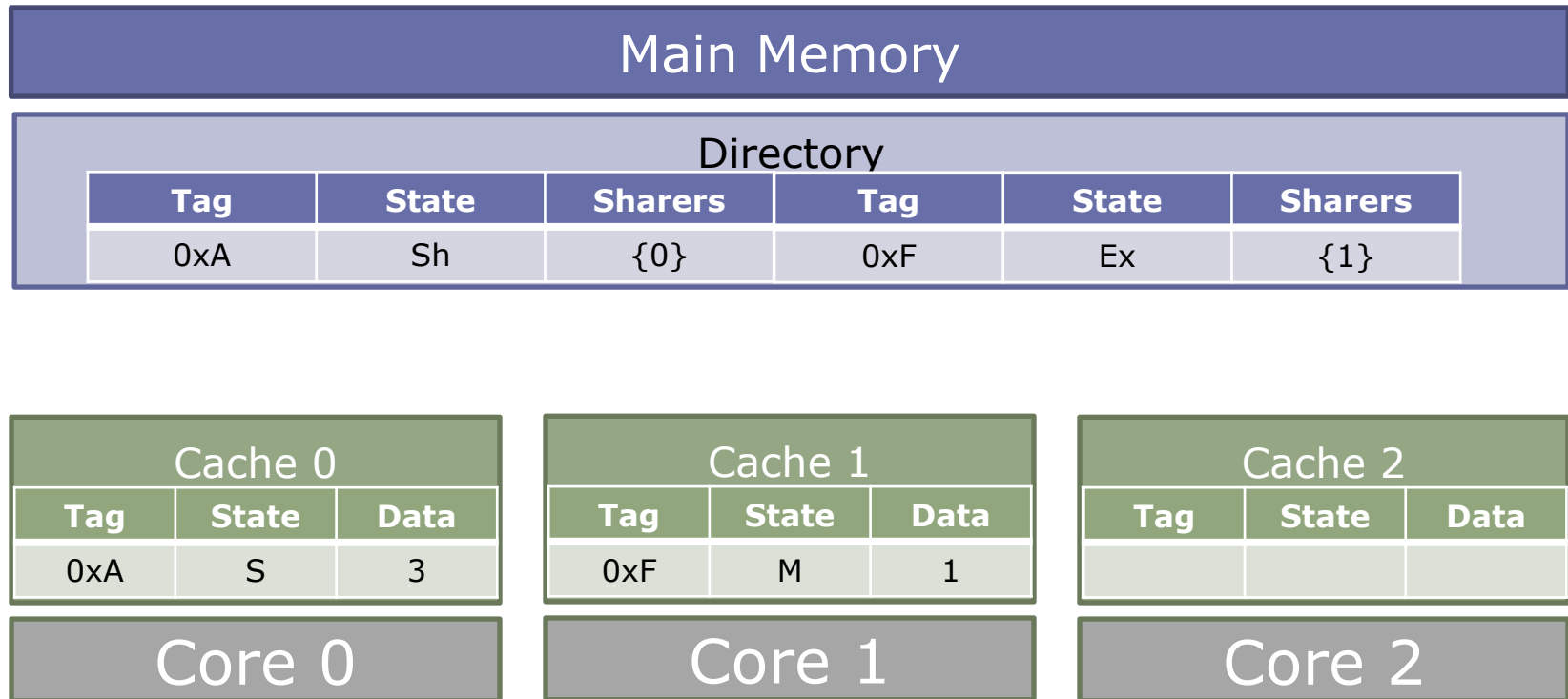


- ✓ Low latency, energy-efficient
- ✗ Bit-vectors grow with # cores → Area scales poorly
- ✗ Limited associativity → Directory-induced invalidations



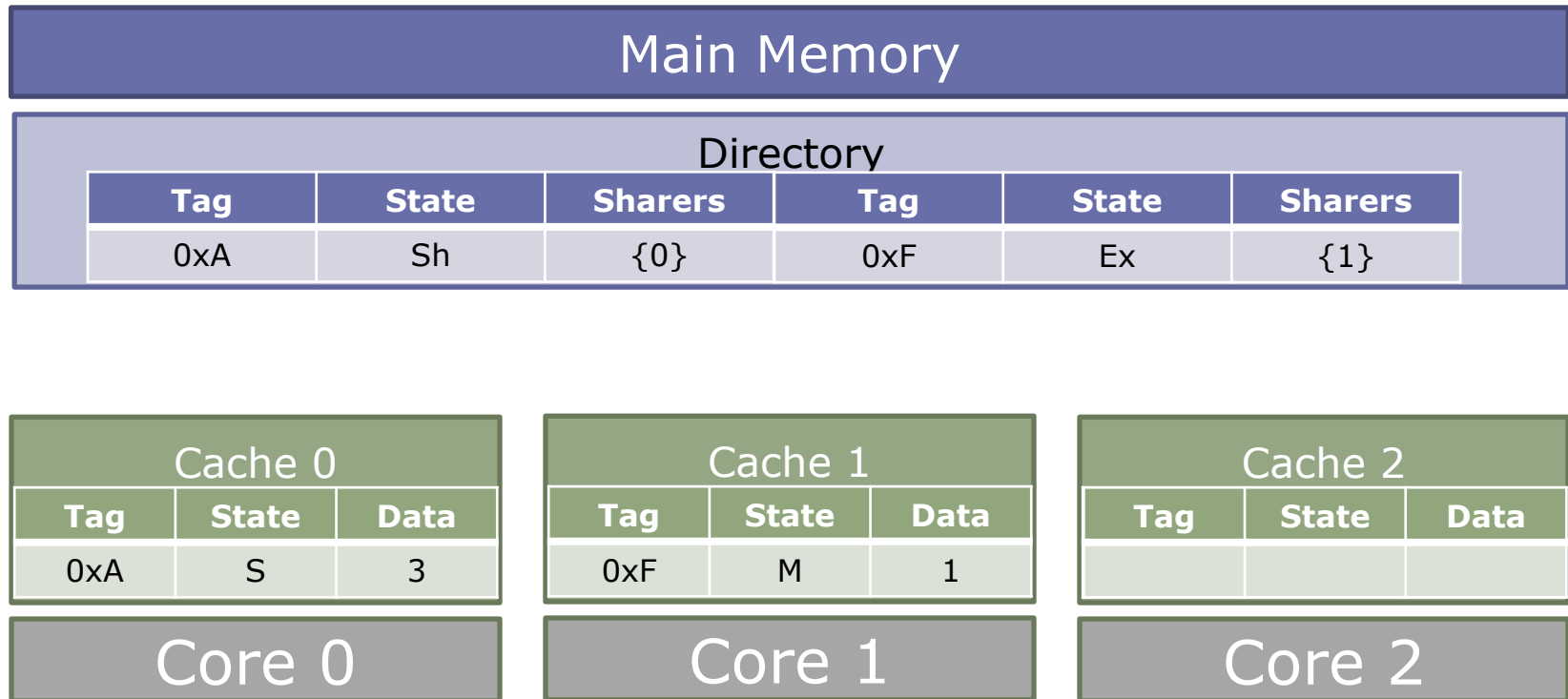
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- To retain **inclusion**, must invalidate all sharers of an entry before reusing it for another address
- Example: 2-way set-associative sparse directory



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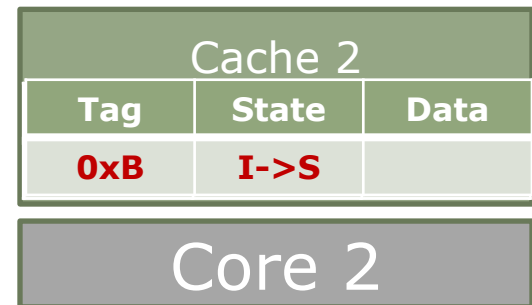
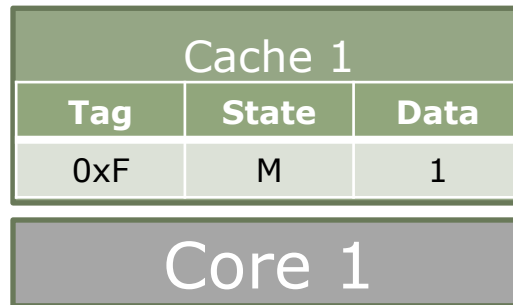
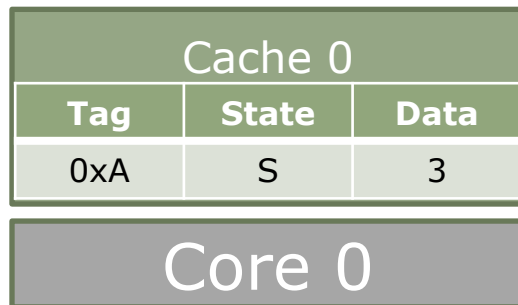
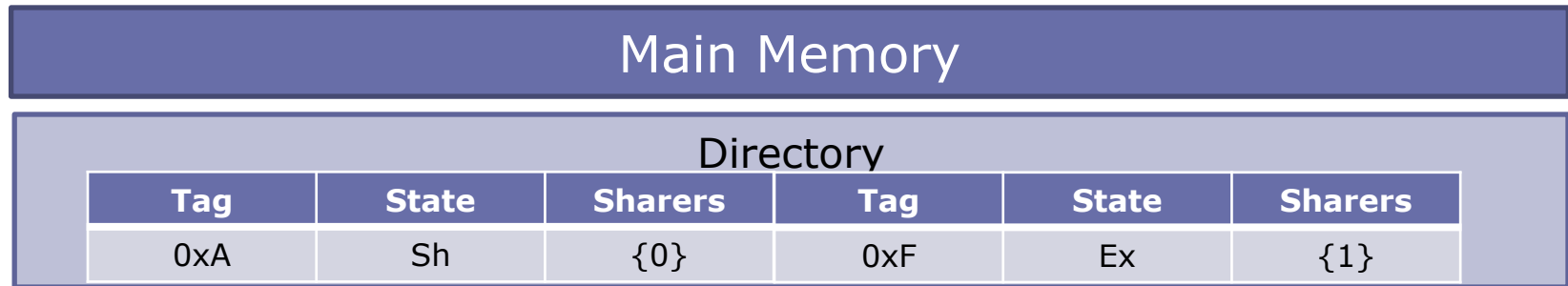
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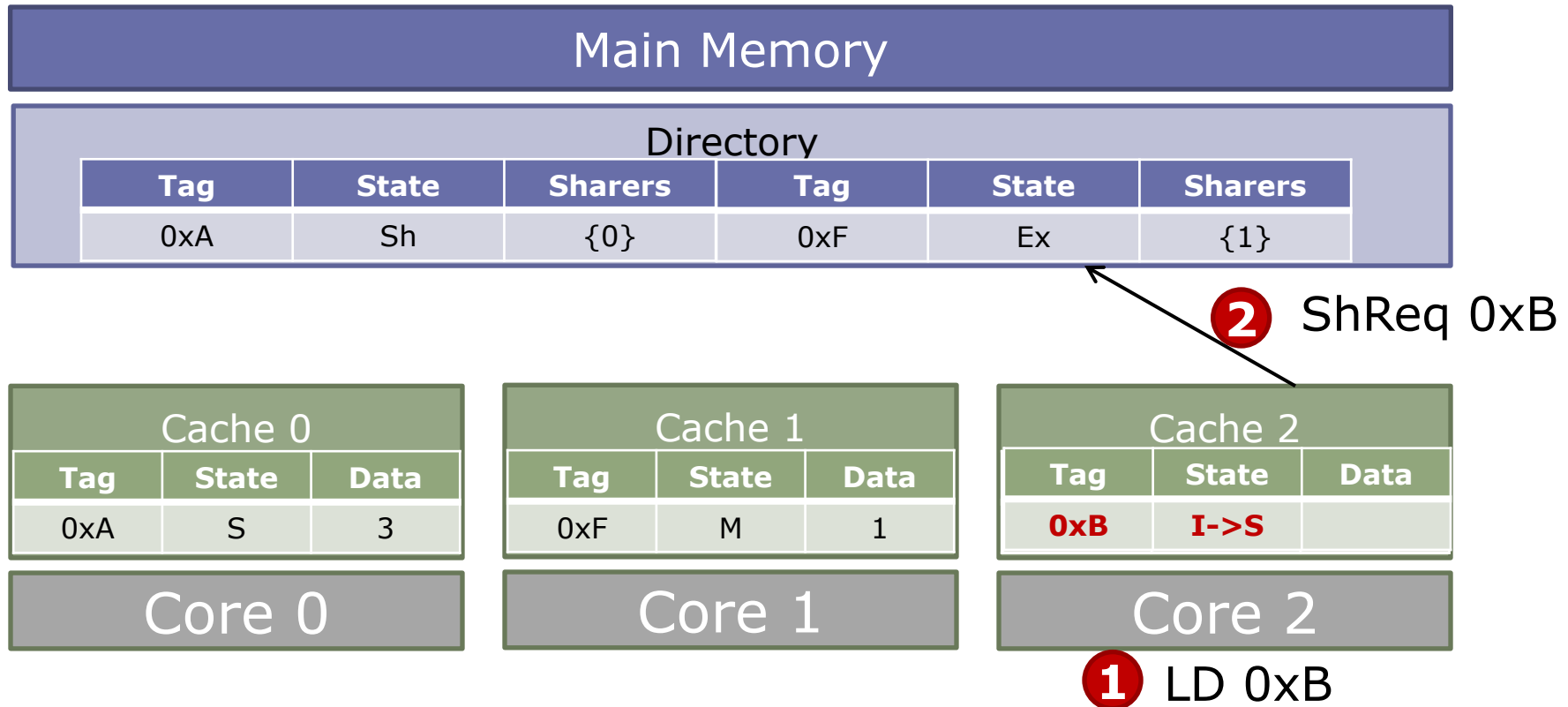
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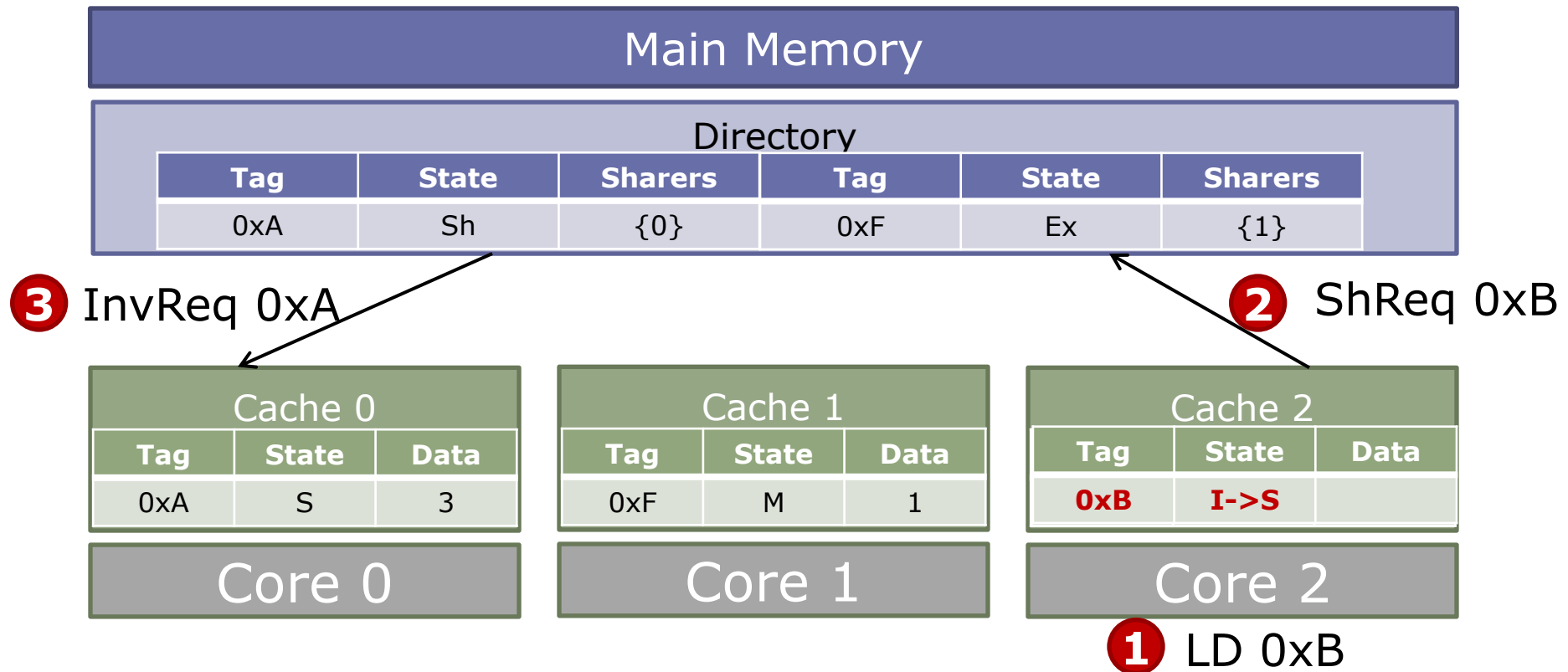
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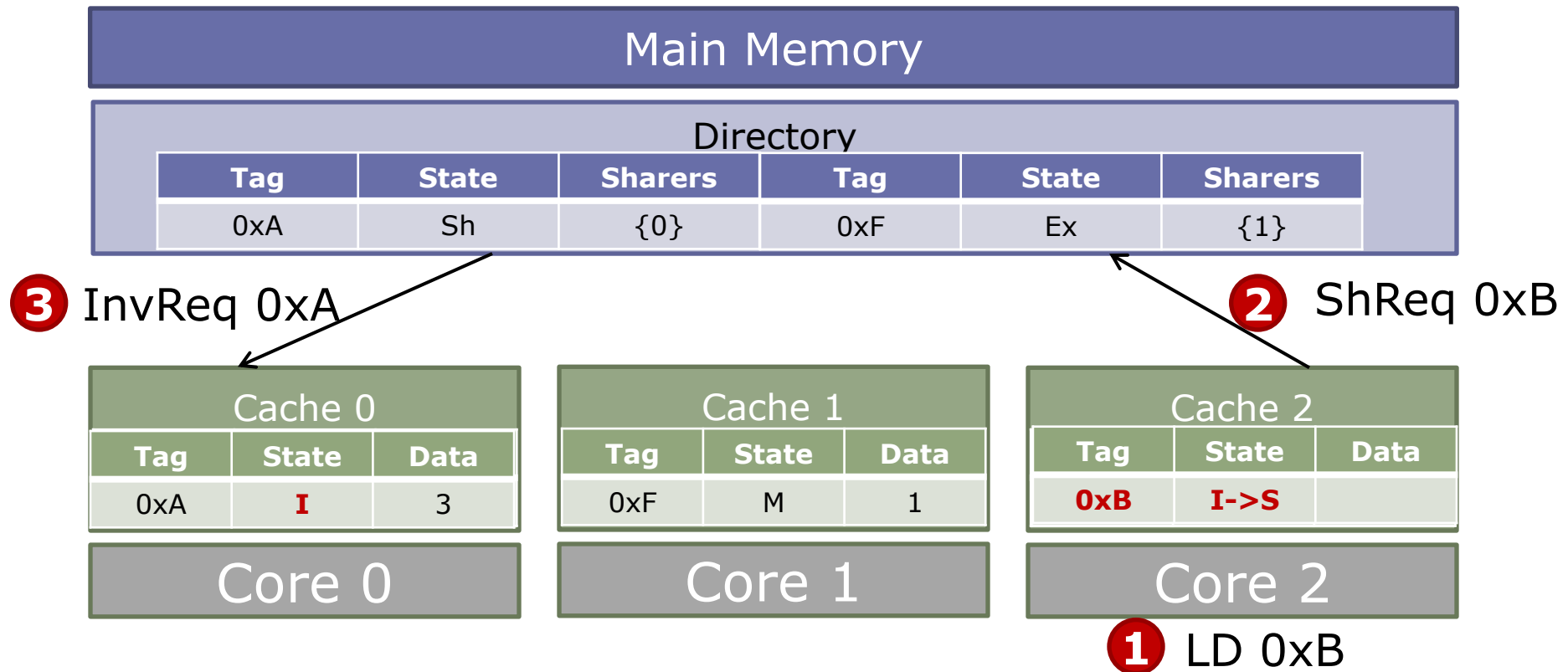
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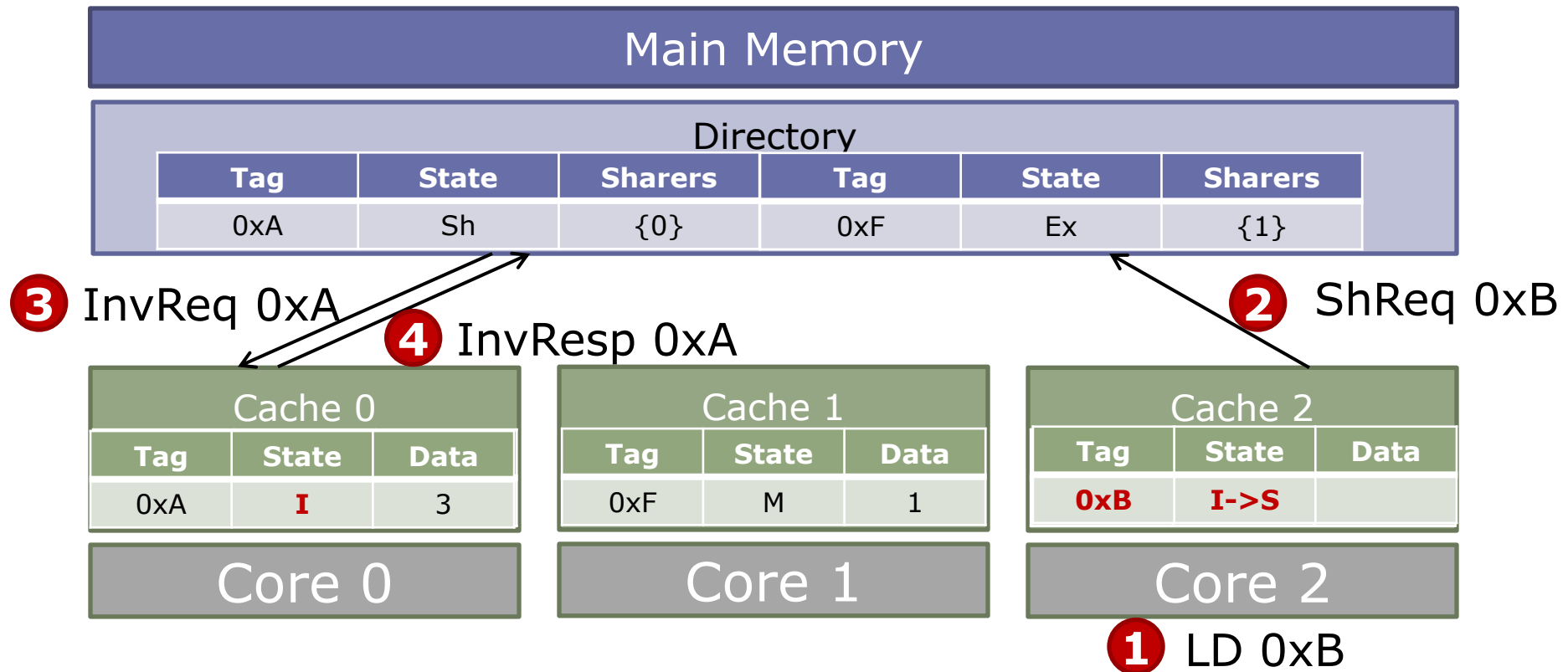
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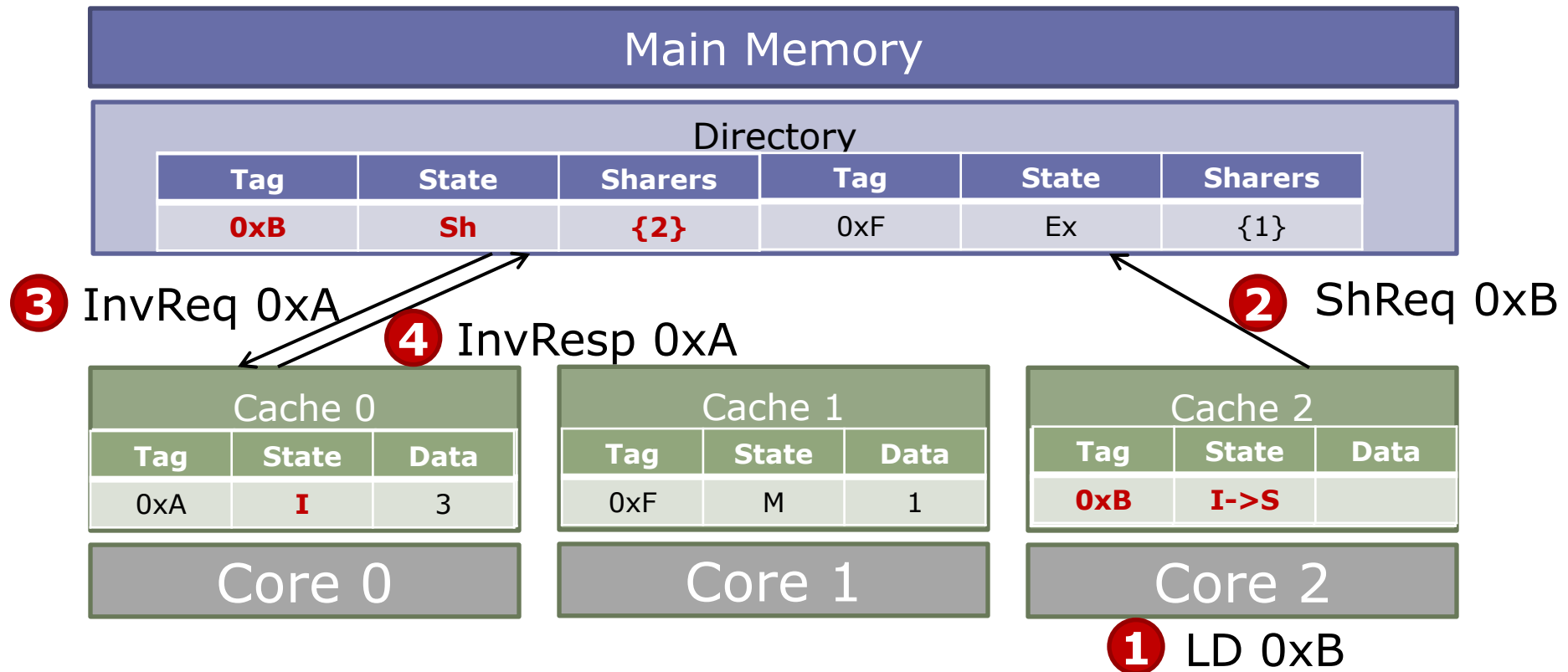
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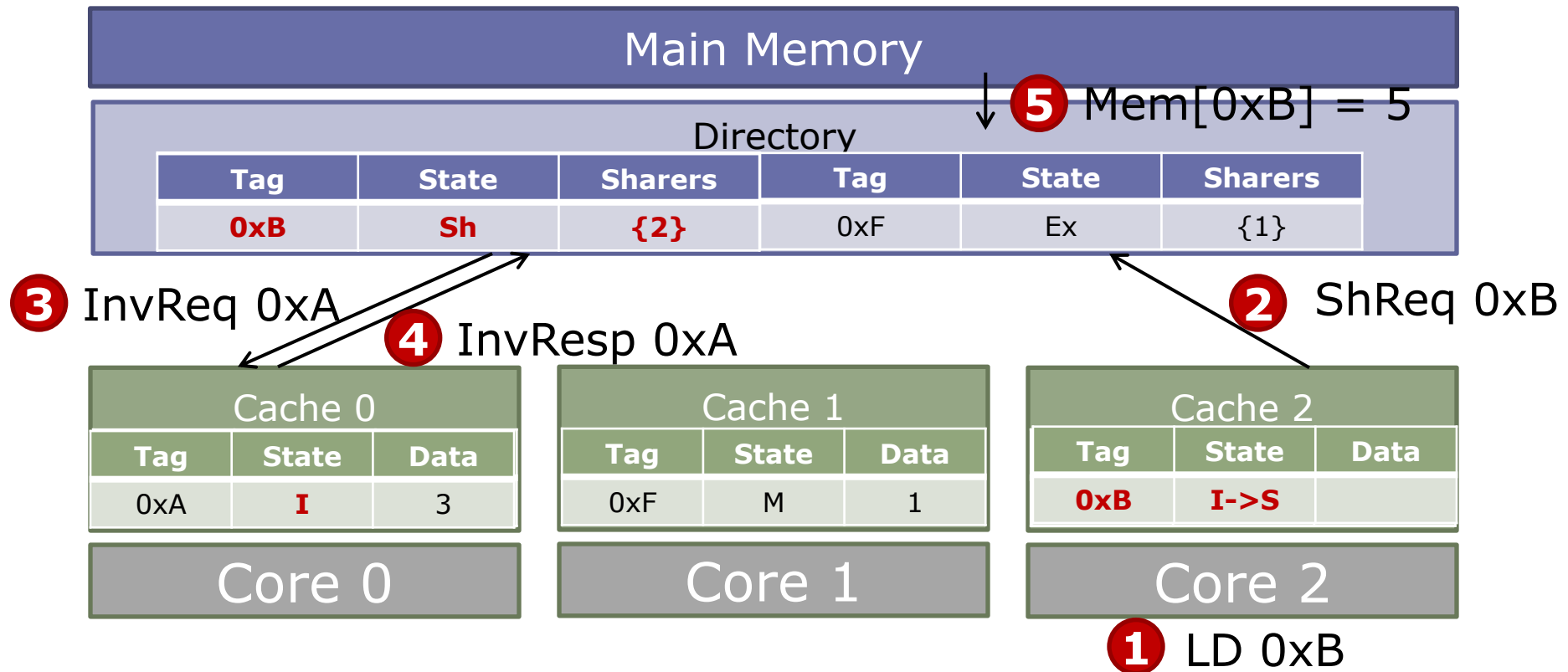
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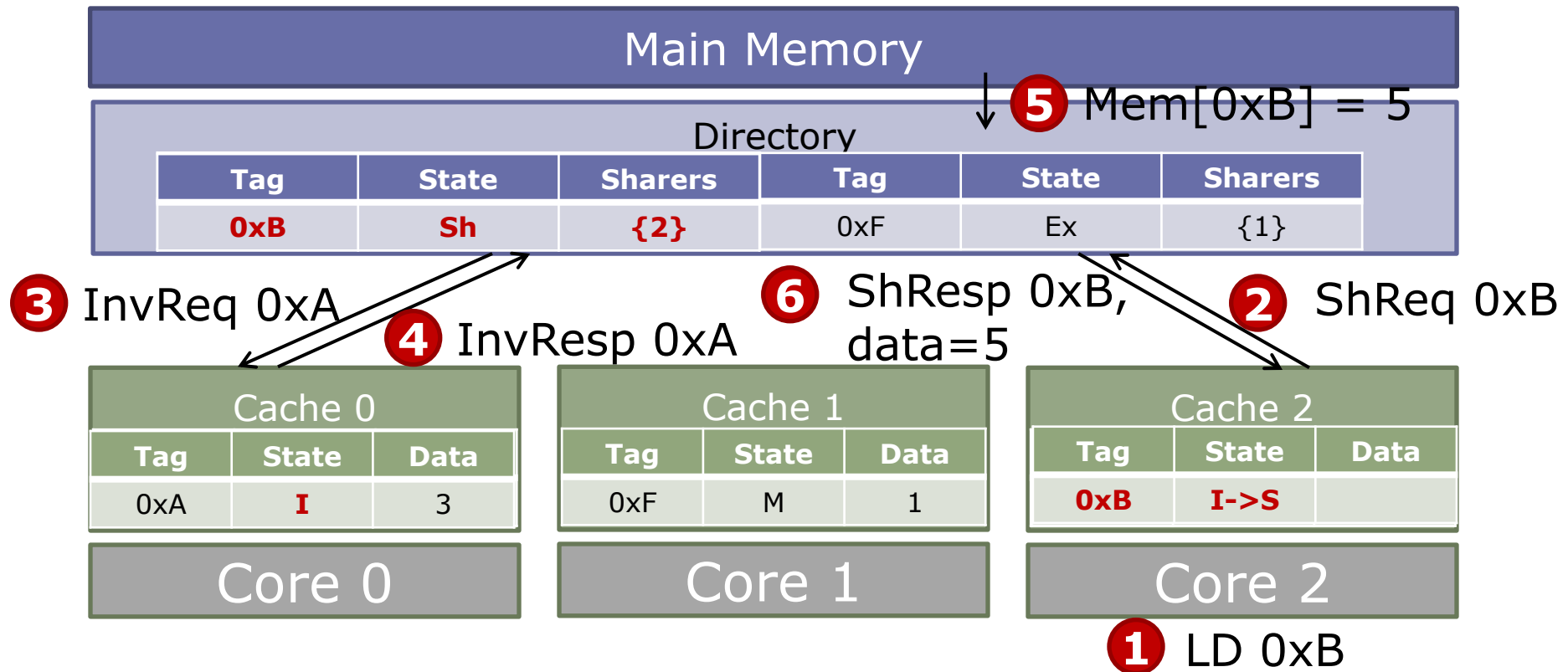
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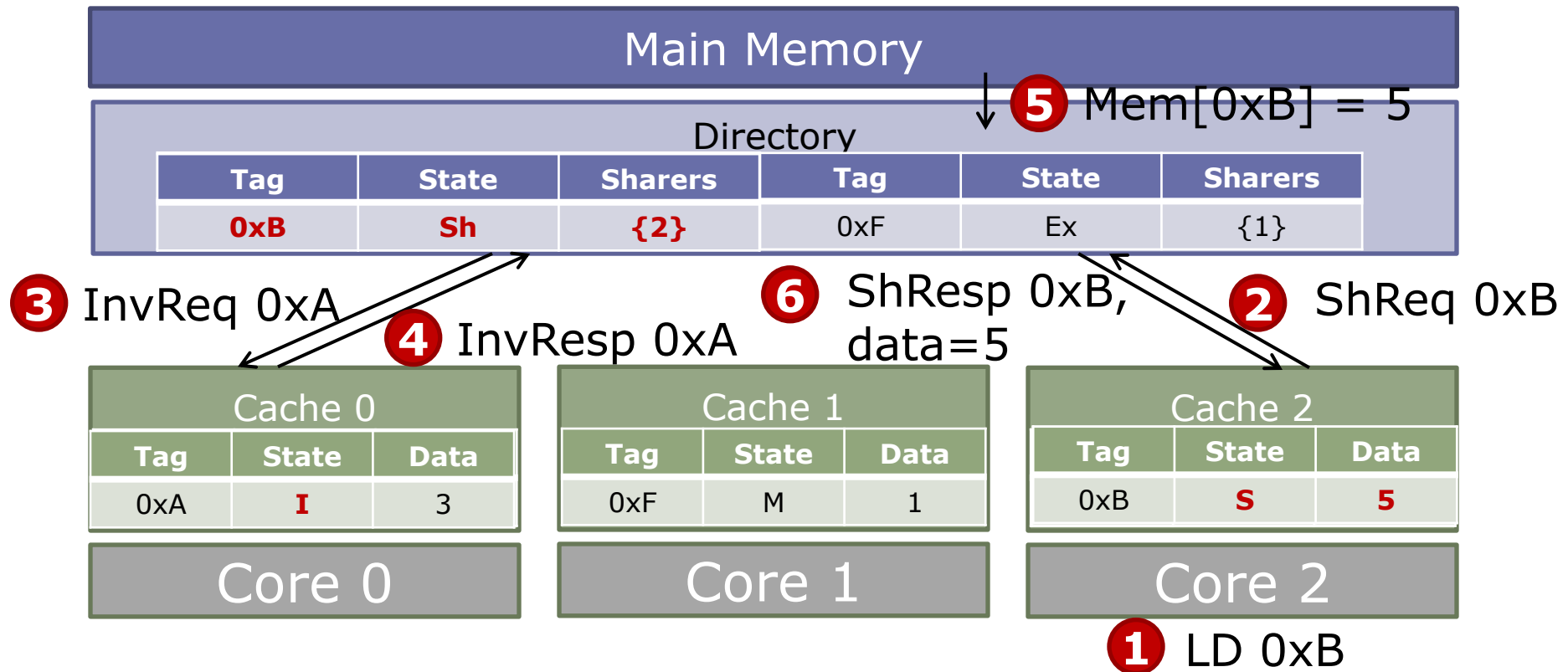
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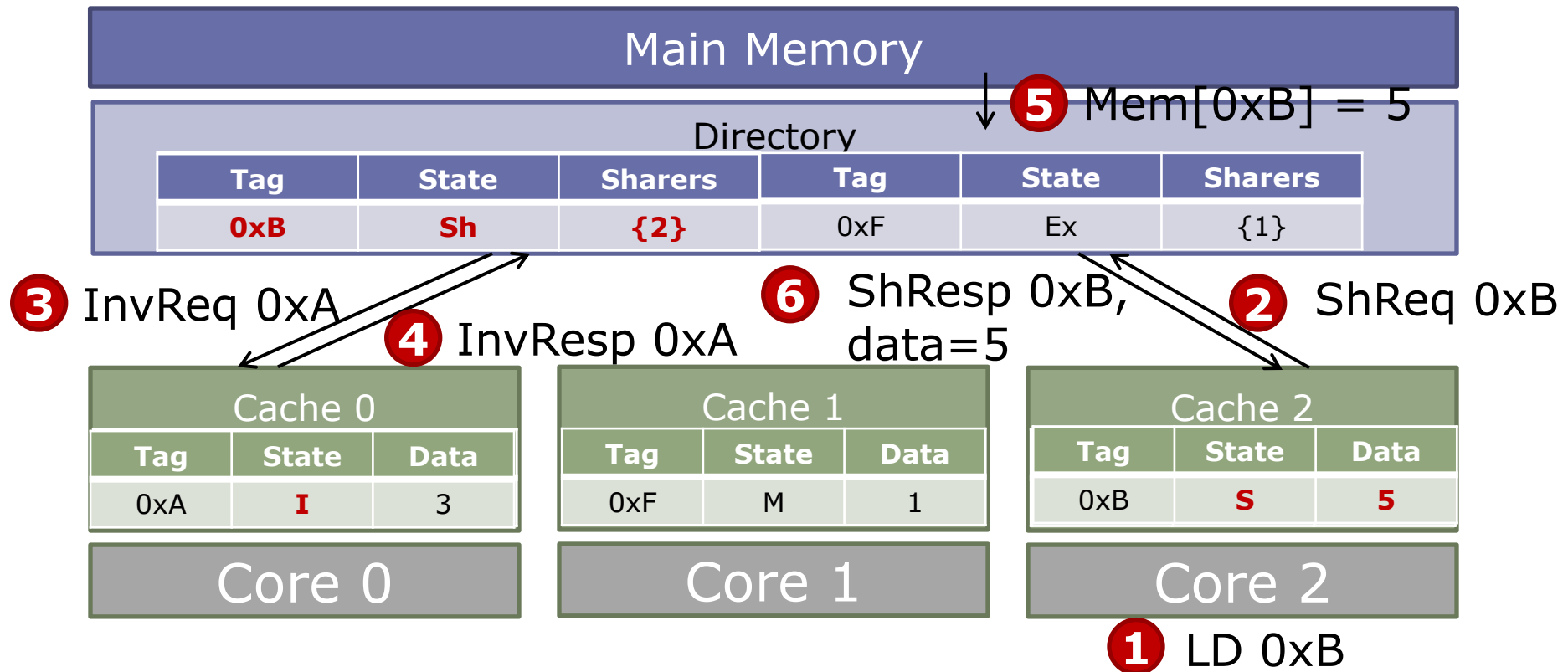
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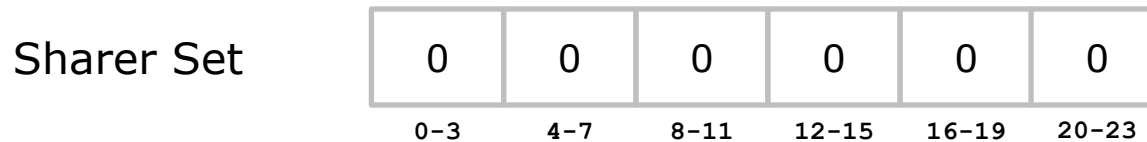


*How many entries should the directory have?*

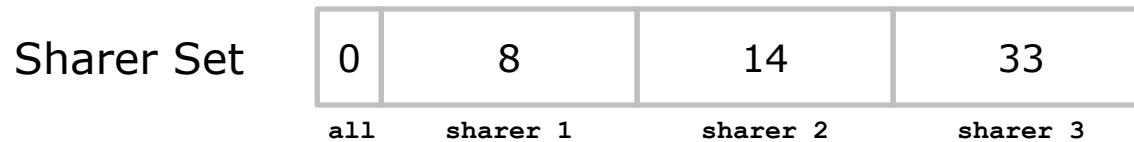
# Inexact Representations of Sharer Sets

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- Limited pointers: Maintain a few sharer pointers, on overflow mark 'all' and broadcast (or invalidate another sharer)

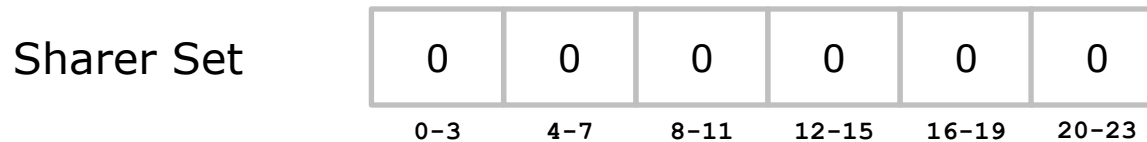


- Allow false positives (e.g., Bloom filters)

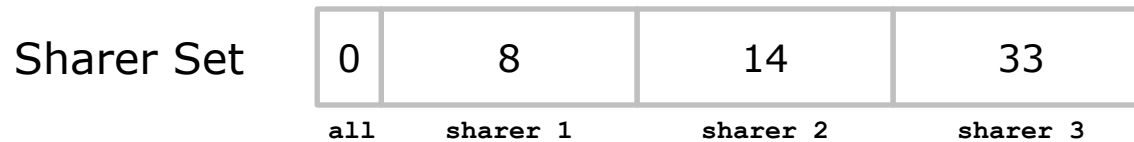
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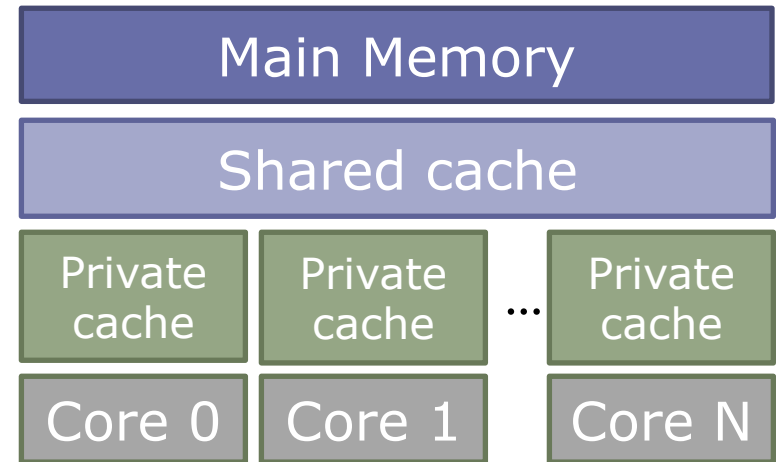


- Allow false positives (e.g., Bloom filters)
  - ✓ Reduced area & energy
  - ✗ Overheads still not scalable (these techniques simply play with constant factors)
  - ✗ Inexact sharers → Broadcasts, invalidations or spurious invalidations and downgrades

# In-Cache Directories

---

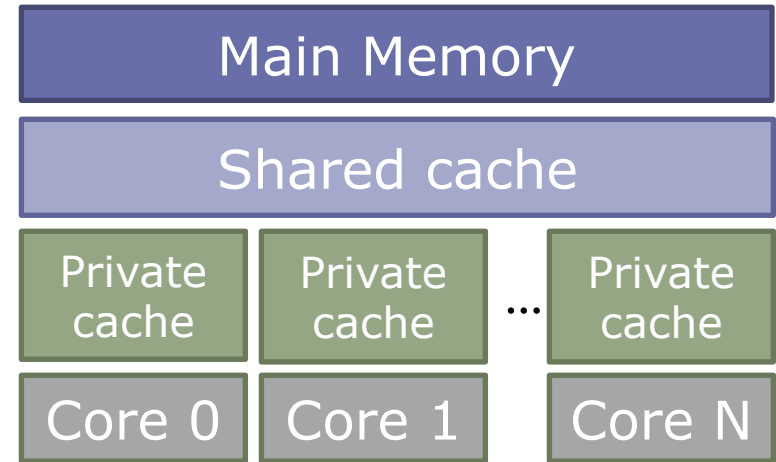
- Common multicore memory hierarchy:
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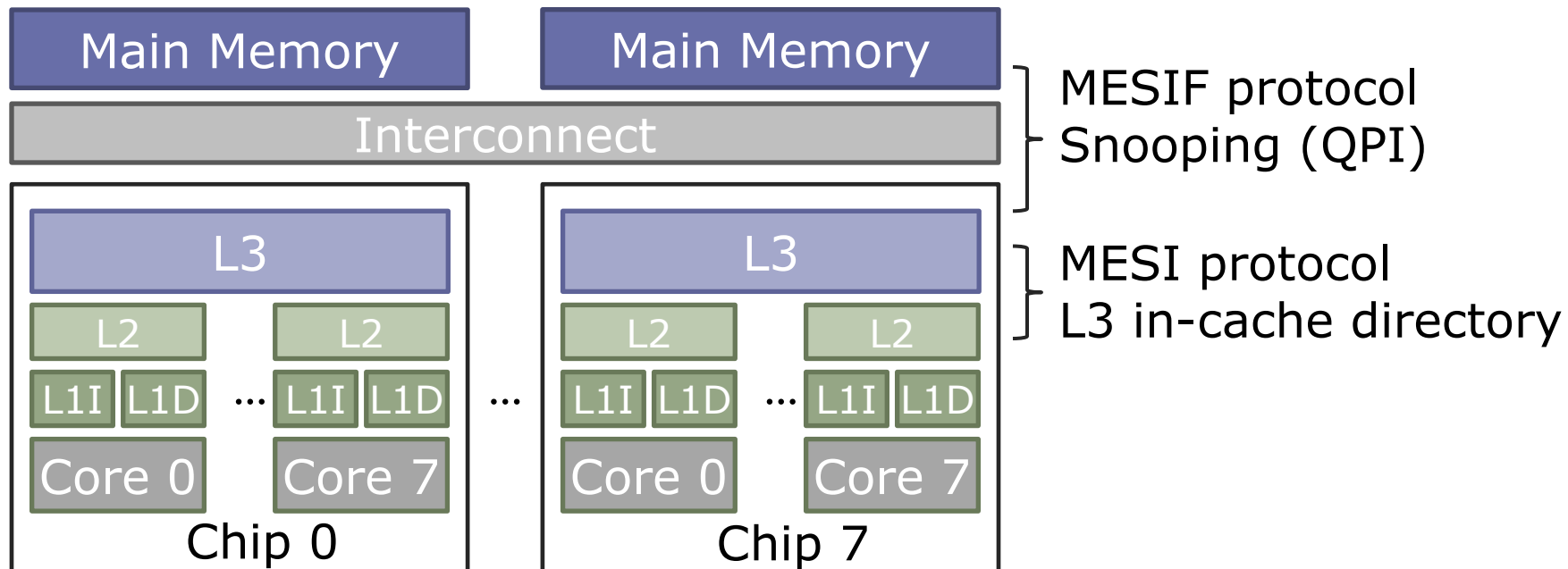


- ✓ Avoids tag overheads & separate lookups
- ✗ Can be inefficient if shared cache size  $\gg$  sum(private cache sizes)



# Coherence in Multi-Level Hierarchies

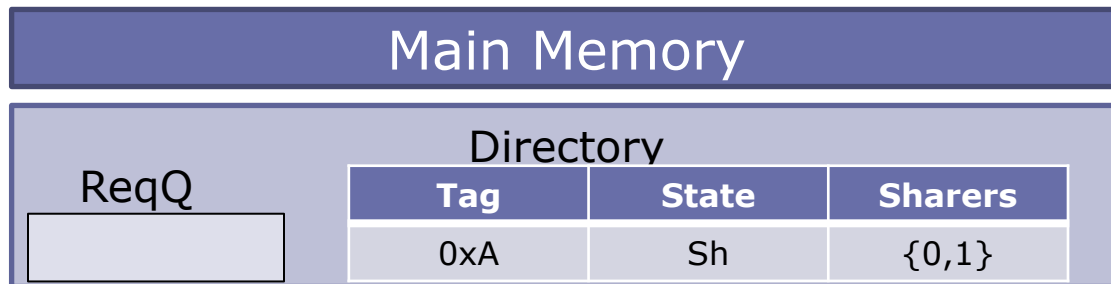
- Can use the same or different protocols to keep coherence across multiple levels
- Key invariant: Ensure sufficient permissions in all intermediate levels
- Example: 8-socket Xeon E7 (8 cores/socket)



# Protocol Races

---

- Directory serializes multiple requests for the same address
  - Same-address requests are queued or NACKed and retried
- But races still exist due to conflicting requests
- Example: Upgrade race



Cache 0		
Tag	State	Data
0xA	S	3

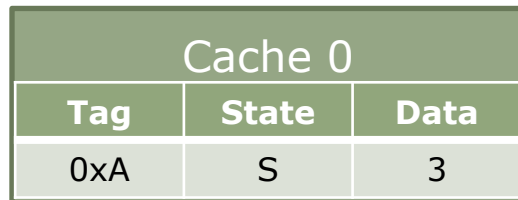
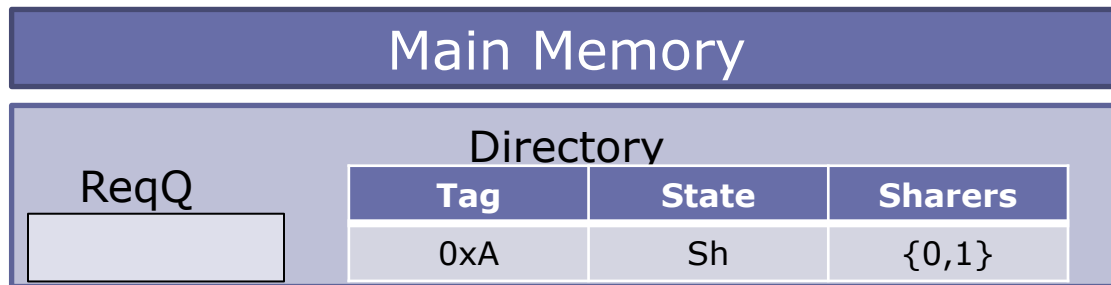
Core 0

Cache 1		
Tag	State	Data
0xA	S	3

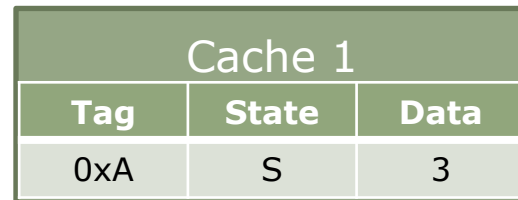
Core 1

# Protocol Races

- Directory serializes multiple requests for the same address
  - Same-address requests are queued or NACKed and retried
- But races still exist due to conflicting requests
- Example: Upgrade race



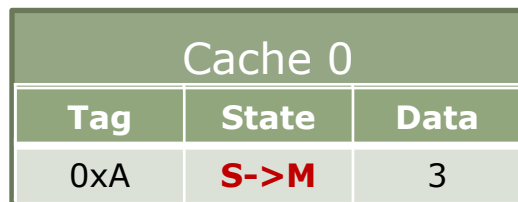
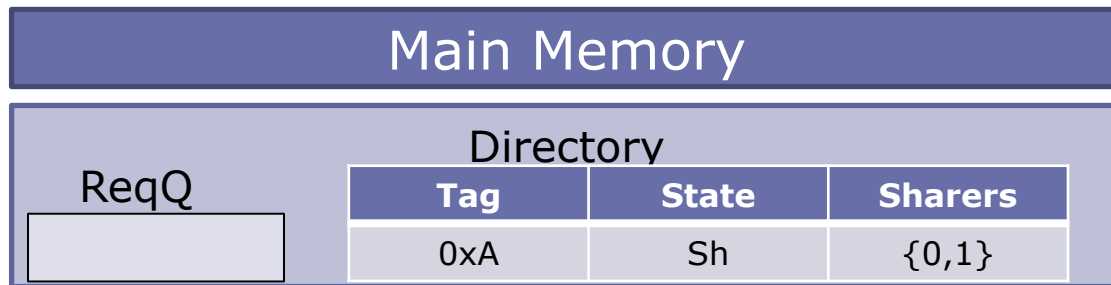
**1** ST 0xA



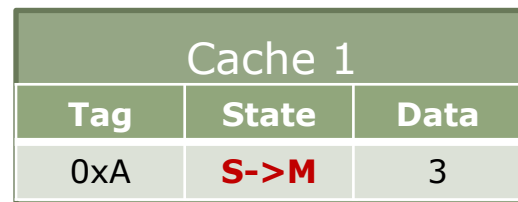
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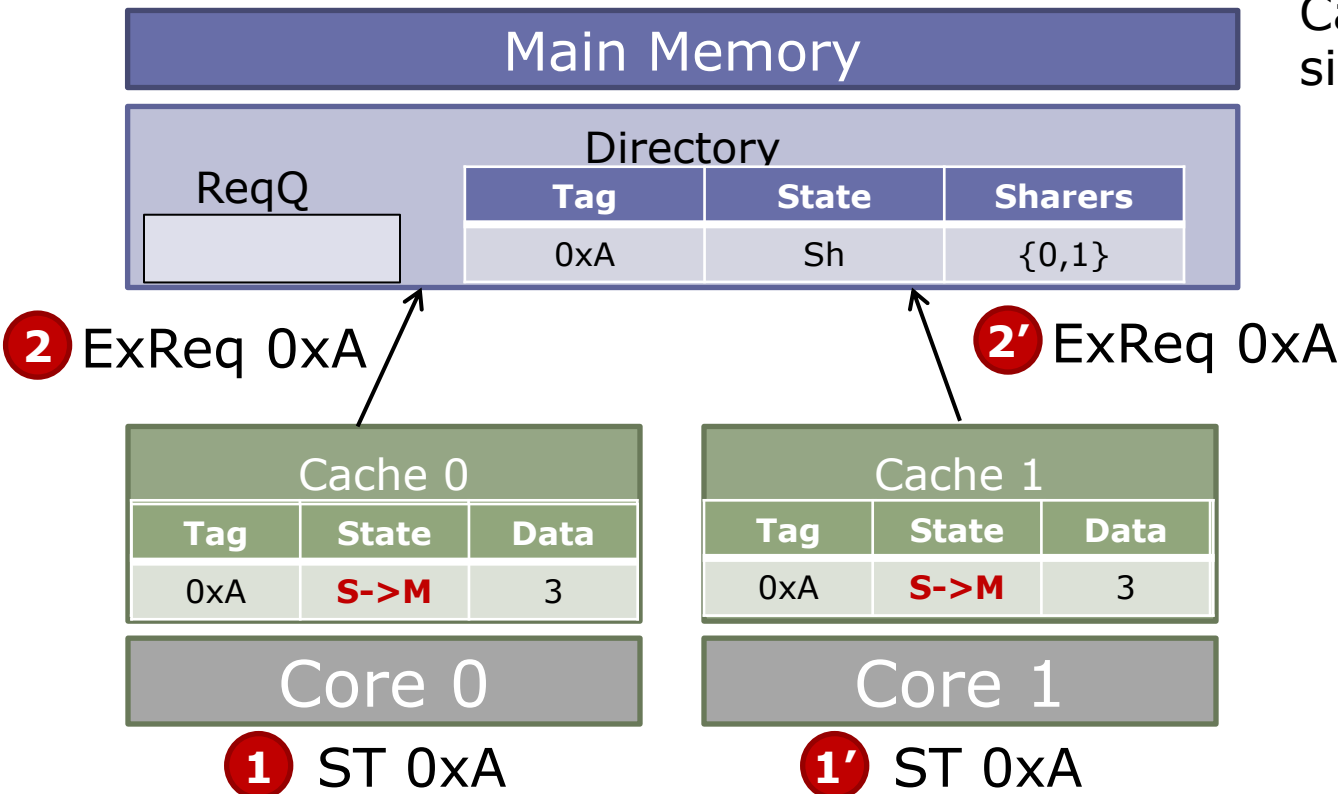


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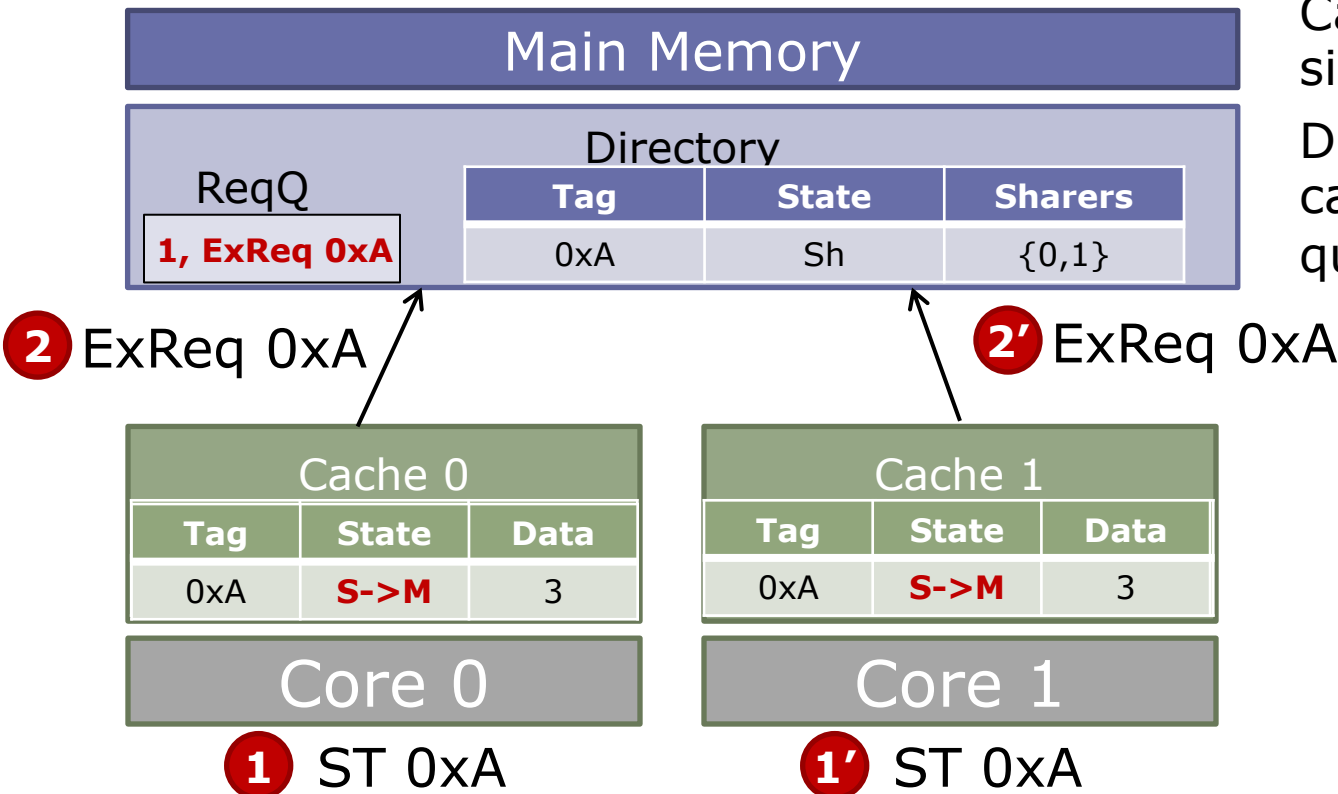
Caches 0 and 1 issue simultaneous ExReqs



# Protocol Races

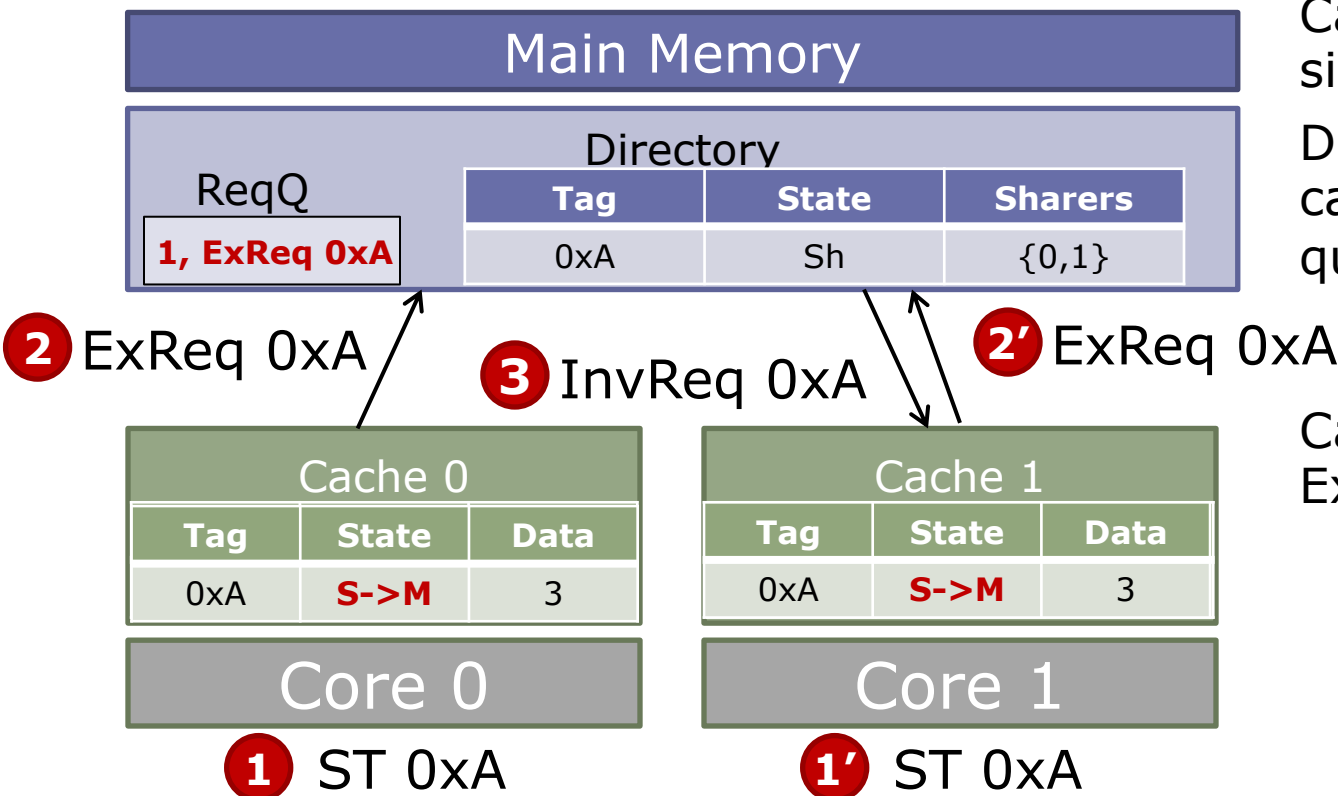
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Caches 0 and 1 issue simultaneous ExReqs  
 Directory starts serving cache 0's ExReq,  
 queues cache 1's



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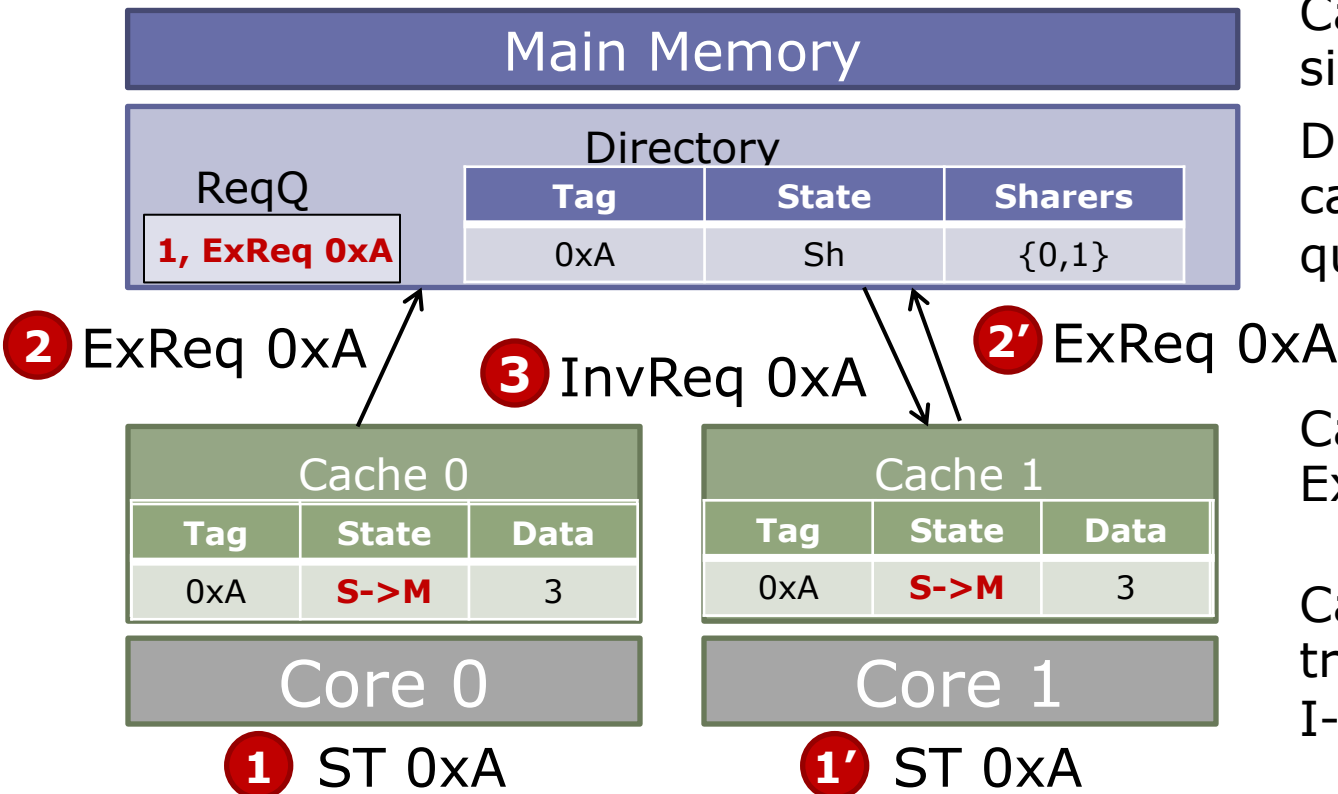


Caches 0 and 1 issue simultaneous ExReqs  
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Cache 1 expected ExResp, but got InvReq!

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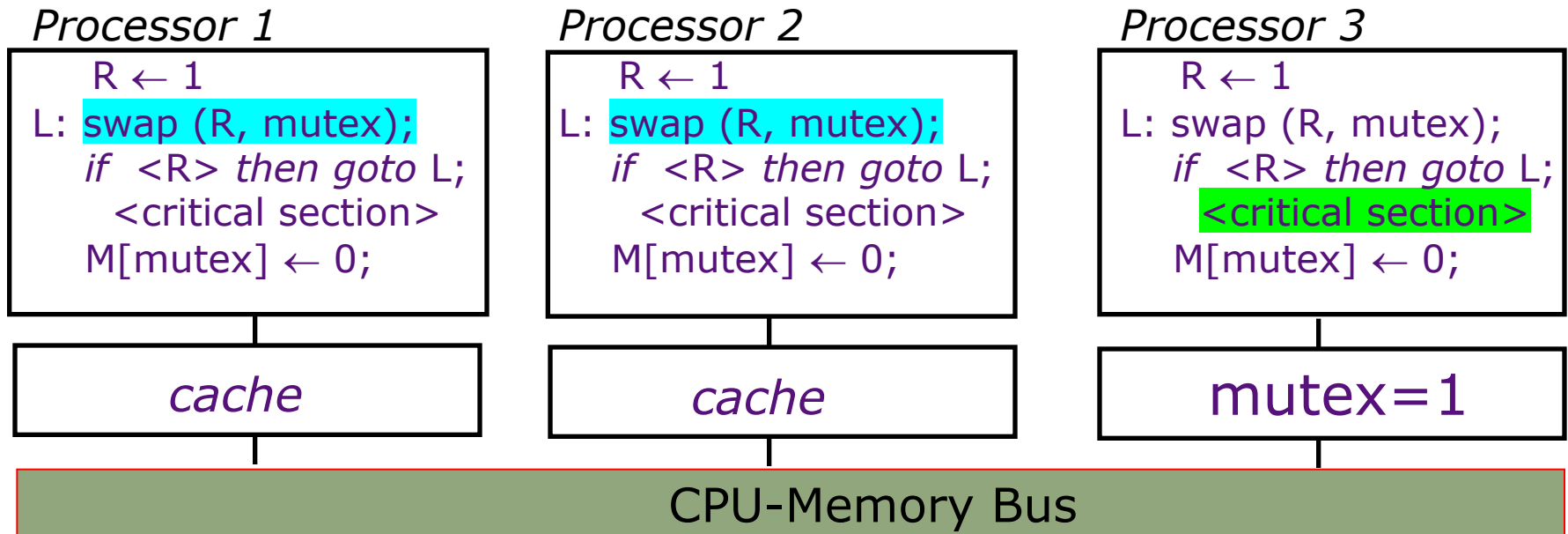
Directory starts serving cache 0's ExReq, queues cache 1's

Cache 1 expected ExResp, but got InvReq!

Cache 1 should transition from S->M to I->M and send InvResp

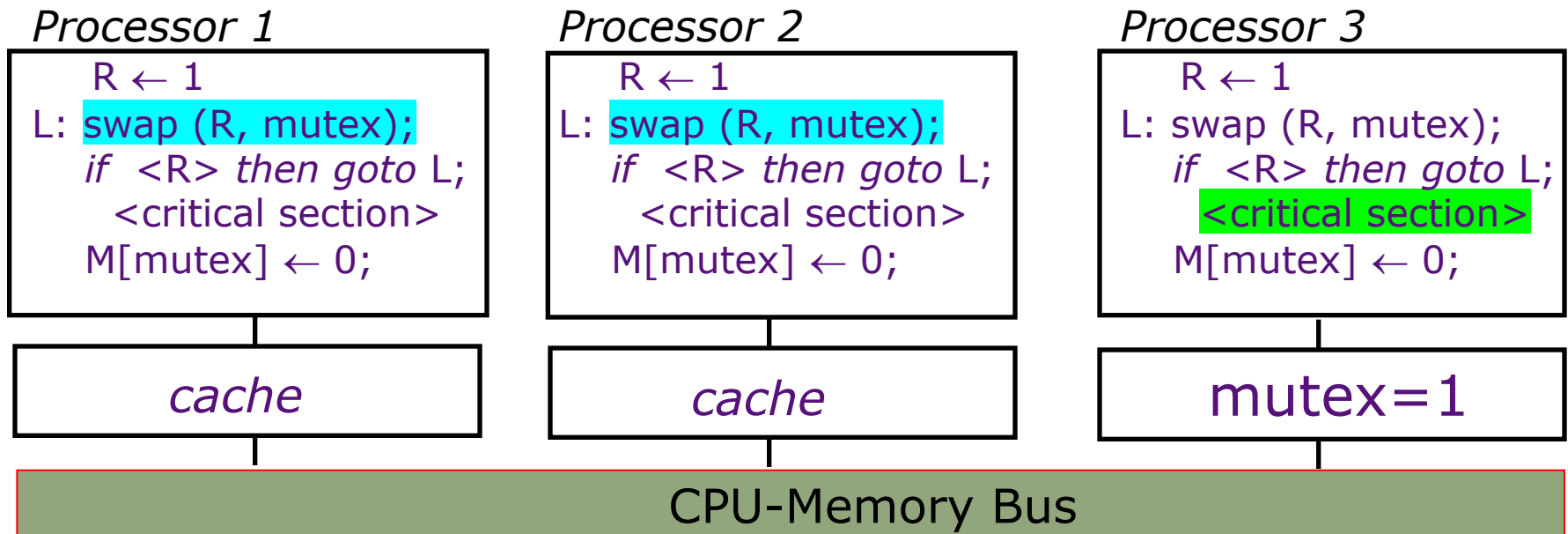


# Coherence and Synchronization



swap (R, mutex):  
R = test&set(mutex)

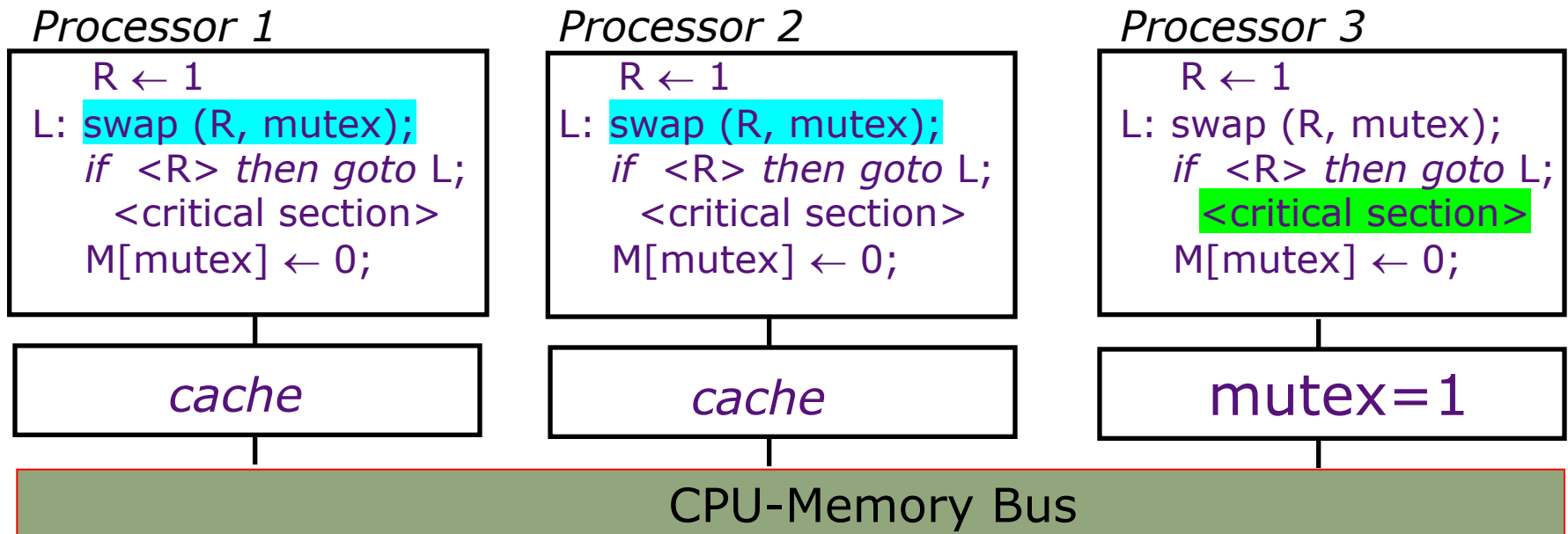
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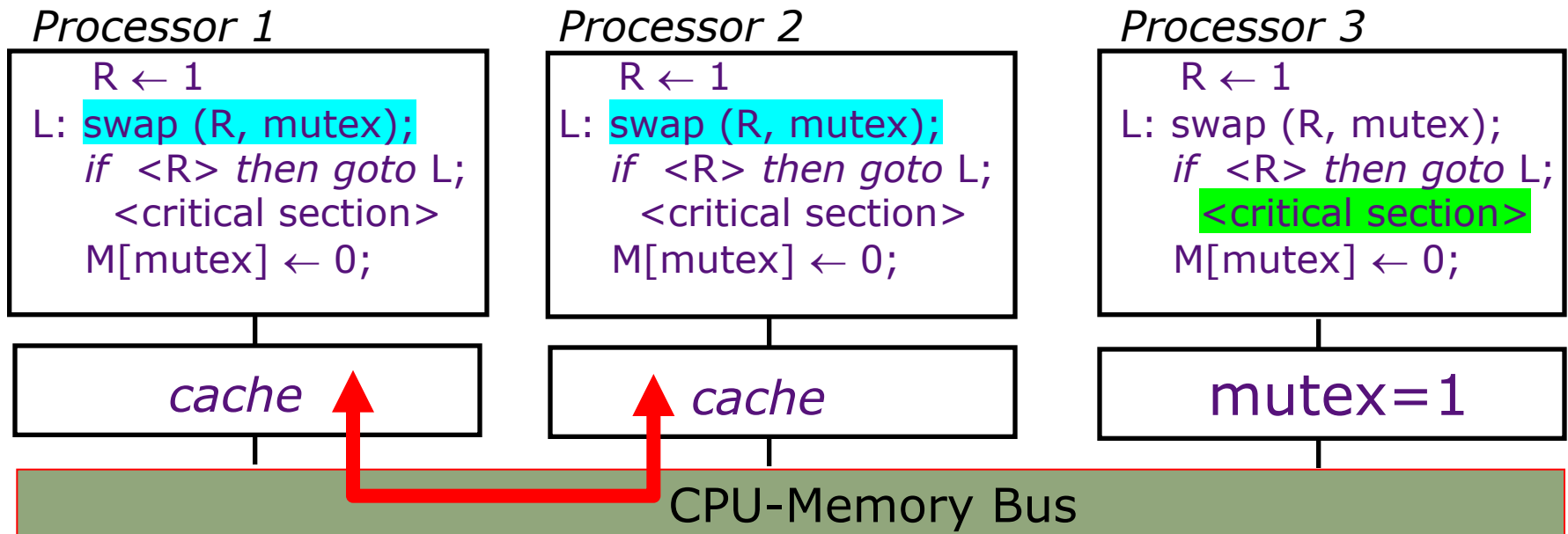


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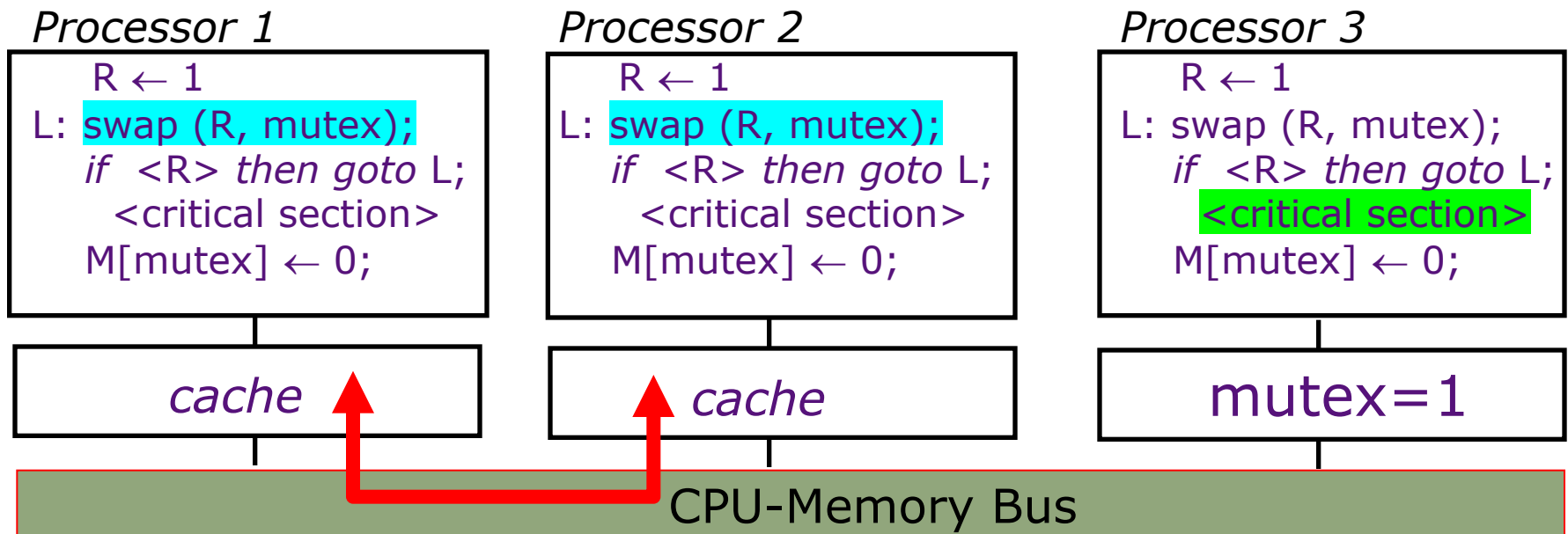
Our cache coherence protocol will introduce a performance issue here. What is the problem?

# Coherence and Synchronization



Cache coherence protocols will cause **mutex** to *ping-pong* between P1's and P2's caches.

# Coherence and Synchronization



Cache coherence protocols will cause `mutex` to *ping-pong* between P1's and P2's caches.

Ping-ponging can be reduced by first reading the `mutex` location (*non-atomically*) and executing a swap only if it is found to be zero (`test&test&set`).

# Implementing Atomic Instructions

---

```
test&set(mutex):  
    old_val = M[mutex];  
    M[mutex] = 1;  
    return old_val;
```

- In general, an *atomic read-modify-write* instruction requires two memory (bus) operations without intervening memory operations by other processors
- Implementation options:
  - *With snoopy coherence, lock the bus → expensive*
  - *With directory-based coherence, lock the line in the cache (prevent invalidations or evictions until atomic op finishes) → complex*

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- modern processors use
  - load-reserve*
  - store-conditional*

# Load-reserve & Store-conditional

---

Special register(s) to hold reservation flag and address, and the outcome of store-conditional

```
Load-reserve R, (a):  
  <flag, adr> ← <1, a>;  
  R ← M[a];
```

```
Store-conditional (a), R:  
  if <flag, adr> == <1, a>  
  then cancel other procs'  
    reservation on a;  
    M[a] ← <R>;  
    status ← succeed;  
  else status ← fail;
```

If the cache receives an invalidation to the address in the reserve register, the reserve bit is set to **0**

- Several processors may reserve 'a' simultaneously
- These instructions are like ordinary loads and stores with respect to the bus traffic



# Load-Reserve/Store-Conditional

---

Swap implemented with Ld-Reserve/St-Conditional

# Swap(R1, mutex):

L: Ld-Reserve R2, (mutex)  
St-Conditional (mutex), R1  
if (status == fail) goto L  
R1 <- R2

# Performance:

## *Load-reserve & Store-conditional*

---

The total number of coherence transactions is not necessarily reduced, but splitting an atomic instruction into load-reserve & store-conditional:

- *increases utilization* (and reduces processor stall time), especially in split-transaction buses and directories
- *reduces cache ping-pong effect* because processors trying to acquire a semaphore do not have to perform stores each time

*Thank you!*

*Next Lecture:  
Consistency and  
Relaxed Memory Models*